

| Client Name | Client PAN | Subject line | Reference Number | Date of Deactivation | Remarks |
|--|------------|--|-------------------------|----------------------|---|
| Dhruv Broking Services India Private Limited | AADCD2953K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/35977/2022 | 29-Nov-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sai Kanaka Mahalakshmi Finance Private Limited | AADCS1409H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36060/2022 | 29-Nov-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Aishwarya Padia | CEVPP5588R | Adjudication Proceedings In respect of AISHWARYA PADIA dealings in Illiquid Stock Options (ISO) at BSE: Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | OIAE/IGRDMJS/17682/2021 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Bharat Power Inc | AAMFB8544J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/30718/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Bhavya Gold Private Limited | AADCB7016R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/30794/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Bholanath Textile Private Limited | AADCB8143K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/30821/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Dhanraksha Traders Private Limited | AAECD1524F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36434/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Diganta Agencies Pvt Ltd | AAECD1732F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36438/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Dimco Finanace Limited | AAACD2736K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/31798/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Dm Vinimay Private Limited | AABCG9728R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/34250/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Plastika Industries | AAAFP4737H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/33096/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Popular Impex Pvt. Ltd. | AADCP3467C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/35993/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Prachi Plastics Private Limited | AABCP6088R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/34300/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Prachi Tradevine Pvt Ltd | AAFCP7889L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36563/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Ramesh Agarwal Huf | AABHR7225K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/34375/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Rangvardhan Febrtrade Pvt. Ltd. | AACCR2788P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/35900/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Ranisati Aawas Pvt Ltd | AADCR3261Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36013/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |

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| Rcb Infra Private Limited | AAFCR6033G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36592/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Realsunrise Traders Private Limited | AAFCR6566C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36605/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Saffire Exports And Agency Private Limited | AAECS0708A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36489/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Sahul India Limited | AADCS7349J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36069/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Amit Kumar Chowdhury (Huf) | AALHA8228H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/AO/IAD_1/SMR/40 1 98/1/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Amrapali Marketing Private Limited | AAHCA1114H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/AO/IAD_1/SMR/40 3 55/1/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Amrit Autor Ancillaries Private Limited | AAGCA4091A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36675/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Amrit Bhoutika | BWVPB7271E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO39439/1/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Amrit Logistics P Ltd | AAHCA8127E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/AO/IAD_1/SMR/40 8 91/1/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Rajwadi Retail Trade Systems Private Limited | AAECR4394M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/VS/36484/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Rama Devi Maheshwari | ABRPM7436N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/41782/1/2022 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Akansha Vincom Pvt Ltd | AAHCA1249F | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/OIAE/IGRD/P/ O W/2022/000034550/1 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Bed Prakash Gupta | ADVPG3256J | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IID/P/OW/202 2 /35657 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Abumprad Tradelink Pvt Ltd | AALCA2193C | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | ISD-SEC-6/AO-SM/OW/2022/38099/1 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Abhishek Gupta & Sons Huf | AALHA1183P | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | ISD-SEC-6/AO-SM/OW/2022/38001/1 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Indra Devi Agrawal | ACIPA3984B | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd/efd1/P/O W/2022/33904/1 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Bansal Impex | AANFB4683M | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IID/P/OW/2022/46881/ 1 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Abhishek Periwal Family Trust | AABTA0540L | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | ISD-SEC-6/AO-SM/OW/2022/38040/1 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Accentuate Tradecom Pvt Ltf | AAKCA8787H | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | ISD-SEC-6/AO-SM/OW/2022/38110/1 | 29-Nov-2022 | PAN deactivated for opening of new account |
| Ramesh Kumar Deosaria | AIBPD5871D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/32583/1/2022 | 06-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Dipen D Chandruva | ADZPC5855H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/32182/1/2022 | 06-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Donna Abraham Tijo | AGJPA2721R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/32537/1/2022 | 06-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Suresh Hanswal | ABPPH6308A | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD- 2/DSR/KM/105/2014 | 06-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Manoj T. Shah | BIYPS8509M | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | SD/AO/78/2011 | 06-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Purshottam Khandelwal | ADZPK9831B | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | JJ/AK/AO-103/2014 | 06-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Prasad Tandel | ACSPT7007J | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | SD/AO/01/2010 | 06-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Basundhara Marcom Pvt Ltd | AAECB7768B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Basundhara Marcom Pvt Ltd ("Notice") | EAD-8/PM/NJMR/ISO- II/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rajesh Kapoor | AAJPK2039A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Rajesh Kapoor | EAD-8/PM/GD/ISO-II/39828/1/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rising Iron Merchants Pvt Ltd | AAFCR1143M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Rising Iron Merchants Pvt Ltd | EAD-8/PM/GD/ISO-II/25378/1/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rolex Dealer Private Limited | AAFCR9256B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Rolex Dealer Private Limited | EAD-8/PM/GD/ISO-II/29759/1/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Romesh Chand Agarwala Huf | AAOHR1617N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Romesh Chand Agarwala HUF | EAD-8/PM/GD/ISO-II/15036/13/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Royalpet Exim Private Limited | AAFCR6435C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Royalpet Exim Private Limited | EAD-8/PM/GD/ISO-II/25379/1/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rps Merchants Private Limited | AAECR0507L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against RPS PROPERTIES PVT LTD | EAD-8/PM/NS/ISO-II/32066/1/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Dharam Chand Agarwal | ADKPA7122J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/31200/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rekha M Agarwal | AJZPA6310R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/32598/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Prabhu Dayal Malpani | ADXPM6378D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/31267/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Skyland Constructions Private Limited | AANCS7906B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | AO/BRK/JS/OW/31437/ 1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Ramkrishna Karmakar | BYMPK3820M | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/33610/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rashmi Chandak | AEKPC4067M | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/32238/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rashmi Srivastava | ASBPS7044M | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/33274/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Ranjit Karmakar | BYUPK3013N | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/33627/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Basudev Joarder Huf | AAFHB4428J | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-8/PM/NJMR/ISO-II/20641/1/2021 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Rina Roy | ALLPR2863E | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/GD/2022/35831/1 | 06-Dec-2022 | PAN deactivated for opening of new account |

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| Rakhi Vohra | ADQPV3158F | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/31253/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Girdharbhai Vagadiya | ACUPV1785B | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-2/AO/19/2012 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Yogesh Kuradiya | ARIPK3214D | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | BM/AO/-128/2011 | 06-Dec-2022 | PAN deactivated for opening of new account |
| K.Natarahjan | ACJPN0418I | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | BM/AO-112-114-2011 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Lalit Kumar Bhalotia Huf | AAHL4134N | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 42246/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Govind Kumar Gupta Huf | AABHG5168A | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41317/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Gopal Ram Gupta Huf | AABHG5795F | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41454/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Gourav Begnani Benifit Trust | AABTG2809E | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41459/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Govind Ji Shukla Huf | AAGHG2297F | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | HRD/ADI/PM/OW/P/41 1 54/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Gunjan Mogra | COTPM6524G | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | HRD/ADI/PM/OW/P/41 2 87/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Kumar Karel Raj | ADJPR1716N | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | AO/PS/NS/2022/39487/ 1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Sitaram Jayant | AXUPJ6002E | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | AO/BRK/JS/OW/31461/ 1 /2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Krishna Kumar Jhunjhunwala Huf | AAJHK7693C | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | AO/PS/NS/2022/39510/ 1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Kusum Devi Agarwal | AFBPA5176P | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41285/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Lalita Chowdhary | ACOPC0249F | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 42308/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Lakhmichand Shreechand Huf | AABHL1687B | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41452/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Gopal Resorts Ltd | AABCG2794B | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41394/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Govardhan Lal Sikaria Huf | AABHG3632H | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41414/1 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Dipayan Bose | AMKPB0815E | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/AO/AC/P/OW2022 / 41355/1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Sita Devi Panasari | AETPP7789L | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | AO/BRK/JS/OW/31404/ 1/2022 | 06-Dec-2022 | PAN deactivated for opening of new account |
| Shiv Charan Sharma | AIYPS6389J | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/IVD/ID18/P/O W/2022/31040/1 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Shikha Agrawal | AQJPA8887E | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/IVD/ID18/P/O W/2022/30970/1 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Rasiklal S Sheth | AUDPS9698F | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/MRD/MRD- PoD- 3/P/OW/2022/0000040060/1 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Syed Shofique Hossain | ABIPH726P | Interim Order cum Show Cause Notice Under Sections 11(1), 11(4) And 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /37/2017-18 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Tapas Ghosh | AODPG3970J | Interim Order cum Show Cause Notice Under Sections 11(1), 11(4) And 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /37/2017-18 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Gopal Nayek | AEMPN1456K | Order u/s 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA- IV/27/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajendra Madan Lal Huf | AALHR7398H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Rajendra Madan Lal HUF ("Noticee") | EAD-8/PM/NJMR/ISO- II/15671/1/2021 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ritudhara Traders Private Limited | AAFCR7667C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Ritudhara Traders Private Limited | EAD-8/PM/NS/ISO- II/25371/1/2021 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Santosh Agarwal | AEBPA9277Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IVD/ID5/SCN/A O- MS/SP/32865/1/2022 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Dynasty Tradelink Private Limited | AACCD7561J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IVD/ID8/SCN/A O-SS/VS/35381/1/2022 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Khera Motor Finance Private Limited | AAAFK8628A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD- RAC-2/P/OW/2022/0000043655/1 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ziya Merchandise Private Limited | AAACZ3842Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44094/1/2022 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Srijan Dealers Private Limited | AAOCS1393R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44983/1/2022 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Devendra Kumar Sharma | AMCPK8964B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44236/1/2022 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Kanak Ranjan | ADFPN9130J | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Siddhartha Nag | AEOPN7092K | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Shibendu D | AGDPD7440A | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sujit Kumar Roy | AIYPR3451L | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Debashree Bhowmik | AJIPB7361C | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/RKA/EFD/150/201 6 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Santanu Das | AJJPD4880B | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Satyendra Kumar Dey | AJLPD1430D | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Pralay Kanta Bhattacharyya | ALDPB2568G | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/GM/SEBI/ERO/IM D /23/JUNE/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Balwant Kumar Singh | BREPS6947N | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/RKA/EFD/150/201 6 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sekhar Chandra Koley | BUOPK8353F | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/RKA/EFD/175/201 6 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Susanta Kumar Panda | ALDPP2259C | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 in the matter of Dynamic Asset Management Limited | WTM/PS/05/ERO- BLO/APR/2016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Dadima Capital (P) Ltd | AABCD2140E | Under Section 11B of Securities Exchange Board of India Act 1992, read with Regulations 32(1)(f) and 32(1)(h) of the Securities Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 - In the matter of Insta Finance Limited, Formerly known as SDFC Finance Limited. | WTM/AB/EFD-1/DRA-1/4987/2019-20 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sonal International Ltd | AACCS1185R | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Nilesh Krushna Palande | AGLPP8818Q | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirti Kantilal Mehta | AKSPM3939N | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Vaishali Sharad Gawde | AKZPG4168Q | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Rajnee Rajan Gitaye | AWMPG6377L | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Neha Bipin Patel | AXGPP4301A | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Chirag Dineshkumar Shah | CFPPS4835H | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Stardom Trading Company Pvt Ltd | AAHCS1332H | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Bipin Kumar Gandhi | AJHPG6989J | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQ11m.Y AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995. | EAD-2/DSR/RG/PU/320-339/2014 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sundeeep Credits Private Limited | AABCS7644G | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-2/DSR/KM/122-127 /2014 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Dinesh Chowhan | ANDPC7427M | Under Sections 11 (1), 11 (4), 11 B of the SEBI Act, 1992 | WTM/AB/EFD-1/DRA-IV/05/2018-19 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mohd. Zaid Siddique | CPDP55191C | Under Sections 11 (1), 11 (4), 11 B of the SEBI Act, 1992 | WTM/MB/IMD/DOF-1/10676/2020-21 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Avijit Chatterjee | AFIPC2205A | UNDER SECTIONS 11 AND 11N OF SEBI ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sanatan Banerjee | AUEPB3112A | UNDER SECTIONS 11 AND 11N OF SEBI ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| United Cosmetics Manufacturing (I) Limited | AAACU7914N | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992 in the matter of issuance of redeemable preference shares by United Cosmetics Manufacturing (I) Limited | WTM/RKA/EFD/150/201 6 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Samir Das | ADAPD1592M | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its Directors | WTM/PS/145/ERO/JAN/ 2 016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Sharmila Das | AMHPD9189J | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED | WTM/RKA/EFD/ 185/2016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Pratap Mondal | BBHPM8968G | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/27/2016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Souvik Mistry | AKWPM4694A | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/194/IMD-ERO/MAR/2016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Amal Patra | AUZPP0701A | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. | WTM/RKA/EFD/150/201 6 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Navin Pratap Singh | AVUPS8738C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 read with the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 and SEBI (Debtenture Trustees) Regulations, 1993 | WTM/PS/160/IMD/ERO /F EB/2016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Nana Lal Patidar | AJWPP3490J | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 | WTM/AB/WRO/WRO/7 17 0/2019-20 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Durga Patidar | APJPP6921M | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 | WTM/AB/WRO/WRO/7 17 0/2019-20 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Litan Saha | CCQPS8194F | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/GM/IMD/64/2018 - 19 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Falkon Industries India Limited | AABCF4251E | UNDER SECTIONS 11, 11(4), IIA AND IIB OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/79/ERO/OCT/ 20 15 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Rajesh Awasthi | AGMPA1004E | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/ 22/EFD/MAY/2016 | 12-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Jayveer Commodities Broker | AAKFJ0204F | Adjudication Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MRD/MRD-SEC_3/P/OW/2022/445 74 /1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ramprasad Chamaria Huf | AABHC1518G | Adjudication Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MRD/MRD- PoD-3/P/OW/2022/42914/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ranjeet Kumar | AEKPK6205P | Adjudication Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MRD/MRD- PoD-3/P/OW/2022/42898/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Chandrani Dutta | AFQPD0757C | Adjudication Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IMD-1/DOG-1/P/OW/2022/35808/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Swar Agroteak And Housing (India) Privatelimited | AALCS8092C | Adjudication Order u/s 15-I of SEBI Act, 1992, and u/s 23-I of Securities Contracts (Regulations), Act, 1956 | Order/VV/AS/2022- 23/15978 - 16000 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Prem Prakash Thanvi | ACSPT3646D | Adjudication Order u/s 15-I of SEBI Act, 1992, read with Rule 5 of SEBI (Procedure for Holding enquiry and imposing penalties by Adjudicating Officer) Rules 1995. | PB/AO-79/2010 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ritu Raj Agrawal Huf | AADHR3379C | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MRD2/MRD2_I C C2/9/OW/2022/45209/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Cherry Projects Pvt. Ltd. | AADCC6789J | ADJUDICATION PROCEEDINGS IN RESPECT OF CHERRY PROJECTS PVT LTD IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE - SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 | SEBI/HO/IMD/IMD-1/DOF1/P/OW/38839/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Chandra Ghosh | ANKPG5521D | ADJUTICATION PROCEEDINGS IN RESPECT OF CHANDRA GHOSH IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE - SHOW CAUSE NOTIVE UNDER RULE 4(1) OFTHE SEIBI (PROEDURE FOR HOLDING INQUIRY AND IMPOSINT PENALTIES) RULES 1995 | SEBI/HO/IMD/IMD-1/DOF1/P/OW/36327/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Shome Shankar Ray | AGMPR5640P | Interim Order cum Show Cause Notie Under Sections 11(1), 11(4) And 11B of the SEBI Act,1992 | WTM/GM/SEBI/ERO/IM D /37/2017-18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pradyut Kumar Ghosh | AOGPG4013B | Interim Order cum Show Cause Notie Under Sections 11(1), 11(4) And 11B of the SEBI Act,1992 | WTM/GM/SEBI/ERO/IM D /37/2017-18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mithu Ghosh | APDYG4586R | Interim Order cum Show Cause Notie Under Sections 11(1), 11(4) And 11B of the SEBI Act,1992 | WTM/GM/SEBI/ERO/IM D /37/2017-18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Syed Safkat Hossain | APFDH7958P | Interim Order cum Show Cause Notie Under Sections 11(1), 11(4) And 11B of the SEBI Act,1992 | WTM/GM/SEBI/ERO/IM D /37/2017-18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sudip Banerjee | AYDPB5615K | Interim Order cum Show Cause Notie Under Sections 11(1), 11(4) And 11B of the SEBI Act,1992 | WTM/GM/SEBI/ERO/IM D /37/2017-18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Galaxy Exports Private Limited | AABCG0653R | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | 41496/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ranjan Karmakar Huf | AAOHR1833A | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40181/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ranjeet Singh Baid Huf | AAPHR3293F | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40199/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rosevalley Vintrade Pvt Ltd | AAECR7871B | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/GD/2022/36487/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Aryavish Properties Private Limited | AAFCA5616C | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/AS/OR/2022/32/43 1 24/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajbir Goyal Sons Huf | AAFHR7017H | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/GD/2022/37096/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rose Securities Limited | AADCR1312E | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-8/PM/NS/ISO-II/29966/1/2021 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Asha Devi Jalan | ACXPJ4973G | SCN under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/AO/SR/2022/44/43 1 84/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Riju Rajpal Huf | AALHR9308B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Riju Rajpal HUF | EAD-8/PM/GD/ISO-II/29751/1/2021 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ratan Kumar Sikaria Huf | AAOHR3968L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against RTL Technologies Private Limited | EAD- 8/AS/AK/40219/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Amit Kumar Singh Huf | AAHHA5905K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/AO/IAD-1/SMR/40900/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Rajesh Kumar Agarwal Huf | AAHHR0564B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/GD/2022/36532/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanyukta Dealers Pvt Ltd | AAPCS4311L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IVD/ID5/SCN/A O-MS/NS/34560/12022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Santosh Devi | ABUPD3892Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Outward No42012/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanwarmal Agarwal | ADCPA3298H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBIHO/IVD/ID5/AO-MS/NS/34486/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Yin Trexim Private Limited | AAACY5285E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO42074/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Parmod Jain Huf | AAHP3310G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD6/GG/AS/41490/1/2 0 22 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Drisha Impex Private Limited | AABCD9928L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44096/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Winsome Vincom Private Limited | AABCW0409M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44971/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Welspun Tie Up Private Limited | AABCW0423F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44970/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Waterflow Commotrade Private Limited | AABCW1311Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44969/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vkj Trexim Private Limited | AACCV1835D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO42065/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Chandresh Meghani Huf | AACHC3523N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44706/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Chirag Vincom Pvt Ltd | AADCC4989N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO39809/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Credence Projects Pvt Ltd | AADCC6699R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO42002/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Daredevil Agencies Private Limited | AADCD5746L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO42729/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Cypress Goods Private Limited | AAECC7265L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO41991/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Chen Vyapaar Private Ltd | AAECC7267J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO39780/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Plutocrat Tradecom Private Limited | AAGCP7939J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/38266/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Riya Oil Industries Private Limited | AAGCR7248J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/38558/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sudesh Kumar Somani Huf | AAGHS5421P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO43435/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanjoy Kumar Gupta Family | AAGHS9027R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO39060/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ram Niwas Jain Huf | AAHHR0559Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/38346/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sadguru Ispat Pvt Ltd | AAICS5236K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/38733/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Atul Tanna Huf | AAJHA7611L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44082/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sudesh Kumar Aggarwal Sons | AAJHS4607J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO43432/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rakesh Kumar Khetan Huf | AALHR4375J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40956/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Status Vyapaar Private Limited | AAMCS1343P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO41513/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Srm Commodities Private Limited | AAMCS6736Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO41502/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sr Realbuild Private Limited | AAMCS7057E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO42987/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Soundlight Projects Private Limited | AAOCS7375K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44978/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sagarotharaka Vanijya Private Limited | AAPCS4843R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40399/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Saakshie Sales Private Limited | AAQCS8259L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40375/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Safalta Vinimay Private Limited | AARCS0082L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40388/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rakshak Traders | AARFR9719A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/41039/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Sudhir Kumar Khirwal Huf | AAVHS3867D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/43437/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanjay Kumar Agarwal Huf | AAZHS0892R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO39035/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanjeev Mittal Huf | ABASH3525H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO39024/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Santosh Devi | ABGDP1800J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO42012/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Suman Bhatner | ACEPB6328Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO41514/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vivek Company | ADYPG3727C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO44968/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ranjit Kumar Huf | AARHR4213D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40205/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manabendra Chakraborty | AHYPC4447J | Under sec 11 (1), 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 in respect of Omisha Agri Industries Limited and its directors | WTM/RKA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajib Bhattacharya | AMGPP0981P | Under sec 11 (1), 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 in the matter of issuance of redeemable preference shares by United Cosmetics Manufacturing (I) P. Ltd. | WTM/RKA/efd/150/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sukumar Chatterjee | ACFPC4630F | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/RKA/efd/175/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ujjal Kumar Roy | AHMPR4384B | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/GM/NRO/30/201 7 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sumita Das | AHTPD9166G | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/RKA/efd/175/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Saurovemoy Ghosh | AIDPG1944N | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/RKA/efd/175/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Khudiram Sounth | AKEPS8245D | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/RKA/efd/175/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ajoy Sankar Sanyal | DUGPS0846K | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/RKA/efd/175/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajesh Das | AHPPD8992C | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 in the matter of Dynamic Asset Management Limited | WTM/PS/05/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Nihar Ranjan Bidhar | AIEPB7483J | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 in the matter of Dynamic Asset Management Limited | WTM/PS/05/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sudhir Sharma | ALVPS9791G | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 in the matter of Gulshan Nirman Pvt. Ltd. | WTM/MPB/efd-1-DRA-IV/128/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr Kollenmareth Oomman Issac | AAAP12482J | Under Section 11 & 11B of the Securities & Exchange Board of India Act, 1992, in the matter of ABL Biotechnologies Ltd. | WTM/SKM/efd1- DRAI/02/2019-20 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Avjit Chowdhury | APEPC8255N | Under Section 11, 11(4), 11A and 14B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) regulations, 2008. | WTM/RKA/efd/175/201 6 A | 12-Dec-2022 | PAN deactivated for opening of new account |
| Arjun Saha | AVIPS6571K | Under Section 11, 11(4), 11A and 14B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) regulations, 2008. | WTM/RKA/efd/175/201 6 A | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Spectrum Chemicals Pvt Limited | AAICS2382L | UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE & OF SECURITIES AND EXCHANGE BOARD OF INDIA f PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER RULES 1996 | EAD- 2/AD/DSR/RG/BKM/276 - 308/2014 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ghanshyam Dayabhai Patel | AOZPP4022M | UNDER SECTION 15 I OF SEBI ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | EAD-12/ AO/SM/57- 72/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Jaitra Estate Holdings Pvt. Ltd. | AAACJ2532P | UNDER SECTION 15-1 OF SEBI ACT 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | PKB/AO-101/2010] | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Mukund Yadu Jambhale | AHCPJ1271D | UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005. | ADJUCATION ORDER NO PG/AB/AO- 24/20/2012 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Byomkesh Das | AGVPD9209K | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Dhananjay Chalcraorty | AODPC0097C | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pranesh Debnath | AQLPD9139L | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Tapas Dey | ASPPD7921E | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sunipa Das | AVQPD8818E | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rahul Chatterjee | AZMPC2370A | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Radhashyam Manna | BAAPM8405Q | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ashok Maji | BADPM1727J | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Amitava Koley | BDQPK1075F | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Gora Chand Sarkar | BEOPS6023M | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanjay Das | BEYPD4346D | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKS/EFD-DRA-IV/189/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Basundhara Agro Resources (India) Ltd. | AAECB6182B | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 in the matter of Basundara Agro Resources (india) Limited | WTM/GM/SEBI/ERO/IM D /19/JUNE/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sujit Dutta | ACPPD0411M | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 in the matter of Basundara Agro Resources (india) Limited | WTM/GM/SEBI/ERO/IM D /19/JUNE/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Subhash Paul | AKEPP6209Q | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 in the matter of Basundara Agro Resources (india) Limited | WTM/GM/SEBI/ERO/IM D /19/JUNE/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Bibhas Pal | AYKPP8684R | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 in the matter of Basundara Agro Resources (india) Limited | WTM/GM/SEBI/ERO/IM D /19/JUNE/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Somenath Mukherjee | BHAPM2160H | Under Sections 11 (1), 11(4) and 11B of the Securities Exchange Board of India Act, 1992 in the matter of Basundara Agro Resources (India) Limited | WTM/GM/SEBI/ERO/IM D /19/JUNE/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Devid Haslaf | AHUPH9113Q | Under sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, in respect of: | WTM/RKA/efd/ / 7 12016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Subhrajit Banik | ANRPB9054H | Under sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, in respect of: | WTM/RKA/efd/ / 7 12016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Kedar Chandra Bera | AUDPB3585G | Under sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, in respect of: | WTM/RKA/efd/ / 7 12016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Miarul Sekh | CAYPS9419K | Under sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, in respect of: | WTM/RKA/efd/ / 7 12016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Miarul Sekh | CQYP59419K | Under sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, in respect of: | WTM/RKA/efd/ / 7 12016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Weird Infrastructure Corporation Limited | AAACW9754E | Under Sections 11 and 11B of SEBI Act, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Touch Wood Limited | AAACT9882C | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ram Prasad Ashish Gupta Huf | AABHR9686J | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Dhankamal Vanija Private Limited | AAECD0982H | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Allnew Distributors Pvt Ltd | AAKCA2105M | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Super Tradecom Private Limited | AANCS1132M | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Virender Kumar Mittal | AAXPM7090B | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sangeeta Mittal | AITPM7648D | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sunita Modi | AOMPM8097D | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manjulaben Bhupendrabhai Thakkar | APGPT3272C | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Akashdeep Singh | DQTP54378R | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Indira Trading Co. | AAFFI0479L | Under Sections 11(1), 11(4) and 11(B) of the Securities Board of India Act, 1992, In Re. Securities Exchange Board of India (Investment Advisors) Regulations and the Securities Exchange Board of India (Research Analyst) Regulation, 2014 | WTM/MB/IMD/DOF- 1/10676/2020-21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Nicl India Limited | AADCN4728Q | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated January 14, 2015 in the matter of NICL India Limited (NICL). | WTM/GM/efd/30/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Abhishek S. Chauhan | AGOPC3742P | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated January 14, 2015 in the matter of NICL India Limited (NICL). | WTM/GM/EFD/30/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Phool Singh Choudhary | AIKPC8055F | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated January 14, 2015 in the matter of NICL India Limited (NICL). | WTM/GM/EFD/30/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Harish Sharma | COUPS0677H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated January 14, 2015 in the matter of NICL India Limited (NICL). | WTM/GM/EFD/30/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sunplant Bio Energy Private Limited | AAPCS3390F | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/EFD /52/2017- 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Raghvendra Singh Narwaria | ADPPN6255N | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated March 31, 2015 in the matter of BNP India Developers and Infrastructure Limited (BNP). | WTM/GM/EFD/60/2017 - 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Padmalochan Nayak | AHZPN2835J | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/EFD /52/2017- 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sunil Kumar Jha | AMHPJ3198H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/EFD /52/2017- 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Som Datta | APRPD7072D | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated March 31, 2015 in the matter of BNP India Developers and Infrastructure Limited (BNP). | WTM/GM/EFD/60/2017 - 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Devendra Kumar Sahu | BCUPS2748L | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated March 31, 2015 in the matter of BNP India Developers and Infrastructure Limited (BNP). | WTM/GM/EFD/60/2017 - 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Subir Gupta | BONPG5600Q | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/EFD /52/2017- 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ajaya Kumar Das | AFVPD2306Q | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/PM/05/ERO-BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Debabrata Ghosh | AFWPG1415L | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/PS/18/ERO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Asoka Life Science Limited | AAFCA5687H | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED | WTM/GM/EFD/28/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Antara Mukherjee | ATFPM5403K | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED | WTM/GM/EFD/28/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Priyanka Roy | BEJPR5386E | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED | WTM/GM/EFD/ 28 /2018- 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sourav Roy | AFBPR4794Q | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 IN THE MATTER OF Asoka Life Science Limited | WTM/GM/EFD/28/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Simanta Roy | AFVPR5832F | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 IN THE MATTER OF Asoka Life Science Limited | WTM/GM/EFD/28/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Pravesh Kumar Karowliya | ATSPK4627Q | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/ 22/EFD/MAY/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Kulbir Singh | BKHP51704D | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/31/CIS- NRO/AUG/2015 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Mr. Shiv Kumar | BLGPK9508K | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/ 22/EFD/MAY/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Harjit Singh | CGFPS6608M | Under Sections 11(1), 11(4) and 11b of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/31/CIS- NRO/AUG/2015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ms. Ranjit Kaur | CIRPK5759F | Under Sections 11(1), 11(4) and 11b of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/31/CIS- NRO/AUG/2015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Raghav Capital And Infrastructure Limited | AAECR1541A | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2) (t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practices Relating to Securities Market) Regulations, 2003 | WTM/PS/75/CIS- NRO/LKO/OCT/2015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ms. Nirmala Raghav | AHWP9045M | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2) (t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practices Relating to Securities Market) Regulations, 2003 | WTM/PS/75/CIS- NRO/LKO/OCT/2015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mgh Project India Ltd | AAGCM8612H | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /37 /2017-18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Koushik Mukherjee | ARNPM5830G | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /37 /2017-18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Haldhar Realty And Enterprises Limited | AACCH1630A | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in respect of interim order -cum- show cause notice dated June 17, 2014 in the matter of Haldhar Realty and Enterprises Limited. | WTM/GM/EFD/90 /2017- 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Abhijit Dasgupta | AHEPD4809K | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/NRO/30/201 7 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Indian Stock Tips | AAFFI1014H | Under Sections 11(1), 11(4)(b) and 11B of the SEBI Act, 1992, read with SEBI (Investment Advisers) Regulations, 2013 and the SEBI (Research Analyst) Regulations, 2014, in respect of | WTM/MPB/SEBI/EFD- DRA4/ f oi /2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Goldmine Industries Limited | AAECG5486F | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992 in respect of Goldmine Industries Limited | WTM/RKA/EFD/190/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Great Overseas Commedeal Limited | AAECG0029L | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992 in the matter of issuance of redeemable preference shares by Great Overseas Commedeal Limited | WTM/RKA/EFD/127/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Gora Chand Pandey. | BOEPP0786H | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992 in the matter of issuance of redeemable preference shares by Great Overseas Commedeal Limited | WTM/RKA/EFD/127/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Amazan Agro Products Ltd. | AAECA2227N | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its Directors | WTM/PS/145/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pahari Basu | ACXPB9394B | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its Directors | WTM/PS/145/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Joydeb Garai | AEPPG8484F | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its Directors | WTM/PS/145/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Dinabandhu Das | AEWPD5164N | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its Directors | WTM/PS/145/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Basudeb Garai | AGNPG3984G | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its Directors | WTM/PS/145/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Gargi Biswas | BMEPB2162H | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its Directors | WTM/PS/145/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Gms Infrastructure Limited | AAECG0030B | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its GMS Infrastructure Limited | WTM/AB/EFD-1/DRA- 1V/05/2018-19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Chandan Biswas | ALIPB5094L | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its GMS Infrastructure Limited | WTM/AB/EFD-1/DRA- 1V/05/2018-19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajesh Singh | CLKPS6324N | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Amazon Agro Products Limited and its GMS Infrastructure Limited | WTM/AB/EFD-1/DRA- 1V/05/2018-19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Anil Pandey | BMPPP2155K | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of issuance of Secured redeemable Non Convertible Debentures by Megasys Healthcare Limited | WTM/RKA/EFD/186/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Omisha Agri Industries (India) Limited | AABCO6831B | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Omisha Agri Industries Limited and its Directors | WTM/RKA/EFD/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Srikanta Ruidas | BHFPR1071R | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Omisha Agri Industries Limited and its Directors | WTM/RKA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Bapan Ruidas | BJKPR7315B | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Omisha Agri Industries Limited and its Directors | WTM/RKA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajib Mondal | BLQPM6927A | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of Omisha Agri Industries Limited and its Directors | WTM/RKA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rbx Land Developers India Limited | AAFCR9720E | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of RBX Land Developers India Limited | WTM/RKA/efd/137/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Raghuvveer Singh Sisodiya | BXHPS2674H | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992, in the matter of RBX Land Developers India Limited | WTM/RKA/efd/137/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Eris Energy Limited | AACCE6890R | Under sections 11(1), 11(4), 11A and 11B of the Securities and exchange board of india Act, 1992 and regulation 28 of the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008, in respect of: | WTM/RKA/efd/175/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pradip Dhara | AUMPD7059E | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED | WTM/KRA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Tapan Kumar Polley | AWIPP6778J | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED | WTM/KRA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Durga Charan Mudi | AWLPM7483Q | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED | WTM/KRA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Prabir Kumar Baul | AZGPB7889M | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED | WTM/KRA/efd/185/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Samir Chattopadhyay Kumar | AQRPC9091N | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. | WTM/RKA/efd/150/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Subhash Chandra Saha | AYCPS4451M | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Prism Infracon Limited | AAFCP1275L | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 read with the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 and SEBI (Debenture Trustees) Regulations, 1993 | WTM/PS/160/IMD/ERO /F EB/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Koushik Ghosh | AHLPG4351N | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 read with the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 and SEBI (Debenture Trustees) Regulations, 1993 | WTM/PS/160/IMD/ERO /F EB/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pragya Dairies & Agro Limited | AAFCP3908E | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 | WTM/AB/WRO/WRO/7 17 0/2019-20 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pooja Patidar | AVBPP1293Q | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 | WTM/AB/WRO/WRO/7 17 0/2019-20 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Kim Infrastructure & Developers Ltd | AACCK9762E | Under Sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/51/CIS/NRO/D E C/2014 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanjib Sikdar | AARPA7028K | Under Sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/51/CIS/NRO/D E C/2014 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajesh Kumar | ARRPK5013B | Under Sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/51/CIS/NRO/D E C/2014 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mohammad Salimuddin Ansari | ALLPA8121E | Under Sections 11, 11(4), 11 (A) and 11B (1) of the Securities Exchange Board of India Act, 1992, In the matter of Orion Industries Limited | WTM/MPB/ERO/ERO-RLO/105/2020 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manzur Alam | ANFPA9734M | Under Sections 11, 11(4), 11 (A) and 11B (1) of the Securities Exchange Board of India Act, 1992, In the matter of Orion Industries Limited | WTM/MPB/ERO/ERO-RLO/105/2020 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Md Kamal Koshar | BBAPK4611F | Under Sections 11, 11(4), 11 (A) and 11B (1) of the Securities Exchange Board of India Act, 1992, In the matter of Orion Industries Limited | WTM/MPB/ERO/ERO-RLO/105/2020 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Parwez Alam | AKIPA6043M | Under Sections 11, 11(4), 11 (A) and 11B (1) of the Securities Exchange Board of India Act, 1992, In the matter of Orion Industries Limited | WTM/MPB/ERO/ERO-RLO/105/2020 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Md Mahfuz Alam | AKDPA2022P | Under Sections 11, 11(4), 11 (A) and 11B (1) of the Securities Exchange Board of India Act, 1992, in the matter of Orion Industries Limited | WTM/MPB/ERO/ERO-RLO/105/2020 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Debasish Dasgupta | APDPD8203N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Afzal Miah | AVCPM2314E | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ramel Pharma Limited | AADCR5262R | Under Sections 11, 11(4), 11A and 11B of the Securities Exchange Board of India Act, 1992 in the matter of Ramel Pharma Limited and its Directors | WTM/MB/SEBI/efd-DRA4/7/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Roofers Infra - Projects Limited | AAECR6118F | UNDER SECTIONS 11, 11(4), 11A AND 11B of Securities and Exchange Board of India Act, 1992 | WTN/SR/SEBI-ERO/IMD/21/03/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sajahan Midya | BCJPM2413D | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 ABD SEBI(DEBENTURE TRUSTEES) REGULATIONS , 1993 | WTM/PS/60/IMD/ERO/F E B/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manirul Islam | AARPI8233N | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Biswajit Bhattacharya | ACHPB8357J | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Dilwar Hossain | ACJPH4538B | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Taslim Ansary | AIIPA2213P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Laxmanbhai Sitarambhai Bhoya | AKIPB6561F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manglubhai Chilyabhai Dhum | AXYPD2036N | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| .Budhan Chandra Kundu | BUOPK6993F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED AND ITS DIRECTORS | WTM/PS/ERO/OCT/201 5 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Raghvendra Singh Narwaria | ADPPN6255N | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated March 31, 2015 in the matter of BNP India Developers and Infrastructure Limited (BNP). | WTM/GM/efd/60/2017 - 18 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Calypso Tieup And Finco Private Limited | AABCC2133Q | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/OCH/P/OW/20 2 2/41723/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Campbell Stock And Securities Pvt. Ltd. | AABCC9516H | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/OCH/P/OW/20 2 2/41742/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manish Aggarwal Huf | AALHM3697J | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/OCH/P/OW/20 2 2/41822/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Shashi Jain | ACBPJ2127L | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/WRO/SCN/RB/OW/39254/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mangu Ram | AFJPR2105P | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/OCH/P/OW/20 2 2/41706/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Durlabh Singh And Sons Huf | AADHD7260E | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/IVD-8/SCN/AO-SS/PH/2022/31762 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanjay Kumar Kochar | AKNPK3850F | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HOEAD/OW/2022/ 4 2798/30 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Jean Samtani | ALQPS3953D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MRD-SEC-3/P/OW/2022/41890/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Polaris Realtors Indialimited | AAGCP6749N | Order u/s 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/27/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Stpl Infrastructures Limited | AARCS4721N | Securities EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED | WTM/RKA/efd/167/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Seba Real Estate Limited | AAKCS2718Q | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTS/PS/138/ERO/JAN/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Swabhum Real Estate India Ltd. | AALCS5321G | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/efd-1-DRA-IV/51/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sib Invest (India) Limited | AAMCS8354E | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/08/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Kamal Kishore Lodha | AAYPL5577B | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sanjoy Nag | AEOPN7091L | Under Sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Debasish Dutta | AHXPB8258R | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/efd-1-DRA-IV/22/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Nirapada Maiti | AIHPM6556G | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/RKA/EFD/150/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Arunangshu Manna | AJTPM8782F | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/GM/SEBI/ERO/IM D /23/JUNE/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Tahidur Rahaman Gayen | BDOPG6790F | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Indrajit Roy | BHQPR8645J | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Akmal Sekh | BZMP51847R | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD/1/DRA/ I V/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Bhadreshwar Pal | ANZPP6080B | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/SR/SEBI-ERO/IMD/21/03/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Hirak Nath Sounth | APKPS6248B | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/SR/SEBI-ERO/IMD/21/03/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Nabarun Dutta | ARBDP8388K | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/22/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Gouri Dutta | ASWPD5852F | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/22/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Obaidur Rahaman | ASWPR0853J | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mohammad Attaullah Khan | AVFPK1594B | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/MP/MOB/EFD-1- DRA-IV/128/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Nityananda Sarkar | AWEPS6381C | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/MP/MOB/EFD-1- DRA-IV/128/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Debashish Bhattacharyya | AYLPB1956B | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/MP/MOB/EFD-1- DRA-IV/128/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Nargis Parvin | BDKPP3859C | Under Section 11, 11(4),11A and 14B of the SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Biswajit Das | AMPPD6913J | Under Sections 11 (1) , 11 (4) , 11 B of the SEBI Act, 1992 | WTM/AB/EFD-1/DRA-IV/05/2018-19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vishal Anaji Girkar | BAHPG8679M | Under Sections 11 (1) , 11 (4) , 11 B of the SEBI Act, 1992 | WTM/MB/IMD/DOF-1/10676/2020-21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Joheph Chand Malikshaikh | BEPPM1043D | Under Sections 11 (1) , 11 (4) , 11 B of the SEBI Act, 1992 | WTM/MB/IMD/DOF-1/10676/2020-21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vishvas Securities Ltd. | AAACV2912N | Under Sections 11 (1) and 11B (1) of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA- 4/101-103/2020 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Gopal Dalapati | AEFPD9926H | UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Sanjay Kumar Das | AGUPD3964E | UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Chand Mohan Ghosh | AJFPG5051P | UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Jadunath Jena | ANUPJ8465D | UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Bhabagrahi Behera | ASWPB3847N | UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Sekh Ranjan Ali | AZAPA3366Q | UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Somnath Santra | DAPPS8724B | UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/24/NRO/JULY/ 2 015 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Binay Kumar Lall | ADGPL3888E | Under sections 11(1), 11(4) , 11,A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/143/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Indrakala Rai | AOJPR4226A | Under sections 11(1), 11(4) , 11,A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/143/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Barun Biswas | ADRPB4364N | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992 | WTM/RKA/EFD/186/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Smt. Susmita Roychoudhary | ADTPR0390N | Under Sections 11(1), 11(4), 11(A) and 11(B) of the Securities Board of India Act, 1992 | WTM/RKA/EFD/186/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Prabir Sengupta | ANNPS2028N | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/RKA/EFD/186/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Tirthankar Dasgupta | AOQPD8911D | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/RKA/EFD/27/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manish Roy | AQQPR8167F | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/RKA/EFD/186/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Bikash Howlader | ABYPH4184M | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/194/IMD-ERO/MAR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Ajoy Das | AKTPD7363C | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/194/IMD-ERO/MAR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sohini Mistry | AXTPM7326D | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/194/IMD-ERO/MAR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Sachin Kumar Thakur | AJUPT3341C | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Tapas Kumar Giri | AQXPG2563P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/05/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Saiful Alam | AVFPA7748F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Yusuf Ali Gayen | AYNPG5900E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Kishore Chandra Maharathi | AYYPM2926P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/05/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Hasem Mirza | BAGPM1763F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mirza Dinar | BAGPM1764C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mrityunjay Biswas | AHQPB9848J | Under Sections 11, 11(4), 11A and 11B of the Securities Exchange Board of India Act, 1992 | WTM/PS/06/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rekha Biswas | ATOPB8487H | Under Sections 11, 11(4), 11A and 11B of the Securities Exchange Board of India Act, 1992 | WTM/PS/06/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Goutam Saha | CHFPS4590F | Under Sections 11, 11(4), 11A and 11B of the Securities Exchange Board of India Act, 1992 | WTM/PS/06/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Gulshan Nirman India Limited | AAECG3774E | UNDER SECTIONS 11, 11(4), 11A AND 11B of Securities and Exchange Board of India Act, 1992 | WTM/MPB/EPD-1-DRA-IV/128/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Atm Agro Industries India Limited | AAICA4906A | UNDER SECTIONS 11, 11(4), 11A AND 11B of Securities and Exchange Board of India Act, 1992, | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Assda Agro Projects Ltd | AAICA6147R | UNDER SECTIONS 11, 11(4), 11A AND 11B of Securities and Exchange Board of India Act, 1992, | WTM/MPB/EPD-1-DRA-IV/22/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Shine India Infra Project Limited | AAPCS8543L | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/MPB/EPD-1-DRA-IV/97/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Priti Rani Chowdhury | AGUPC5065H | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/GM/IMD/64/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vindod Yadav | AIXPY8341D | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mohammad Mukhtar Atif | APDPA4103J | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Malkinder Singh | ASWPS6806J | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pramod Kumar | ATJPK5597L | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Md. Sarfaraz Ansari | AVSPA8138L | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Harjinder Singh | DPTPS7499Q | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Assda Agro Projects Ltd | AAICA6147R | UNDER SECTIONS 11, 11(4), 11A AND 11B of Securities and Exchange Board of India Act, 1992, | WTM/MPB/EPD-1-DRA-IV/22/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Shashi Prabha Pansari | AETPP7792R | Adjudication Proceedings in the matter of dealings in Iliguid stock Options (ISO) at BSE | SEBI/WRO/SCN/RB/OW/ 35117/1/2022 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Shilpa Kumari | AYMPK6977G | Adjudication Proceedings in the matter of dealings in Iliguid stock Options (ISO) at BSE | SEBI/HO/IVD/ID18/P/O W /2022/30989/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ranu Murarka | ADEPD8146M | Adjudication Proceedings in the matter of dealings in Iliguid stock Options (ISO) at BSE | SEBI/HO/MRD/MRD-PoD/P/OW/2022/00000 4 2182/1 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rakabul Haque | ABUPH6271K | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/MPB/EPD/1/DRA-IV/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Nandini Chatterjee | ACEPC4432C | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/MPB/EPD/1/DRA-IV/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Srinibash Ghosh | ACYPG7856L | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/MPB/EPD/1/DRA/ I V/128/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mrinal Chandra Nandi | ACZPN6252A | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/138/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Kartik Jana | AHQPJ8186K | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/RKA/EPD/150/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Arun Kumar Mishra | AIIPM2433R | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ms. Baisalini Das | AKAPD0726A | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/08/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ashmin Khatun | BKBPK8720K | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/PS/15/ERO/APR/2 0 16 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Avedananda Khila | BYYPK8546E | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/GM/SEBI/ERO/IM D /23/JUNE/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Kalyan Saha | DCTPS2901E | Under sec 11, 11(4), 11A and 11B of the SEBI ACT, 1992 | WTM/MPB/EPD/1/DRA/ I V/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajesh Acharya | AQVPA0028K | Under Section 11, 11(4), 11A and 14B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Kaustabh Roy | BCLPR9255A | Under Section 11, 11(4), 11A and 14B of the SEBI Act, 1992 | WTM/MP/MOB/EPD-1- DRA-IV/128/2018 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Lopamudra Bandyapadhyay | AQFPB2001D | Under Sections 11 (1), 11 (4), 11 B of the SEBI Act, 1992 | WTM/AB/EPD-1/DRA-IV/05/2018-19 | 12-Dec-2022 | PAN deactivated for opening of new account |

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| Jagdish Lalmani Gupta | ARLPG5832C | Under Sections 11 (1), 11 (4), 11 B of the SEBI Act, 1992 | WTM/MB/IMD/DOF-1/10676/2020-21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vaibhav Pariwar India Projects Limited | AACCV9807F | Under sections 11(1), 11(4), 11,A and 11B of the SEBI Act, 1992 | WTM/PS/143/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Rajesh Kumar Rai | AOJPR4225D | Under sections 11(1), 11(4), 11,A and 11B of the SEBI Act, 1992 | WTM/PS/143/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Manoj Kumar Rai | AQQPR5328E | Under sections 11(1), 11(4), 11,A and 11B of the SEBI Act, 1992 | WTM/PS/143/ERO/JAN/ 2 016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Progress Cultivation Limited | AAFCP0825N | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/194/IMD-ERO/MAR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Dynamic Asset Management Limited | AADCD6348J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/05/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Bibekananda Maiti | AQSPM6019P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/05/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Pradip Kumar Mahapatra | BMUPM1800K | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/ OS-/ERO-BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Real Agri Industries And Services Limited | AAECR5537N | Under Sections 11, 11(4), 11A and 11B of the Securities Exchange Board of India Act, 1992 | WTM/PS/06/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Kamal Kumar Biswas | AIDPB6313L | Under Sections 11, 11(4), 11A and 11B of the Securities Exchange Board of India Act, 1992 | WTM/PS/06/ERO- BLO/APR/2016 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Multinational Industries Limited | AAHCM0793Q | UNDER SECTIONS 11, 11(4), 11A AND 11B of Securities and Exchange Board of India Act, 1992, | WTM/MPB/efd-1-DRA-IV/21/2017 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Atm Agro Projects India Limited | AAHCA9909Q | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/PS/ERO/MAY/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Sachindra Nath Bhattacharya | AAJPB4769J | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/PS/ERO/MAY/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Taimur Ali Gayen | AEBPG4001N | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/PS/ERO/MAY/201 6 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Ajoy Paul | AMPPP5677D | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/GM/IMD/64/2018 - 19 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vidya Bhushan Ambastha | AIFPA8537P | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Deepak Kumar Sah | CXDP59936B | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020 - 21 | 12-Dec-2022 | PAN deactivated for opening of new account |
| CHETAN MEHTA | AHUPM3772J | Adjudication order against Shri Chetan Mehta in the matter of Chamatkar.net (India) Limited | PKK/AO/16/2011 | 12-Dec-2022 | PAN deactivated for opening of new account |
| Vijay Kumar Chaudhary | AFXPC6574P | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd2/efd2_SL D 2/P/OW/2022/ 42224/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sprint Marketing Pvt. Ltd | AAMCS5250P | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD2/LAD2_D R All/P/OW/202 2/0000034194/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sriram Comosale Private Limited | AAOCS2233C | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD2/LAD2_D R All/P/OW/202 2/0000034249/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Starshine Commodities Market Private Limited | AAPCS3334R | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD2/LAD2_D R All/P/OW/202 2/0000034229/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Urmila Kumari | AGLPK5139K | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd-1/DRA-4/AA/33432/5/2022 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Viewpoint Marketing Private Limited | AACCV8003B | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd2/efd2_SLD2/P/OW/2022/4221 1 /1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Tirumala Commercial And Capital Services Private Limited | AACCT2139G | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD1/LAD1_D O P4/P/OW/2022 /0000041532/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Timelink Vyapaar Private Ltd | AAECT8281D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD1/LAD1_D O P4/P/OW/2022 /0000039455/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Thakker Pragnesh Bhupendrabhai | AEVPT7357L | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD1/LAD1_D O P4/P/OW/2022 /0000041865/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Topstar Tradecomm Pvt Ltd | AADCT2033N | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD1/LAD1_D O P4/P/OW/2022 /0000041403/1 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjeev Kanodia HUF | AASHS0443M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 6/AK/BS/50513/1/2022 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Chelsea Blocks And Pipes Private Limited | AAFCM0698E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 50387/2022 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sumit Kumar Dhanuka HUF | AAYHS6617M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 503878/1/2022 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| T H Vakil Shares & Securities P Ltd. | AABCT3010D | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO: BM/AO - 108/2010 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramdas Kshirsagar | AKRPK7116L | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO: PKK/AO/274/2010 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Dalsukhbhai Patel | AFBPP0203Q | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. BM/AO- 19/2010 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Vikas Saini | BEZPS2003H | UNDER SECTION 15-I OF SEBI ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 | ADJUDICATION ORDER: ORDER/AP/SK/2020-21- 10071-10075 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Prabir Chowdhury | AIPPC9212K | UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF BISHAI ABASAN INDIA LIMITED | WTM/GM/IMD/63/2018 - 19 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sujit Acharjee | ASZPA9649Q | UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF BISHAI ABASAN INDIA LIMITED | WTM/GM/IMD/63/2018 - 19 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjay B Tenginkai | AFDPT9966L | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations,1999 | WTM/PS/28/EFD/CIS/M A Y/2016 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Santosh Shrawan Mali | AWMPM2320F | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations,1999 - In respect of interim order cum show cause notice dated March 31, 2015 in the matter of Prosperity Agro India Limited | WTM/GM/EFD/40/2017 - 18 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Subrata Manna | AJPPM0546D | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Debajyoti Chakraborty | AJXPC4970D | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| SUSWETA DUTTA | AMFPD5940F | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /01/APR/2017 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Prodyumma Kumar Ghosh | APSPG1415Q | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Purnendu Santra | BSSPS9845L | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Manoj Kumar | AQYPK8593N | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/109/ERO/BLO/ DEC/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Debasish Das | AGWPD4747K | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/166/ERO/FEB/ 2 016 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Chiranjib Banerjee | AVAPB1280A | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/175/ERO/IMD / MAR/2016 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr.Ajoy Chakraborty | AESPC7283B | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. in respect of: | WTM/RKA/EFD/135/201 6 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sanjit Chakraborty | AHUPC4690H | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. in respect of: | WTM/RKA/EFD/135/201 6 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Vishwamitra International Infra Limited | AAECV1457D | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/92/2016 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ganesh Thakur | AFOPT8328K | Under sections 11(1), 11(4), 11A AND 11B of the SEBI Act, 1992 read with section 73 of companies act, 1956 | WTM/SM/ERO/ERO/917 2 /2020-21 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashok Saw | BLXPS0512A | Under sections 11(1), 11(4), 11A AND 11B of the SEBI Act, 1992 read with section 73 of companies act, 1956 | WTM/SM/ERO/ERO/917 2 /2020-21 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mukesh Singh | BNVPS8079L | Under sections 11(1), 11(4), 11A AND 11B of the SEBI Act, 1992 read with section 73 of companies act, 1956 | WTM/SM/ERO/ERO/917 2 /2020-21 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Bibhuti Bhusan Nanda | AENPN4881R | Under Sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992, in the matter of Adarsh Wealth Ventures Limited and its Directors/Promoters | WTM/PS/ 107/ERO/BLO/DEC/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Gopal Saha | BDXPS9510G | UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 | WTM/GM/SEBI/89/201 8- 19 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Shri Raju Acharjya | AJKPA9285C | Under sections 11(1), 11(4), and 11B of the SEBI Act, 1992 in the matter of Reliable Multi Managerial Services Ltd. | WTM/AB/efd-1/DRA-4/17/2018-19 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Pradip Das | ACZPD8269R | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/15/ERO/ APR/2016 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr.Mridul Kanti Bhaumik | AJNPB0934C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/122/IMD-ERO/DEC/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Bablu Sanfui | BXUPS5883F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/168/ERO/FEB/ 2 016 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Birju Kumar Sharma | BDJPS3387K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/171/IMD-ERO/MAR/2016 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ajay Gosai | AOLPG4335H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/65/2017 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Snehasish Sarkar | AXIPS2067E | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/95/ERO-IMD/DEC/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Arindam Kundu | CASPK9438A | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/85/2017 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Amitava Sarkar | AKKPS7894Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/155/2018 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Deenanath Maurya | BJJPM1012K | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/83/NRO/IMD/ N OV/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ajjur Rahaman | AKLPR6157J | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/PS/119/IMD-ERO/DEC/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Shubhankar Ray | AKMPR3510N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, | WTM/PS/118/IMD/ERO-PLO/DEC/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashok Pattnaik | AFVPP6295E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/ DEC/2015 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Anup Kumar Maiti | BBMPM9254J | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA- IV/141 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjeev Kanodia HUF | AASHS0443M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 6/AK/BS/50513/1/2022 | 19-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| ABL Biotechnologies Ltd | AAACA5463G | Adjudication Order in the Matter of ABL Biotechnologies Ltd. | SEBI LETTER NO.EAD/BJD/NJMR/124 7 6/2018 DATED APRIL 24, 2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Aawas Construction Private Limited | AACCA2123R | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD2/LAD2_D R AII/P/OW/202 2/0000034269/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Subh Chintak Commotrade Pvt. Ltd | AAECS7186C | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD2/LAD2_D R AII/P/OW/202 2/0000034213/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Atish Jhavar HUF | AAKHA8273M | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1/efd1_DR A2/P/OW/2022/ 33822/21 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ejecta Marketing Limited (earlier known as Appu Marketing and Manufacturing Ltd) | AAFCA1262N | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | FPI&C/AT/AA/36016/1/ 2 022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Bhaskar Bhao Vasage | AIFPV5203P | ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORK MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017 | WTM/GM/efd/45/2018 - 19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Bhalerao Yashwant Misal | APLPM5152R | ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORK MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017 | WTM/GM/efd/45/2018 - 19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Nuruddin Shaikh | AZIPS0671F | ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORK MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017 | WTM/GM/efd/45/2018 - 19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Tapan Sarkar | AMEPS8521L | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALING IN ILLIQUID STOCK OPTIONS (ISO) AT BSE | SEBI/HO/efd2/SAT-LIT/P/OW/36284/1/202 2 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Chariot India Pvt Ltd | AAACC9995N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 50385/1/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sumeru Processors Private Limited | AAACS4529D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 50383/1/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| WB Ganapati Sponge Projects Pvt Ltd | AAACW8393H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 6/AK/VV/51909/1/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Viswaroop Marketing Private Limited | AADCV1815E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/DEPA-I/DEPA-I_RRD/P/OW/2022/391 83 /1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Binod Agarwal HUF | AAFH80090J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 42522/1/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Srinarayan Sadani HUF | AAUHS1051F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 6/AK/VV/51908/1/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Tanvi Kabra Benefit Trust | AA8TT9593Q | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE | SEBI/HO/EPD2/SAT-LIT/P/OW/36350/1/202 2 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Vinod Patel | ACUPV1795B | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. BM/AO- 22/2010 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Navin Patel | AFXQA4536B | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. BM/AO- 37/2010 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Jayesh Kumar Shah | AFYPJ2696E | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. BM/AO- 36/2010 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Bharat Gupta | ALFPG6480L | UNDER SECTION 15-I OF SEBI ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 | ADJUDICATION ORDER: ORDER/AP/SK/2020-21- 10071-10075 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ms. Anita Gupta | AOFPG8504E | UNDER SECTION 15-I OF SEBI ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 | ADJUDICATION ORDER: ORDER/AP/SK/2020-21- 10071-10075 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Vinod Kumar | BDUPK1521Q | UNDER SECTION 15-I OF SEBI ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 | ADJUDICATION ORDER: ORDER/AP/SK/2020-21- 10071-10075 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Skylark Land Developers & Infrastructure India Limited | AAOCS3538A | UNDER SECTION 15-I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995. | ADJUDICATION ORDER NO. RA/JP/40- 44/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Durga Prasad Yadav | ABAPY8539M | UNDER SECTION 15-I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995. | ADJUDICATION ORDER NO. RA/JP/40- 44/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Jaihind Kumar | ALAPK2141F | UNDER SECTION 15-I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995. | ADJUDICATION ORDER NO. RA/JP/40- 44/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Arohan Trustee Company Pvt. Limited (India Art Fund) | AAFCA7143Q | Under sections 11 and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 - In respect of and in the matter of, Arohan Trustee Company Private Limited. | WTM/AB/EPD1/EPD1-DRA3/7492/2020- 21 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ram Samujh Yadav | ADIPY9791P | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/58/NRO- CIS/DEC/2014 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Dattatray Madhav Yadav | ACPPY3360C | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 - In respect of interim order cum show cause notice dated March 31, 2015 in the matter of Prosperity Agro India Limited | WTM/GM/EPD/40/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Vanshree Tukaram Chidrawar | AMHPC6141M | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 - In respect of interim order cum show cause notice dated March 31, 2015 in the matter of Prosperity Agro India Limited | WTM/GM/EPD/40/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| J K S Projects Limited | AACCJ5266A | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Dreamway Industries Ltd | AADCD6196N | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mohd Ali Imam | ACIPN31318R | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| RAFAT NAZ | AIXPN4533G | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /01/APR/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ramesh Prasad Gupta | AOGPG2613H | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Tushar Ghosh | APCPG9678K | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Bhaskar Ganguly | APEPG1595F | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| SAROJANI DEVI | AQCPD0778N | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /01/APR/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Subrata Kumar Banerjee | ASPPB7641B | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Deepak Kumar Sinha | BCBPS1318D | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ramanuj Kumar | BHIPK9685D | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Manabendra Sarkar | BVOPS6333N | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Aman Kumar | CBCPK8700N | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IM D /01/APR/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Pintu Singh | CEKPS6290G | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Nirupam Santra | CGCPS8873D | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Suman Singh | COBPS2672P | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Naba Kumar Santra | CRYP54172E | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rupam Santra | CUXPS6610M | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017 - 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Anwar Sadat | CVNPS6323Q | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Kabita Kumari Sah | CXHPS0704D | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rajabalbha Singh | DANPS8829H | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Lalit Kumar | AUUPK3066A | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/ 53 /CIS- NRO/SEPT /2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Hooghly Agrotech Limited | AABCH8362A | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/162/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Jeevan Suraksha Real Estate Ltd. | AABCJ6757B | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/88/ERO/GLO/ M AR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| GOLDMINE Food Products LIMITED | AADCG6079R | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/176/ERO/MAR / 2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Kedar Chandra Bera | AUDPB3525G | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/162/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Biranchi Narayan Mishra | BAXPM0769E | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/109/ERO/BLO/ DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Pious Agro Industries Ltd. | AAFCP3661A | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/175/ERO/IMD / MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Monoranjan Dey | AIMPD8612K | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/175/ERO/IMD / MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr.Palas Chandra Dey | APQPD7806Q | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/175/ERO/IMD / MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sunita Saha | CDUPS4544N | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/175/ERO/IMD / MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Jitendra Singh Dagala | AQTPD5941J | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD. | WTM/GM/WRO/11/201 8- 19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Madhusudan Makwana | ATFPM8729G | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD. | WTM/GM/WRO/11/201 8- 19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Bhagwati Bai | AVPPB2371M | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD. | WTM/GM/WRO/11/201 8- 19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rohit Patwa | AXJPP2766J | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD. | WTM/GM/WRO/11/201 8- 19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Devendra Kumar | BDMPK8128B | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD. | WTM/GM/WRO/11/201 8- 19 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Santosh Panwar | BHIPP4346J | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD. | WTM/GM/WRO/11/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Jugantor Realty Limited | AACJ3436C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. in respect of: | WTM/RKA/efd/135/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Prabir Chakraborty | AGUPC5743L | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. in respect of: | WTM/RKA/efd/180/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Sujay Chakraborty | AJGPC0937R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. in respect of: | WTM/RKA/efd/135/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Nandalal Balti | ASEPB1952G | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. in respect of: | WTM/RKA/efd/180/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rahul Kumar Shahi | BQEPS6886B | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. in respect of: | WTM/RKA/efd/180/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Manish Kumar Chand | AICPC0615M | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/92/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Bandana Chand | AIUPC8800E | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/92/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Hari Govind Singh | CMGPS0600A | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/92/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Digstar Projects Limited | AADCD1769M | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 in the matter of Digstar Projects Limited in respect of : | WTM/MPB/efd- DRA4/8/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rainbow Industries and Construction Limited | AAECR6197A | Under sections 11(1), 11(4), 11A AND 11B of the SEBI Act, 1992 read with section 73 of companies act, 1956 | WTM/SM/ERO/ERO/917 2 /2020-21 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Tapan Mondal (PAN: BFCPM9024G; DIN: 03165701) | BFCPM9024G | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Tapan Mondal (PAN: BFCPM9024G; DIN: 03165701) | BFCPM9024G | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| M/s. Reliable Mega Projects Ltd. | AAFCR8322L | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 and regulation 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 in the matter of Reliable Mega Projects Ltd. | WTM/ GM/WRO /11/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Gangadhar Nayak | AFYPN4413K | Under Sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992, in the matter of Adarsh Wealth Ventures Limited (CIN: U67120OR2010PLC012414) and its Directors/Promoters | WTM/PS/ 107/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Choudhury Niranjan Pradhan | ALAPP3677Q | Under Sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992, in the matter of Adarsh Wealth Ventures Limited (CIN: U67120OR2010PLC012414) and its Directors/Promoters | WTM/PS/ 107/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ganeswar Mahant | BAVAM4368K | Under Sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992, in the matter of Adarsh Wealth Ventures Limited (CIN: U67120OR2010PLC012414) and its Directors/Promoters | WTM/PS/ 107/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Vinayak Homes & Real Estate Limited | AADCV4004B | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET REGULATIONS, 2003. | WTM/MB/WRO/WRO/5 2 75/2019-20 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Yuvraj Shriram Malakar | AKYPY9430C | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003. | WTM/MB/WRO/WRO/5 2 75/2019-20 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sachin Ray | AURPR4962P | UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 | WTM/GM/SEBI/89/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Reliable Multi Managerial Services Ltd. | AAECR5851P | Under sections 11(1), 11(4), and 11B of the SEBI Act, 1992 in the matter of Reliable Multi Managerial Services Ltd. | WTM/AB/efd-1/DRA-4/17/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Pintu Dutta | AIMPD4441Q | Under sections 11(1), 11(4), and 11B of the SEBI Act, 1992 in the matter of Reliable Multi Managerial Services Ltd. | WTM/AB/efd-1/DRA-4/17/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Shri Kanhaiya Singh Kushwaha | AQVPK5541K | Under sections 11(1), 11(4), and 11B of the SEBI Act, 1992 in the matter of Reliable Multi Managerial Services Ltd. | WTM/AB/efd-1/DRA-4/17/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Omprakash Gupta | AWBPG1988J | Under sections 11(1), 11(4), and 11B of the SEBI Act, 1992 in the matter of Reliable Multi Managerial Services Ltd. | WTM/AB/efd-1/DRA-4/17/2018-19 | 19-Dec-2022 | PAN deactivated for opening of new account |
| J S V Developer India Limited | AACCI1577A | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/161/IMD/WR O-ILO/FEB/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Viswas Real Estates and Infrastructure India Ltd | AADCV4403E | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/04/CIS-SRO/HLO/APR/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| KEN Infratech Limited | AAECK1605J | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/54/CIS/NRO/D E C/2014 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Kuldeep Singh | BQXPS7815M | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/54/CIS/NRO/D E C/2014 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mahavir Singh | CRRPS1400A | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/54/CIS/NRO/D E C/2014 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sukhwinder Singh | CUEPS1687K | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/54/CIS/NRO/D E C/2014 | 19-Dec-2022 | PAN deactivated for opening of new account |
| M/s Future Gold Infrabuild India Limited | AABCF3920E | UNDER SECTIONS 11(4) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003. | WTM/GM/efd/71/2017- 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr Vineet Kumar Phalke | APDPP0190C | UNDER SECTIONS 11(4) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003. | WTM/GM/efd/71/2017- 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Dhirendra Singh Kushwah | BGGPK7521R | UNDER SECTIONS 11(4) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003. | WTM/GM/efd/71/2017- 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Satyendra Singh | CDDPS5928P | UNDER SECTIONS 11(4) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003. | WTM/GM/efd/71/2017- 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Afasar Khan | CLHPK5064N | UNDER SECTIONS 11(4) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003. | WTM/GM/efd/71/2017- 18 | 19-Dec-2022 | PAN deactivated for opening of new account |
| IPN Developers & Allied Limited | AADCI0486L | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPM/efd-1-DRA-III/152/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ramel Real Estate & Infrastructure Limited | AAECR6852L | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/53/ERO- IMD/FEB/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Swasata Cement Limited | AAICS5911M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/efd-1-DRA-IV/130/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Angela Agrotech Ltd. | AAJCA6822C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/17/IMD-ERO/MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Astha Techno Realty India Limited | AAJCA7529E | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/168/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sankalp Projects Limited | AAOCS4472M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Atikulla Hossain | ADYPH1080N | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/17/IMD-ERO/MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr.Toofan Chandra Dey | AGCPD2335D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Narottam Raha | AIKPR4950J | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/168/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Kshitish Chandra Mandal | AJUPM2448K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ms. Dipamoni Acharjee | AKNPA2732Q | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/188/ERO/GLO / MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ronjit Barik | ALXPB0550E | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/168/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rajiv Ranjan Singh | ATRPS8363A | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/171/IMD-ERO/MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Kamal Krishna Debnath | AWGPD7072F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/168/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Mohammed Sajjad Akhtar | AYGPA0956M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/171/IMD-ERO/MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr.Rakesh Chandra Mondal | BHIPM6479H | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Samir Keshari | BVLPK1203Q | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/171/IMD-ERO/MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Bablu Mia | BYKPM1104Q | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/171/IMD-ERO/MAR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Win Realcon Ltd | AABCW0653M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| MARS Agrofarm Developers Limited | AAGCM8952L | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/167/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| ASSR Agro India Limited | AAICA6296M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/69/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Smt. MonalisaMitra | AGXPM6268P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/122/IMD-ERO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Joy Bhowmick | AJSPB1308F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shyamal Mondal | AKHPM9487C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/69/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| RAJU DEY | AKJPD8355N | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr.Laxmi Kanta Das | AKQPD1001N | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/122/IMD-ERO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Subhankar Mondal | AMAPM5180D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/69/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| RANABIR DAS | AMJPD0122F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Abu Taleb Mohammad | AMLPM2792C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/167/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| RAJIB DEBNATH | AMYPD5063C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| SWAPAN DUTTA | ANOPD6613K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| RITA DAS | ARNPD1804H | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Amit Debnath | AUOPD1957D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| SANJIB PODDER | AVJPP9442R | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Raghu Nath Patra | AWKPP2956L | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/69/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| SUPRIYO SARKAR | AYCPS5612G | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ambitious Diversified Projects Management Limited | AAICA9397H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/85/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr.Toofan Chandra Dey | AGCPD2335D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Kshitish Chandra Mandal | AJUPM2448K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Fantasy Infraventure & Agrimart India Limited | AABCF8236B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/63/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Prasit Kumar Biswas | ALMPB0423H | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/20/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Prayas Projects India Limited | AAFCP0276P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/PS/119/IMD-ERO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Kaizar Biswas | AHPPB4561M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/PS/119/IMD-ERO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mohammad Jiaur Rahaman | AHVPR6412M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/PS/119/IMD-ERO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Subhash Kar | AOZPK6009G | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/EFD-DRA-III/154/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Sujit Das | ASSPD0181D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/EFD-DRA-III/154/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Suma Kardas | BLUPK3807K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/EFD-DRA-III/154/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Aryan Agro Projects India Limited | AAJCA7392R | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/EFD-DRA-IV/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Shri Robin Ojha | AAVPO4270B | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Rakesh Raushan | AFQPR0048J | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Birendra Thakur | AGHPT8453D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Anil Kumar Mishra | AITPM9488K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Shailesh Kumar Mishra | AJTPM9601P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Manoj Chouhan | AMZPC7233N | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Uttam Das | AOQPD9121F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Abadh Kumar Singh | CBIPS7345R | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Birendra Thakur | AGHPT8453D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Manoj Chouhan | AMZPC7233N | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Abadh Kumar Singh | CBIPS7345R | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/efd-dra-iv/184/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Real Vision International Limited | AAECR8282J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Prasanna Kumar Nayak | AIFPN1886Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rashmi Ranjan Mohanty | AJGPM6875J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sukanta Biswal | AJKPB5669B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Devi Prasad Mohanty | APNPM2950D | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sidhartha Kumar Barik | APSPB9232Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Bidesi Behera | AQJPB1669H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, in the matter of Real Vision International Limited | WTM/PS/106/ERO/BLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Chandra Prakash Verma | AJYPV9387Q | UNDER SECTIONS 11, 11(4), AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/58/NRO- CIS/DEC/2014 | 19-Dec-2022 | PAN deactivated for opening of new account |
| BSP Infrastructure & Construction Limited (BICL) | AAECB0693B | Under sections 11, 11(4), and section 11B of the SEBI Act, 1992 | WTM/AB/efd-1/dra-iv/13/2020-21 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sthirakshmi Mercantile Private Limited | AADCS6971Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD2/LAD2_D R AII/P/OW/2022/0000034226/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Strategic Vanija Private Limited | AANCS0444G | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD2/LAD2_D R AII/P/OW/2022/0000034217/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Urja Multitrade Private Limited | AABCU3449D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd-1/dra-4/AA/32750/21/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Swati Bajaj | BSMP50016F | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd2_SATLIT/P / OW/2022/312 55/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Surajit Ray Barman HUF | AAVHS4326M | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd2/AO/RLJ/ P G/33020/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ashwani Kumar Lamba | ABXPL4997R | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1/efd1_DR A2/P/OW/2022/ 33822/8 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Tirumala Enclave Private Limited | AACCT8417H | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD1/LAD1_D O P4/P/OW/2022 /0000041503/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Tara Devi Shyamsukha | AJZPS9876J | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/LAD1/LAD1_D O P4/P/OW/2022 /0000039473/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Basudeb Sardar | BKEPS2204R | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/27/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ramen Chatterjee | ACTPC0530E | Under Sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/166/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Assure Agrowtech Ltd | AAGCA7035E | Under sections 11(1), 11(4), 11B and 11D of the Securities and Exchange Board of India Act, 1992 | WTM/PS/25/IMD-CIS/SRO/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. R. Devadoss | AUCPD0859J | Under sections 11(1), 11(4), 11B and 11D of the Securities and Exchange Board of India Act, 1992 | WTM/PS/25/IMD-CIS/SRO/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr.Mohd.Tanveer Ahmed | BAWPA2323M | UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF M/S STOCK INDICATION | WTM/GM/SRO/SRO/10 34 6/2020-21 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr.Sunny Gupta | BKJPG7330K | UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF M/S STOCK INDICATION | WTM/GM/SRO/SRO/10 34 6/2020-21 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Satya Ranjan Chaudhuri | ACEPC5756F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA- IV /130/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Chandrani Dan | AGOPD6484H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA- IV /130/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Imax Projects India Limited | AACCI1617C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPM/EPD-1-DRA-IV/48/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| RTC Properties Limited | AAECR6307C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/83/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Iftekhar Hussain | ACLPH2788P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/85/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Dilip Kumar Verma(PAN: AEFV2812Q) | AEFPV2812Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPM/EPD-1-DRA-IV/48/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Raja Mamun Hasan | AEOPH4986G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/27/ERO-RLO/IMD/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sarmistha Roy | AFYPN2168P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/83/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Karunamay Roy | AGUPR7778Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/83/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Morshed Mehedi Hossain | AHFPH2742C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/27/ERO-RLO/IMD/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sankar Das | AJCPD8074A | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/65/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Nimai Kumbhakar | ALUPK1326J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/85/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Krishna Nand Pathak | AXVPP2389P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/65/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Apurba Kumar Mondol | AYAPM9092Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/85/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Minarul Mondal | AYXPM0255E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/27/ERO-RLO/IMD/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rajoo Shah | BZPPS2613N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/65/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mohammad Sohaib | CVFPS3456N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/85/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Hahnemann Herbal Limited | AABCH1425N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/127/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Jivan Sathi Dream Projects Limited | AACCI3999G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/11/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Tower infotech Ltd | AACCT2471P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/26/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Riju Cement Limited | AADCR3601C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/82/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Golden Heaven Agro Projects India Ltd | AAECG6236F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shreebhumi Construction Ltd | AARCS1081P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/4/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Skymarg Agro Industries India Limited | AASCS8925M | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA- IV/44 /2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Kartikeya Parida | ADJPP0069J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Pradip Kumar Bera | AHUPB3853F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Hitesh Kumar Bagartti | AJDPB3589R | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Pradip Chakraborty | AJPC6225B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/11/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Himangshu Mukherjee | AJWPM6802H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/155/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Asit Roy | AMAPR0018H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/127/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Paramasish Bhadury | ANNPB2618K | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/155/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Nakhat Sing Agarwalla | APRPA3621H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/155/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Avijit Chakraborty | AQSPC7029H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Smt. Harapriya Jena | ARIPJ8232A | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Subhasis Mahato | ARQPM0643J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA- IV/44 /2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Minu Chakrabarti | ATZPC0321Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Bimalendu Rakshit | AVIPR2257F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/155/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| SAHAB Uddin Khan | AWGPK0821R | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/20/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| SANDIP PARUI | AYUPP6220B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/20/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Rajoo | AZQPR8625K | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/63/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mukesh Kumar Khare | BBVPK0966N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/83/NRO/IMD/ N OV/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Anil Kumar Mishra | BDAPM9086Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/155/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ranjeet Kumar | BDMPK7608E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/63/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| GAURANGA ROY | BFDPR1288E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/20/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mrinal Kanti Paul | BIDPP6796G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Smt. Nirupama Sahoo | CLPPS9856Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sutanu Sarcar | CUJPS7833J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/155/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sarada Pleasure & Adventure Limited | AAMCS5786L | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, | WTM/PS/118/IMD/ERO-PLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sukumar Debnath | APPPD3295N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, | WTM/PS/118/IMD/ERO-PLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Savita Srivastava | AUPPS3064B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, | WTM/PS/118/IMD/ERO-PLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shilajit Ghosh | ADOPG8643G | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA- IV/141 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Dilip Jana | AVEPJ1234C | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA- IV/141 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Bappaditya Mukherjee | AYAPM0995J | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA- IV/141 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Vidyasagar Singh | ATTPS0036J | UNDER SECTIONS 11, 11(4), 11A AND 11B of Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA-IV/48/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| ShriNageshwar Panchal | ALTTP5193K | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB /efd-1-DRA-III/152/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Umesh Kumar Tulsyan HUF | AAAHU1239F | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd-1/DRA-4/AA/32750/6/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Usha Gupta | BDJPG6082P | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd-1/DRA-4/AA/33432/22/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Manoj Kumar Mittal HUF | AAIHM2672Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | AO/RRM/RV/OW/2022/ 3 9901/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Manoj Kumar Goenka HUF | AALHM5267Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | AO/RRM/RV/OW/2022/ 4 5850/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Surendra Kumar Joshi | ACUPJ8970E | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | PD1/AO/RLJ/SK/2022/3 4 613/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Vidya Devi Srimal | ALIPS3240B | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd2/efd2_SL D 2/P/OW/2022/ 42204/1 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Surbhi Agarwal | ATCPA4518Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd2/AO/RLJ/ P G/33012/2022 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Udayan Banerjee | ADLPB4942L | Under sections 11 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA-IV/26/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Vikdas Industries Limited | AADCV5860F | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/166/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Sandip Paul | BBAPP0971P | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/166/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Shanmugam Rajendran | ALAPR1414Q | Under sections 11(1), 11(4), 11B and 11D of the SEBI Act, 1992 | WTM/PS/25/IMD-CIS/SRO/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Siddhartha Sankar Roy | AKKPR3095A | Under sections 11(1), 11(4), and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/27/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| LR of Sabyasachi Roy | AKZPR6202Q | Under sections 11(1), 11(4), and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/27/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shreysth Production Industries Limited | AAMCS9737P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/65/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shankalp Food and Beverages Limited | AARCS2055D | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/53/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |

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| Mr. Md Shariful Haque | AENPH1494N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/27/ERO-RLO/IMD/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Pramesh Das | ANAPD9529Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/65/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Satyajeet Kumar Singh | APUPS6554G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/83/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Washim Raja Anasari | AQXPA6875G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/27/ERO-RLO/IMD/MAY/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Jiban Mitra | ARIPM6971F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/83/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Amit Kumar Roy | AYNPR1829B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/83/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| En Aromatic & Petro Chemicals Limited | AAACV4431F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/83/NRO/IMD/ N OV/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| M/s Nava Diganta Capital Services Limited | AADCN7151R | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Vasundhara Realcon Limited | AADCV3799B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/20/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ashok Kumar Yadav | ABXPY7352F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Smt. Subarna Naik | ACOPN1603L | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Shri Ramachandra Hansdah | AFFPH5989B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Runa Mazumder | AIXPM8121D | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/77/2012 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Goutam Banerjee | AJHPB0531D | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/127/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Santi Rajan Banerjee | AJNPB1075C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/127/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| ASHIS SARKAR | ALAPS2173D | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/20/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Swapan Roy | ANVPR7210H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/82/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Pradip Kumar Das | ANZPD5088R | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA- IV/44 /2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Gouranga Sundar Chakraborty | ATZPC0359C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/ 2 016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Roshni Guha | AVJPG3285H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/11/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Ram Kailash | AVNPK2820L | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/63/2017 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Smt. Smita Patra | BQVPP6664H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/23/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Chhotelal Shukla | CLJPS2300B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/83/NRO/IMD/ N OV/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Nandita Sengupta | BKTPS9489M | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992, | WTM/PS/118/IMD/ERO-PLO/DEC/2015 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Netai Pramanik | BAFPP4523B | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA- IV/141 | 19-Dec-2022 | PAN deactivated for opening of new account |
| ShriShankar Lal Yadav | AGOPY4099F | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB /EFD-1-DRA-III/152/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| ShriDilip Devda | BIGPD0520B | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB /EFD-1-DRA-III/152/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| ShriHari Singh | DOZPS1931J | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB /EFD-1-DRA-III/152/2018 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Mohammad Younus | AHJPM6572H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/15/ERO/ APR/2016 | 19-Dec-2022 | PAN deactivated for opening of new account |
| Gurjit Singh HUF | AAEHG3098J | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | HRD/ADI/PM/OW/P/41 143/1/2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Gilt Commodities Private Limited | AADCG7443H | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | IMD/DOF3/OW/2022/3 4410/1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Usha Devi Taparia | ABRPT1545K | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EFD1/EFD01_D RA1/P/OW/202 2/40160/1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Uttam Padia HUF | AAAHU2294L | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EFD1/EFD01_D RA1/P/OW/202 2/0000040107/1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Valueadded Merchants Private Limited | AACCV7295D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EFD1/EFD01_D RA1/P/OW/202 2/40182/1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ulike Carriers And Finance Private Limited | AAACU6742L | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/DM/AK/P/OW /2022/037632/1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Giltedge Vincom Pvt Ltd | AABCG0145L | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | IMD/DOF3/P/OW/2022/ 34428/1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Grovalue Commodity Pvt. Ltd - Chennai Address_1 | AAFCG2360M | PERSOAL HEARING BEFORE QUASI JUDICIAL AUTHORITY IN THE MATTER OF /M/S. GROVALUE COMMODITY PVT. LTD. (NOTICE) | SEBI/HO/EFD1/DRA3/P/ OW/2022/53641/ 1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Fairwealth Commodity Broking Pvt. Ltd. | AABCF0079J | SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST FAIRWEALTH COMMODITY BROKING PVT LTD. (NOTICEE) | SEBI/HO/EPD1/DRA3/P/OW/2022/29399/ 1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Securities Ltd | AAACF8795N | SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST FAIRWEALTH SECURITIES LIMITED (NOTICEE) | SEBI/HO/EPD1/DRA3/P/OW/2022/29403/ 1 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Pradeep Johari | ABFPJ7548A | Show cause notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995 in matter of dealings in Illiquid stock options (ISO) at BSE | EAD- 8/AS/AK/41761/1/2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| KUSUM JASWANT AHUJA | AFLPA0272N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/HP/25105/20 21 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| KUSUM KANODIA | AFTPK7864A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/HP/23948/20 21 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| KUSUM SHAH | AGGPP2951B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/HP/23447/20 21 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| LALIT KUMAR SINGHANIA | AJGPS4080D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/RM/17079/2 021 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| MADHU AGRAWAL | AQCPA9517C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/RM/1660/20 22 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Vishwaraja Properties And Consultancies Private Limited | AACCV8086C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 08-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhagat Ram Gupta HUF | AAEH89860R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Virat Agarwal HUF | AAEHV8384G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 04-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhash Chowdhary HUF | AAFHS4954Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhlabh Share Brokers Private Limited | AALCS9370B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhkari Commodities Private Limited | AARCS1799L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhash Chandra Gupta | AASPG3094N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 01-09-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjeev Butia HUF | AEHPB9348F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 05-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sudha Devi Kedia | AMTPK1562E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Sudhir Singh | ASTPS5934N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sudhaben Ashokbhai Patel | ATLPP3443L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Atul Kumar Fatehlal HUF | AABHA2977D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 04-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Core Resource Finance Limited | AABCC0213H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Babu Lal Choudhary | ACOPC6432A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 08-08-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| KWALITY CREDIT & LEASING LTD. | AABCK0710B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/25498/2 021 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| LOOKLINE VINIMAY PRIVATE LIMITED | AACCL1777G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/25160/2 021 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| M.K. AGRAWAL HUF | AAJHM2310J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/28496/2 021 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| MACHIWAL & SONS | AAQFM0500M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | Mail recieved from SEBI as on 04-10-2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| RANJITSINGH JUNEJA | AFBPJ6529B | Show cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Im posing Penalties) Rule, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/SM/32312/1/2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Brij Mohan Mahajan | AAWPM1136F | Under section 11 and 11B of SEBI Act, 1992 | WTM/PS/38/NRO/AUG/ 2015 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Indranil Das | AFTPD7822F | Under section 11 and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/81/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Suraksha Family Services Limited | AAJCS1625B | Under section 11 and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/81/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Angel Allied India Limited | AAKCA9069J | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/45/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhardwaj Muni Tripathi | ADDPT0119K | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/NRO/SEPT/20 15 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Shankar Lal Gupta | AGMPG4657M | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sourav Bardhan | AIZPB6070C | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/49/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashok Kumar Singh | AKGPS7982R | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/NRO/SEPT/20 15 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Jainendra Kumar Patel | AKOPP8093N | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Prafulla Kumar Kundu | ASRPK2076K | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/149/ERO/FEB/ 2016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Alok Kumar Singh | AWJPS1018D | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Umesh Soni | BTKPS1732H | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Sunayana Jain | AGBPJ8881F | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-4/ADJ/GR/PU/OW/P/39 975/1/2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Priyanka Pramod Mhapsekar | ATPRM4285D | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-4/ADJ/GR/PU/OW/P/39 985/3/2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Mukesh Kumar | BAPPK2160M | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-4/ADJ/GR/PU/OW/P/40 052/1/2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Asifa Tanveer M Shaikh | CNAPS8962G | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-4/ADJ/GR/PU/OW/P/40 052/5/2022 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Anil Solanki | AHAPS0240F | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. BM/AO- 109/2010 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Navin Trikamdas Thakkar | AADPT4715C | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. BM/AO- 103/2010 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Jignesh P Lakhiani | AAZPL6285F | UNDER SECTION 15-1 OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. BM/AO- 105/2010 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| IFSL Ltd. | AAACI4383H | UNDER SECTION 15-I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | ADJUDICATION ORDER NO. - SD/AO/69/2010 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Bharatkumar Baldevbhai Parmar | ARTPP9101B | UNDER SECTION- 1-S.I OF SECURITIP.S AND EXCHANGE BOARD OF INDIA "ACT, 1992 RE.AD WITH RULE 5 OF SHBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995. | EAD-2/DSR/RG/PU/345-403/2015 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Rhine & Raavi Credits and Holdings Limited | AAACN4793N | UNDER SECTIONS 11 AND 11B OF SEBI ACT, 1992 | WTM/PS/92/NRO/MAR/ 2015 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Rakesh Gupta | ASVPG8313M | UNDER SECTIONS 11 AND 11B OF SEBI ACT, 1992 | WTM/PS/92/NRO/MAR/ 2015 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Satya Ranjan Debnath | APSPD5747C | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED | WTM/GM/efd/DRAIII/3 4/2017-18 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Akriti Advisory Services Pvt Ltd | AAICA9057G | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 in the matter of Touch Wood Limited. | WTM/GM/efd/47/2018 -19 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sumanta Sinha | ALBPS3314F | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/efd /52/2017-18 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Punam Chand Patidar | BTUPP0108C | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 | WTM/AB/WRO/WRO/7 170/2019-20 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Sajal Bhowmick | ADAPB7401P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/18/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Subrata Das | AJKPD1494Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/18/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Abhijit Dhar | AKLPD0722D | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/18/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajesh Kumar Das | ALNPD0787H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/18/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |

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| Micro Leasing and Funding Limited | AAECM7687C | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/200/ERO-BLO/MAR/2016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Ranjit Gope | AILPG0108L | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Aloke Das | AINPD1762F | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Kali Prasad Mishra | ANZPM6759L | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/200/ERO-BLO/MAR/2016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Shaikh Mokhesur Rahaman | AXVPS8485R | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Prasun Mondal | ALFPM5139C | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Jainendra Kumar Patel | AKOPP8093N | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Alok Kumar Singh | AWJPS1018D | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Shankar Lal Gupta | AGMPG4657M | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Prasun Mondal | ALFPM5139C | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | Demat account Frozen & PAN deactivated for opening of new account |
| Rashmi Mishra | AMYPM4378H | Adjudication Proceedings in the matter of dealings in Illiquid stock Options (ISO) at BSE | SEBI/HO/MRD/MRD- PoD-3/P/OW/2022/0000039706/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Valuable Commodities Trade Private Limited | AADCV6935D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EFD1/EFD01_D RA1/P/OW/202 2/40197/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Kerala Housing Finance Ltd | AACCK2183P | In respect of Interim Orders dated July 31, 2015 and November 20, 2015 in the matter of Kerala Housing Finance Ltd. (KHFL) | WTM/GM/CFD- IMD/41/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| P. Parameshwaran | AANPP0136H | In respect of Interim Orders dated July 31, 2015 and November 20, 2015 in the matter of Kerala Housing Finance Ltd. (KHFL) | WTM/GM/CFD- IMD/41/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Komala Unnikrishnan | AATPU6479N | In respect of Interim Orders dated July 31, 2015 and November 20, 2015 in the matter of Kerala Housing Finance Ltd. (KHFL) | WTM/GM/CFD- IMD/41/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| G Unnikrishnan Nair | AKHPP5583F | In respect of Interim Orders dated July 31, 2015 and November 20, 2015 in the matter of Kerala Housing Finance Ltd. (KHFL) | WTM/GM/CFD- IMD/41/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| G Suresh Kumar | AVXPG2603H | In respect of Interim Orders dated July 31, 2015 and November 20, 2015 in the matter of Kerala Housing Finance Ltd. (KHFL) | WTM/GM/CFD- IMD/41/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| N Krishnan Nair | BQYPK5654D | In respect of Interim Orders dated July 31, 2015 and November 20, 2015 in the matter of Kerala Housing Finance Ltd. (KHFL) | WTM/GM/CFD- IMD/41/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Speciality Paper Ltd | AAECS0861Q | SERVICE PF PRDERS IN TERMS OF CIRCULAR NO. SEBI/HO/EFD1/EFD_DR4/P/CIR/2022/104 | EFD-1/DRA-1/KSB/ON/39135/2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Laxmi Gupta | AENPG1257N | Show cause notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995 in matter of dealings in Illiquid stock options (ISO) at BSE | EAD5/MC/RM/18932/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| PATAWARI KUSUM DEVI | AEOPP3917K | Show cause notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995 in matter of dealings in Illiquid stock options (ISO) at BSE | EAD5/MC/HP/24508/20 21 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Laxmi B Nair | AESPN6952N | Show cause notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995 in matter of dealings in Illiquid stock options (ISO) at BSE | EAD5/MC/RM/18937/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Leela Jain | AETPJ0960R | Show cause notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995 in matter of dealings in Illiquid stock options (ISO) at BSE | EAD5/AA/PR/2022/4242 8 | 26-Dec-2022 | PAN deactivated for opening of new account |
| JAILANI M MEHABOOB | AFAPJ1409F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-04-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |

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| KUSUM KANODIA | AFCPK4431Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/HP/23949/20 21 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Kusum Devi Agarwal | AFLPA4345Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/HP/23449/20 21 | 26-Dec-2022 | PAN deactivated for opening of new account |
| KUSUM DEVI PATWA | AFNPP8937P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/HP/24509/20 21 | 26-Dec-2022 | PAN deactivated for opening of new account |
| BEEHVI M MARIYAM | AHPPB3821J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-04-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Kushal Chand Jain | AIHPJ4415B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/MC/HP/20653/20 21 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Vista Promoters Private Limited | AACCV8127C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 08-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Chirag Sharad Vora HUF | AACHC8112P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Vishal Agarwal HUF | AACHV4748L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 05-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Confident Vinimay Private Limited | AADCC3724B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sankat Mochan Holdings Private Limited | AAECS8505M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 05-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sukhisansar Construction Pvt Ltd | AAGCS0343F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 12-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Virendra Kumar Mishra HUF | AAGHV6997P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Vineet Jain HUF | AAIHV4124N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD6/GG/VP/32129/1/2 022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Pawan Kumar Poddar HUF | AAJHP0816Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 03-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Srishti Bhalotia Beneficiary Trust | AAJTS1781M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 04-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sudhir Sehgal HUF | AAYS6731A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Suchitra Mohta | AFAPM6986L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |

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| Aviral Gupta | ATQPG4948N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 04-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sumit Agarwal | BLVPA7861A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| ASK Bhoomi Developers Pvt Ltd | AACCV8954B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| ASK Realty And Developers Ltd | AAGCA6564J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Aspolight Merchants Private Limited | AAICA0430D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 11-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Babita Goel | AEGPG4399J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 08-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Chirag Sharma | ELYP54323N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Bela Mukherjee | ANPPM3883R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Vishal Kumar Narang HUF | AAFHV4924B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 05-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Bestway Dealcom Private Limited | AAECB8238J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Bhagat Ram Gupta Sons | AADHB7155A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 10-08-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ramesh Chand Agrawal HUF | AAJHR7550P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD- 8/AS/AK/40890/1/2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| USG Holdings Pvt. Ltd. | AAACU5643G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | SEBI/ERO/MD/AK/OW/2 022/42209/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LOHARU PROPERTIES PRIVATE LIMITED | AAACL4660L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/24606/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LOVEJOY COMMODITIES PRIVATE LIMITED | AAACL5317L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/24603/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LUCKY PRIME DEALERS PVT LTD | AAACL5327N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/28230/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LCI India Ltd | AAACL5741Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/17883/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |

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| Larceny Mercantiles Private Limited | AAACL6157G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/17872/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| L K Harlalka HUF | AAAH5497G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/HP/26631/20 21 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LAKHAN LAL BHAWARSAR (HUF) | AAAH8741B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/27405/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Madhav Periwal Family Trust | AAATM9083B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/2284/20 22 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LACTOSE VINIMAY PRIVATE LIMITED | AABCL0366N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/HP/27394/20 21 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LAMBODAR MERCHANDISE PRIVATE LIMITED | AABCL1484F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/17055/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Loyal Merchant Pvt Ltd | AABCL2925R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/24601/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| LEMON VINCOM PRIVATE LIMITED | AABCL7829A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/19825/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Linkwise Distributors Private Limited | AABCL9320L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/19836/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Lifelong Nirman Pvt Ltd | AABCL9716C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/19515/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Lalit Mohan HUF | AABHL6169A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/17058/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Lemonmint Commercial Pvt Ltd | AACCL1889R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/17885/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Rashmi Tower Pvt Ltd | AACCR5855M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD- 8/AS/VS/35909/2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Lalit HUF | AACHL1904L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/17077/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Maa Mahamaya Rolling Mill Pvt Ltd | AAECM1456D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | SEBI/HO/EPD1/EPD1_DR A4/P/CIR/2022/ 104 | 26-Dec-2022 | PAN deactivated for opening of new account |
| M K Kejriwal And Sons HUF | AAFHM0567B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/28337/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Maa Bhagawati Re Rolling Mills Pvt Ltd | AAGCM2377R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | Mail recieved from SEBI as on 04-10-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |

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| Maa Ambay Commosales Pvt Ltd | AAGCM8442H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | Mail recieved from SEBI as on 04-10-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Madan Mohan HUF | AAIHM2560H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/AA/PR/2022/4268 3 | 26-Dec-2022 | PAN deactivated for opening of new account |
| M L Shukla Grand Sons | AAMFM4254G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/28490/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| M K Sharma HUF | AAMHM3891D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | EAD5/MC/RM/28479/2 021 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Leela Devi Bajaj | ADEPB1185G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options at BSE | Mail recieved from SEBI as on 04-10-2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ekanki Agarwal | BOHPA8990M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IVD/ID8/SCN/AO- SSNS/35395/1 /2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Zolton Properties Private Limited | AAACZ2996D | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-4/ADI/GR/HK/OW/P/21 332/2/2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Amit Kumar Vasishtha | AKNPV5025B | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-4/ADI/GR/PU/OW/P/29 067/5/2022 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Aapna Pariwar Agro Farming Developers India Limited | AAHCA8718R | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD. | WTM/GM/EFD/DRAIII/1 5/2018-19 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Partha Gope Mazumder | AJHPG9603K | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD. | WTM/GM/EFD/DRAIII/1 5/2018-19 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sudhakar Panda | BBSPP5763P | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED | WTM/GM/EFD/DRAIII/3 4/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Idol India Infrastructures Limited | AACCIS234R | UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/PS/08/IMD-DOF/MAY/2014 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Jagdish Sarangi | BTCPS3876E | UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/MPB/EFD-1-DRA-IV/68/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Narugopal Dasadhikari | AKAPD6978N | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/PS/177/IMD/ERO-RLO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Jafar Ali Molla | AZQPM4641Q | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/PS/177/IMD/ERO-RLO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Kartick Chandar Das | AZTPD6449C | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/PS/177/IMD/ERO-RLO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Capquest Research Pvt Ltd | AAGCC0137R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008. | WTM/RKA/EFD-DRA-IV/189/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Shivalik Cotex Ltd. | AAICS3938E | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 107 OF THE SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF: | WTM/RKA/EFD/123/201 6 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Krutibibha Corporation Ltd | AAECK3511F | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED | WTM/PS/124/ERO/BLO/DEC/2015 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Dayanidhy Behera | AWUPB3897Q | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED | WTM/PS/124/ERO/BLO/DEC/2015 | 26-Dec-2022 | PAN deactivated for opening of new account |

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| Ranjit Kumar Sethi | CCRP58635J | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED | WTM/PS/124/ERO/BLO/DEC/2015 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Puspa Sethi | CTTP58720B | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED | WTM/PS/124/ERO/BLO/DEC/2015 | 26-Dec-2022 | PAN deactivated for opening of new account |
| 1/ Second Land Developers Pvt/ Ltd/ (now known as ABG Resources Pvt/ Ltd/) | AAKCS2326Q | Under Sections 11(B), 11(1) and 11(4) of the SEBI | WTM/MPB/EFD-1-DRA-111/115/2020 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Optionone Trade and Mercantile Pvt. Ltd. | AABCO6415H | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/159/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Vivek Chaurasia | AHVPC3063F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/159/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Rohit Singhai | CCDPS1488R | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/159/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Vinod Kumar Shrivastava | CMCPS8260C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/159/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| URO Agro India Limited | AABCU1364K | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ipsita Das Giri | AILPD1367L | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Biswapriya Giri | AIXPG7534N | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ajit Kumar Routh | APXPR7152Q | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Biswapriya Giri | AIXPG7534N | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ipsita Das Giri | AILPD1367L | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/35/07/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Gopal Hari Agarwal | AETPA7820D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | IMD/DOF3P/OW/2022/ 35355/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Babar Ali | AKVPA7135K | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/87/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Biplab Halder | AHGP6624N | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/EFD/48/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Falcon Infra Projects Ltd | AABCF3789K | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/87/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Midas Touch Assets and Securities Limited | AABCI2925P | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/EFD/51/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Soma Chakraborti | AEKPC9920P | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/EFD/48/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sonia Parvin Alim | AHJPA9471D | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/87/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Soubhagya Kumar Samal | AMYP53013P | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/EFD/51/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Swapan Kumar Sen | CILPS3142L | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/EFD/48/2017-18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Life care Infrastructures India Limited | AACCL2342M | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/131/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| DGR farms & leisure Limited | AADCD2895N | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sampriti Projects Ltd | AALCS5520M | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/178/ERO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Md. Obay Dul Islam | AANPI7704G | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/178/ERO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sonali Islam | AANPI7705H | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/178/ERO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Harendra Kumar Upadhyay | AAOPU9033B | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/NRO/SEPT/20 15 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Debi Prasad Mokherjee | AEWPM1966K | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/49/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sushil Kumar Dubey | AFAPD7831F | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Gurupada Das | APEPD6402Q | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/76/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Shyamal Kumar Mitra | AQDPM7488B | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/178/ERO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Nazrul Islam Gazi | ARCPG5694H | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/178/ERO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Saikat Roy | ASOPR6898P | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/149/ERO/FEB/ 2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ashutosh Dwivedi | ATWPD6907G | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/RKA/EFD/EFD- DRA-III/191/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Santosh Kumar Mishra | BCSPM6763R | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/45/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ramprasad Sarkar | BDFPS5895A | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/131/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Basir Uddin Khan | BOSPK3303R | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/29/ERO/IMD/ MAY/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ramashish Singh | BSFPS9624C | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/NRO/SEPT/20 15 | 26-Dec-2022 | PAN deactivated for opening of new account |

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| Dulal Senapati | CJSPS8001G | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/76/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mahendra Kumar Sahu | CQDPS7744E | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/RKA/efd/efd- DRA-III/191/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Dinesh Bhuriya | BIMPB9488A | Under section 11,11(4)and 11B of SEBI Act, 1992 | WTM/GM/efd/25/2018-19 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Dinesh Nayak | AHQPN4086L | Under section 11,11(4)and 11B of SEBI Act, 1992 | WTM/GM/efd/25/2018-19 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Rakesh Arya | BAHPA6188D | Under section 11,11(4)and 11B of SEBI Act, 1992 | WTM/GM/efd/25/2018-19 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ramesh Chandra Nayak | AERPN2244Q | Under section 11,11(4)and 11B of SEBI Act, 1992 | WTM/GM/efd/25/2018-19 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sunshine Hi-Tech Infracon Limited | AARCS2487F | Under section 11,11(4)and 11B of SEBI Act, 1992 | WTM/GM/efd/25/2018-19 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Birendra Kaji | BSIPK9909F | UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/92/NRO/MAR/ 2015 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mohammed Afaque Ahmad | AJCPA6064F | Under Sections 11, 11(4), 11A and 11B (1) of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/ERO/ERO- RLO/ 105/2020 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Santanu Sen Choudhury | AGVPC5307K | Under Sections 11, 11(4), 11A and 11B (1) of the Securities and Exchange Board of India Act, 1992 | VVTM/MPB/ERO/ERO- RLO/ 105/2020 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Suncity Infracon Corporation (India) Limited | AAMCS2792J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/18/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Prashanta Das | AUVPD8794M | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/18/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Apu Saha | CCMPS5571E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/18/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Everlight Realcon Infrastructure Limited | AACCE6725D | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/163/ERO/RLO/ FEB/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Tribhuvan Agro Project Limited | AACCT6018N | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Gurukul Project Limited | AADCG9891P | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/79/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Pailan Park Development authority Ltd. | AADCP2087Q | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/103/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Phenix Properties Limited | AAECP2476Q | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Active Rural Development India Limited | AAJCA8863D | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/115/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Badrul Islam | AATPI5977M | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Hastibul Haque | ABMPH3009D | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/74/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Malay Halder | ADRP8145D | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/96/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Somnath Gupta | AGGPG9365G | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Banaja Pattnaik | AGTPP2815R | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/200/ERO-BLO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Dipankar Ghosh | AHVP3958R | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/96/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Maloy Kumar Guha | AHZPG4939L | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/96/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Bijoy Kumar Routray | AIBPR3316B | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/200/ERO-BLO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Kamdev Bhattacharjee | AISP3975F | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Chandan Sinha roy | AJGPR9741N | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/163/ERO/RLO/ FEB/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Amar Kumar Das | AJTPD9357P | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Shekhar Saha | AKAPS2175E | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Ashim Das | AMRPD2405P | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mihir Baisnab | ANRPB4794Q | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Debjannardan Mondal | AQBPM0366L | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/79/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Chandan Chakraborty | ARPC9106E | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/103/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Basudev Ghosh | AVAPG0570A | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/74/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Samir Saha | BJMPS7143A | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Bipin Kumar singh | BOGPS0921F | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/103/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Ms Vandana Saha | DFDPS3198A | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/115/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Seikh Kutubuddin | DNRPK1802D | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/163/ERO/RLO/ FEB/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |

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| DGR farms & leisure Limited | AACDC2895N | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/91/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sushil Kumar Dubey | AFAPD7831F | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/91/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Gokul Retailers Private Limited | AAECG5218M | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/3152 6/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Gerent Tradecom Private Limited | AAECG8991M | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/0000 048473/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Godawari Devi Sharma | AKRPS9594C | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/3149 6/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Gita Devi Jalan | ATVPJ2418H | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IMD/IMD-II/DOF3/P/OW/2022/33 154/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Vaishali Periwai Family Trust | AAATV5045Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1/efd01_D RA1/P/OW/202 2/40185/1 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Chakra Infrastructure Limited | AADCC6855H | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/efd/48/2017 -18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Nirupama Samal/Thatoi | AGGPT2796Q | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/efd/51/2017 -18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Subhas Bose | BLEPB3468F | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/efd/48/2017 -18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Swapan Majumdar | AKJPM4426D | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/efd/48/2017 -18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Swarna Lata Samal | ARCP56416R | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/GM/efd/51/2017 -18 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Cell Realcon Corporation Limited | AAECC9934A | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/49/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| AVM Real Estate & Allied Limited | AAICA3913D | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/RKA/efd/efd- DRA-III/191/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Kumar Kanti Bhattacharya | ADXPB7335B | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/49/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Rajeshwar Prasad Dwivedi | AGFPD9237P | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/RKA/efd/efd- DRA-III/191/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Kaushik Dhar | AILPD7952R | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/178/ERO/MAR /2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Manas Biswas | AJDPB9583B | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/178/ERO/MAR /2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Anshuman Ghosh | AMMPG9367Q | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/PS/149/ERO/FEB/ 2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Niamat Ali | AVYPA7767K | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/76/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Surendra Kumar | CBFPK9464A | UNDER SECTIONS 11 AND 11B OF SEBI ACT, 1992 | WTM/PS/92/NRO/MAR/ 2015 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Suvidha Land Developers India Limited | AAPCS0366M | UNDER SECTIONS 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/156/IMD/WR O/ILO/FEB/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Shri Dilip Kumar Diwakar | ABGPD2004E | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/115/2018 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Tirtha Halder | ACLPH0322F | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/96/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Gopal Deka | AFUPD9977N | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Saurabh Chakraborty | AHLPC8055B | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Biplab Kumar Dey | AHXPD7948N | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/163/ERO/RLO/ FEB/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Mr. Prithiish Das | AINPD9015B | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sunirmal Goswami | ARMPG3713B | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/74/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Apurba Kumar Saha | AUIPS7765C | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/103/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Nupur Purkayastha | AXAPP3680J | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/79/2017 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Durga Prasad Shadangi | AZAPS2983D | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/200/ERO-BLO/MAR/2016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Pradip Sardar | BGBPS1226C | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Amal Sen | BNGPS8347N | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| Sidhartha Sardar | BNOPS7521Q | UNDER SECTIONS 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2 016 | 26-Dec-2022 | PAN deactivated for opening of new account |
| G R Industries and Finance Ltd | AACCG0885C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SMAD/36001/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Suranjan Kar | AFCPK5730L | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED | WTM/PS/110/ERO/BLO/DEC/201 5 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| MOTILAL MAKHANLAL HOLDING PVT LTD | AACCM2227N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 44737/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| SUR SADHNA BARTER P.LTD | AAGCS7465L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/41106/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Spectrum Technoprojects Private Limited | AAOCS7016F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 12-08-2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bharatia Nanda Kishore | AAEHB1859G | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD-RAC-1/P/OW/2022/34957/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| PANKAJ KUMAR AGRAWAL HUF | AALHP4980R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 44618/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Saumen Mondal | AVZPM5268J | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF Surakshaplus Infrastructure Projects Ltd. | WTM/GM/SEBI/ERO/IMD/39/2017-18 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ratan Naskar | AHGPN9138H | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF Surakshaplus Infrastructure Projects Ltd. | WTM/GM/SEBI/ERO/IMD/39/2017-18 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Nityananda Baidya | AQIPB5315P | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF Surakshaplus Infrastructure Projects Ltd. | WTM/GM/SEBI/ERO/IMD/39/2017-18 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sankar Pailan | AWTPP2649D | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF Surakshaplus Infrastructure Projects Ltd. | WTM/GM/SEBI/ERO/IMD/39/2017-18 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| VIMLA DEVI GOLECHHA | ADIPG6724E | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/0000039380/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Manoj Agrawal | ABTPA4805B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/45411 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Naresh Kumar and Sons HUF | AAEHN9629L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-3/BM/UR/37604/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| HUNAR VIPIN KANDHARI | AHLPK1667P | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 32040/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Manish Bubna HUF | AANHM8407J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-5/AA/HP/47653/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjal Kanti Das | AVLPD3177H | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD-DRA-IV/189/2016 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Maritech Commotrade Pvt Ltd | AAICM7417L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/43072 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Surendra Kumar | ASHPK4559K | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | WTM/AB/EPD-1/DRA-3/22/2019-20 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| V Madhusudhan | ADEPV4483D | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD. | WTM GM EPD/46/2017-18 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Soni Todi | AJPT2318L | Show Cause Notice under Rule 4 (1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in respect of Soni Todi in the matter of dealings in Illiquid Stock Options at BSE | RRD/AO-JS/N/182/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dipak Gupta HUF | AAFHD3466C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/NRO/JS/ASR/ISO/48812/1/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Nanu Ram Saraf HUF | AADHN7088Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000037950/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Pushpa Devi Agarwal | ACIPA1867D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD-3/P/OW/BM/GN/2022/38993 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| MOHIT GOEL | AFPPG3335Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO.45341/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| VIDHU SHEKHAR SINGH | BGKPS2192E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AD/40455/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| SPS Builders Private Limited | AABCS0614E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 12-08-2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Namrata Singhal | CQTPS3629C | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000038375/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vikram Gupta | AAOPG1467H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 for Adjudication u/s 15 HA and 15HB of Securities and Exchange Board of India Act, 1992 in the matter of "Trading by certain entities in Mentha Oil futures contracts at Multi Commodity Exchange Of India Limited | EAD-6/AK/BS/49548/1/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sumeet Kumar Bhagat | AGRPB6998B | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED | WTM/PS/10/ERO/APR/2016 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Daksh Share Brokers Private Limited | AACCD9973A | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/AP/AS/OW/2022/4244 4/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Chandra Shekhar Sabat | CXKPS4104E | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED | WTM/PS/110/ERO/BLO/DEC/2015 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhasish Pande | AMNPP2918D | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED | WTM/PS/110/ERO/BLO/DEC/2015 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Yoganand Prasad | AKUPP4675Q | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT,1992 IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED | WTM/MPB/EFD-1-DRA-IV/99/2017 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| TRIVENI TIE UP PRIVATE LIMITED | AACCT2493D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/34505/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| TOUCHWOOD PROJECTS LIMITED LIABILITY PARTNERSHIP | AAHFT6013B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/37457/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Capable Mining Private Limited | AAECC5511F | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000037964/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vivek Arora | AABPA5556C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 05-08-2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Monika Jain | AHWPJ3775G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO.45482/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Radhika Nevatia | AAUPN5467E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/OW/37310/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhagwan Nuhai HUF | AAFHB1057D | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE | Email send by SEBI to client on 10-08-2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Hora Tie-up Private Limited | AACCH3927N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 39026/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Khyati Merchants Private Limited | AAECK2274R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD-3/BM/JR/44281/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhumika Fiscal Services Private Limited | AACCS6622B | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE | OUTWARD NO.38954/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Improve Vincome Private Limited | AACCI0550Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 41114/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Kishan Kumar Jajodia HUF | AACHK5312H | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MRD2/MRD2_DDAP/P/OW/2022/39516/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sudarshan Venkataraman | AWJPS4793B | Under Sections 11, 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/SM/IVD/ID10/34/2021-22 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Circle Deal Trade Private Limited | AADCC8834G | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/AP/AS/OW/2022/42550/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Octagon Media Matrix Pvt Ltd. | AABCO1930D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD3/P/OW/BM/GN/2022/38004 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| TRILOKESH TRADERS PRIVATE LIMITED | AAECT4878Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/37372/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Krishnamurthy G | BSFPK6683P | SHOW CAUSE NOTICE UNDER SECTIONS 11B, 11B(1), 11B(2), 11(4) AND 11(4A) OF SEBI ACT, 1992 FOR ALLEGED VIOLATION OF SECTION 12(1) OF SEBI ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013 | SRO/UIA/SC/SK/OW/P/28390/1/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| PANKAJ GOEL | ACTPG7828D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO.44623/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| VINEETA KABRA | ABNPL7384C | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/000039376/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanchita Roy | ARDPR2733D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/OW/42305/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| DEBNATH KALYAN | AQDPD7057P | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD. | WTM/GM/IMD/69/2018-19 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Nisha Chaturvedi | ALGPC3438B | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-3/BM/UR/36680/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| UMESH JAYANTILAL DESAI | ABCPD9261H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/36543/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| SATYEN VYAS | AATPV7629K | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/32000/1/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Nandini Gupta | ALGPG2954A | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000038274/1 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Manish Agarwal | AHZPA2178F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-5/AA/HP/47641/2022 | 02-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| HITA MANISH DAVE | AEEP0476M | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 32697/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Nandkishore Maskara | AEKPM3430Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000038173/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Susk India Limited | AAOCS2750A | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | WTM/GM/EFD/78/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| USK India Limited | AABCU0988M | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | WTM/GM/EFD/44/2018-19 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| Narendra Nath Jindal | AAAHN9264M | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000035878/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sungold Properties Pvt Ltd | AAPCS4873D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/32265/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sunbright Commodities Trade Pvt Ltd | AAOCS7903F | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/32386/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manmohak Infrastructure Private Limited | AAHCM1736B | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/AA/HP/2022/42893 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Namrata Choradia | AVLPC7083R | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000038310/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Narayan Prasad | AACHN8119B | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MIRSD/MIRSD-PoD-1/P/OW/2022/0000036716/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Tripurari Properties Private Limited | AACCT9675P | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/MD/RK/OW/2022/037517/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| S K Agarwal and Sons HUF | AAJHS6712E | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/IVD/ID17/BSR/AS/2022/13638/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Raj Kumar Mukesh Kumar | AADHR9245K | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/35043/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Radhey Shiam Khanna | AGTPK7570L | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/37434/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Karisma Padia | BDQPP9419J | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-3/BM/UR/31850/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ramdulari Devi | AJSPD8240L | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/IVD-13/SCN/AO-DJ/AG/2022/33965/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Dalam chand Bhurat & Sons | AABHD7786D | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/AP/AS/OW/2022/042433/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Chirag Commodeal Pvt Ltd | AADCC4988P | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/AP/AS/OW/2022/42691/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Kasvi Commosales Pvt Ltd | AAECK3234H | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-3/BM/UR/31833/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mohan Lal Varma And Sons HUF | AAFHM9289Q | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/VSS/SB/OW/2022/37712/1 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Kasturi Lal Aggarwal | ACQPA9453K | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-3/BM/UR/31836/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Kirti Tracon Private Limited | AABCK8634L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD3/P/OW/BM/GN/2022/39171 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Nagarmal Periwai | AABHN6572P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD3/P/OW/BM/GN/2022/39418 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Khaitan Beverages Pvt Ltd | AADCK9786H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD3/P/OW/BM/GN/2022/39160 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Kasvi Trading Company Pvt Ltd | AAECK3321R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD-3/BM/JR/37074/10/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Samridhi Trexim Private Limited | AANCS7786B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/OW/37247/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sakambari Merchants Private Limited | AAOCS7491L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/OW/42417/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sandip Priya HUF | AAXHS9992Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/OW/37318/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Neha Agarwal | AQNPA1159K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-3/BM/UR/34878/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Namita Aggarwal | AYFPA9042F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD/BM/JR/35847/9/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sangita Karmakar | AYWPK0382M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EAD/EAD-3/BM/DS/OW/37482/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Kiran Devi Karnani | BGIPK1734J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD/BM/JR/35847/3/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| UPLINE TRADERS PRIVATE LIMITED | AAACU3542J | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/37333/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| MONTROSE COMMODITIES PRIVATE LIMITED | AABCM7537N | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO.44717/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| TIRUPATI LOGISTIC | AAEFT8280B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/37446/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| PANKAJ KUMAR BALASARIA HUF | AAGHP2383B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 44617/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| MRINAL BERRY (HUF) | AALHM7180A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO. 35309/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| SHROFF TRIPTA TRIPTA | AEMPG2623P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/37663/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Unifour Commosale Pvt Ltd | AABCU4261B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/35191/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Usha Gupta | AFIPG7902C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AD/35213/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Galaxy Enterprises | AAFFH7794Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/S/38983/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ujjwal Cloth Marketing Pvt Ltd | AABCU2641M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/34785/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Trishul Gem Jewellery Private Limited | AADCT3937F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/34531/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Trimurti Vincom Private Limited | AADCT6881G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/37350/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Tomorrows Sales Agency Private Limited | AAACT9655R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AE/37450/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sushil Kumar Palriwal | AFRPP7787H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/35220/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sunita Kochan | AFTPK7746P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/34262/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Dulal Ghosh HUF | AAIHD5800M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AD/36149/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Emblem Tradelink Private Limited | AABCE9161A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AD/36104/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| Fortune Trexim Private Limited | AABCF2706L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/S/37421/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Versatile Saree Trader Pvt Ltd | AADCVS277G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AD/39829/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Suparshvapati Commodities Pvt Ltd | AAOCS3890H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/40711/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Preeti Vypaar Private Limited | AAGCP2760D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/S/40434/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Uttam Dealers Pvt Ltd | AABCU1506F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/AD/37557/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Preeti Tradecom Private Ltd | AAFCP4810K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/S/40742/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Preeti Commodities Pvt Ltd | AAGCP2872C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/S/40745/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Preview Vinimay Private Ltd - | AAFCP5386A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/S/40735/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Vikas HUF | AAFHV7052L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/S/40768/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sushil Garg HUF | AAXHS2222P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/DD/40700/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Excel Technovation Pvt Ltd | AAACE3703B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-10/SM/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Multiwyn Commercial Private Limited | AACCM2844B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | OUTWARD NO.45088/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Vaana Apparels Pvt Ltd | AAACY2254M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-6/AK/VV/55804/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Crabel Distributors Private Limited | AAECC7554L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 11-08-2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Shri Ram Gupta HUF | AAGHS1170K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 12-08-2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ambition Commodeal Pvt Ltd | AAHCA2103Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/NRO/JS/ASR/ISO/48785/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Xersus Sales Pvt Ltd | AAICA2282P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 08-08-2022 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| Amarjeet Kaur Parmar | AEYPP7292M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/NRO/OW/JS/ASR/48769/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Amit Shaw | BEIPS1492A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/NRO/JS/ASR/ISO/48804/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Maskara Finvest Pvt Ltd | AACCM1043Q | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/43095 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manomay Dealmark Pvt Ltd | AAHCM2762P | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/43079 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mao Vyapaar Private Limited | AAHCM7463R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/42963 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manoj Garg HUF | AAIHM2874E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/42974 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manoj Kumar Tiwary HUF | AAKHM1813M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/43060 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manish Rathi HUF | AAMHM2532F | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-5/AA/HP/2022/42877 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manisha Mehta | ACCPM3981C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-5/AA/HP/2022/42857 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manika Choraria | ACZPG2014R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-5/AA/HP/2022/42824 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Vimuk Enterprises | AANFV4919L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 for Adjudication u/s 15 HA and 15HB of Securities and Exchange Board of India Act, 1992 in the matter of "Trading by certain entities in Mentha Oil futures contracts at Multi Commodity Exchange Of India Limited | EAD-6/AK/BS/49540/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Neetu Gupta | AJOPG5806B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 for Adjudication u/s 15 HA and 15HB of Securities and Exchange Board of India Act, 1992 in the matter of "Trading by certain entities in Mentha Oil futures contracts at Multi Commodity Exchange Of India Limited | EAD-6/AK/BS/49547/1/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Rajasthan Realtors Private Limited | AAACR6794F | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJASTHAN REALTORS PRIVATE LIMITED | EAD-8/PM/AN/ISO-II/18209/1/2021 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manish Periwal HUF | AAFHM1072D | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE | EAD5/AA/HP/2022/42888 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Rajeev Chandak HUF | AAMHR6180G | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJEEV CHANDAK HUF | EAD-8/PM/PA/ISO-II/21550/1/2021 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| Bhujadhari Agencies Private Limited | AAECB8579J | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE | OUTWARD NO.38959/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Bimala Joshi | APTPJ9146A | SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE | OUTWARD NO.36448/2022 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Zeestar Limouzines Limited | AAACZ3468J | UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD. | WTM/AB/efd-1/DRA-3/22/2019-20 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mausami Banerjee | AUZPB0556H | UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD. | WTM/PS/33/efd/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Mrinal Atal | AGKPA9503C | UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD. | WTM/AB/efd-1/DRA-3/22/2019-20 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sunil Tiwari | CHXPS2858E | UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD. | WTM/GM/efd/78/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Dinesh Saini | CUEPS7834L | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | WTM/GM/efd/78/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Lal Chand Vishvakarma | AKLPV6427H | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | WTM/GM/efd/120/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Reena Atal | AGOPA6418L | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | WTM/AB/efd-1/DRA-3/22/2019-20 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Laxmanbhai Patel | AGTPP6067F | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 | ADJUDICATING ORDER NO.IVD/WOL/AO/DRK/ASG/EAD3-68/2009 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sheth Nilesh Vinodchandra | KLRPS2627R | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 | ADJUDICATING ORDER NO.EAD-2/AO/37/2012 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Amit Mehta | AITPM8547R | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 | ADJUDICATING ORDER NO.EAD-2/AO/35/2012 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Bhagwati Bai Lodhi | ABVPL8322Q | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED | WTM/GM/efd/78/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| H Sireesha | BPDP56651E | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED | WTM GM EFD/46/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| Narendra Singh Lodhi | AEDPL0722M | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED | WTM/GM/EFD/78/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Radha Bai Vishwakarma | AKNPV1081D | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED | WTM/GM/EFD/120/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Bhoomi Devcon and Agritech Limited | AAECB4806G | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON). | WTM/GM/EFD/120/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Dolly Banerjee | ANLPB5867A | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON). | WTM/PS/33/EFD/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Kailash Singh Lodhi | BYGPS5769H | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON). | WTM/GM/EFD/78/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Shyam Lal Pahwa | APQPP5268L | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON). | WTM/RKA/NRO/CIS/135/2014 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Raj Kumar Banerjee | ANLPB5866B | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 07, 2015 IN THE MATTER OF USK INDIA LIMITED (USK). | WTM/PS/33/EFD/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| V Santosh Kumar | APFPK5648J | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD. | WTM GM EFD/46/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ghasi RamVishwakarma | AMZPV6270A | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD. | WTM/GM/EFD/120/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sanjay Kumar Agrawal | AHUPA0336L | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD. | WTM/GM/EFD/120/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| SPNJ Land Project and Developers India Limited | AANCS5416Q | UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD. | WTM/PS/33/EFD/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Binoy Debnath | APIPD6321E | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD. | WTM/GM/IMD/69/2018-19 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mohammad Nesar | AFLPN0768C | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD. | WTM/GM/IMD/69/2018-19 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Rita Debnath | AQDPD7058C | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD. | WTM/GM/IMD/69/2018-19 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mousumi Das | ARAPD2677F | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD. | WTM/GM/IMD/69/2018-19 | 02-Jan-2023 | PAN deactivated for opening of new account |
| 1.Garima Real Estate & Allied Limited | AADCG0853B | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/20/IMD-CIS/WRO-ILO/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| 3.Mr. Balkishan Kushwah | AVQPK4360A | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/20/IMD-CIS/WRO-ILO/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| 4.Mr. Shivram Kushwah | AVQPK4359M | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/20/IMD-CIS/WRO-ILO/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| 6.Mr. Bijendra Pal Singh | BNXPS1496L | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/20/IMD-CIS/WRO-ILO/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| 7.Mr. Jitendra Kumar | DGXPK9360P | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/20/IMD-CIS/WRO-ILO/MAY/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sadhon Bachhar | AIFPB0585Q | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992, IN THE MATTER OF Surakshaplus Infrastructure Projects Ltd. | WTM/GM/SEBI/ERO/IMD/39/2017-18 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ashok Halder | AEDPH4898E | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF MASS INFRA REALTY LIMITED | WTM/PS/10/ERO/APR/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Dilip Kumar Chakraborty | AFNPC6695P | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF MASS INFRA REALTY LIMITED | WTM/PS/10/ERO/APR/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Kundal Manna | AVKPM2947F | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF MASS INFRA REALTY LIMITED | WTM/PS/10/ERO/APR/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Bidesh Basu | AWKPB6801G | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF MASS INFRA REALTY LIMITED | WTM/PS/10/ERO/APR/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Samir Kumar Mandal | AZXP4025B | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF MASS INFRA REALTY LIMITED | WTM/PS/10/ERO/APR/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Subodh Mondal | BWXP1173N | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF MASS INFRA REALTY LIMITED | WTM/PS/10/ERO/APR/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Bablu Debnath | AIEPD2431N | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF: | WTM/RKA/EFD/146/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Subrata De | AOGPD1999H | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF: | WTM/RKA/EFD/146/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| Hum Projects Limited | AACCH5858G | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED | WTM/PS/110/ERO/BLO/DEC/2015 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Subhas Kundu | ABOPK6136M | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED | WTM/PS/110/ERO/BLO/DEC/2015 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sandip Roy | AHIPR2184B | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED | WTM/PS/110/ERO/BLO/DEC/2015 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Shri Ranjan Kumar Chowdhury | ACOPC0503E | Under Sections 11, 11(4), 11A and 11(b) of the SEBI Act, 1992 | WTM/PS/165/ERO/FEB/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Tanmoy Mridha | BBFPM1003G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/47/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Manoj Kumar Dhali | ASSPD0037G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/47/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ashish Dhali | AYDPD5439P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/47/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sankar Baidya | AZUPB7887R | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/47/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| GOLDMINE INDUSTRIES LIMITED | AADCG4144R | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MB/SEBI/EPD-DRA4/4/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Priyanka Dev | BCIPD7293J | UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGLATIONS, 2003. | WTM/RKA/IVD/NRO/01/2013 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Tilak Sarma | BGIPS8454D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED | WTM/MPB/EPD-1-DRA-IV/86/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Antara Mukherjee | AWZPM5169R | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT,1992 IN THE MATTER OF SILICON PROJECTS INDIA LIMITED | WTM/MPB/EPD-1-DRA-IV/124/2018 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Shri Sasanka Roy Sarkar | BJKPS6178P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/165/ERO/FEB/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Abdul Maleque Miah | AXVPM6619B | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED | WTM/MPB/EPD-1-DRA-IV/86/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sheik Saukat Ali | ACOPA1462G | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED | WTM/MPB/EPD-1-DRA-IV/05/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Eminence Infraprojects Limited | AACCE5924A | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EPD-1-DRA-IV/73/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| SurajeetRay | AUAPR1861N | Under Sections 11 (1), 11(4), 11A and 1111 of the Securities and Exchange Board of India Act, 1992 and regulation 28 of the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD/142/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sanjay Bahadur | ALGPB7497M | Under Sections 11 (1), 11(4), 11A and 1111 of the Securities and Exchange Board of India Act, 1992 and regulation 28 of the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD/142/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Nonigopal Mondal | AKJPM5712E | Under Sections 11 (1), 11(4), 11A and 1111 of the Securities and Exchange Board of India Act, 1992 and regulation 28 of the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD/142/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Shambhu Nath Sah | BYHPS7846G | Under Sections 11 (1), 11(4), 11A and 1111 of the Securities and Exchange Board of India Act, 1992 and regulation 28 of the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD/134/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Amrit Projects Ltd. | AACCA5192L | Under Sections 11(1), 11(4), 11A and 11(B) of the Securities and Exchange Board of India Act, 1992 AND CLAUSE 17 OF SEBI (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2000 READ WITH REGULATION 111 OF THE SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 | WTM/PS/169/ERO/FEB/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |

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| Sanjeev Sharma | AARPS7233G | UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REGULATIONS, 2003. | WTM/RKA/IVD/NRO/01/2013 | 02-Jan-2023 | PAN deactivated for opening of new account |
| P. Soundaravalli | FLIPS3301B | UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF SBS INVESTMENTS | WTM/MPB/EFD-1-DRA-IV/117/2018 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Sgi Research & Analysis Ltd. - PAN No. | AAOCS5535R | UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REGULATIONS, 2003. | WTM/RKA/IVD/NRO/01/2013 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Supriya Singha Roy | AKFPR8752F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/73/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Q & B Retail Limited (earlier known as Basil Express Limited) | AACCB2213R | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF Q & B RETAIL LIMITED (EARLIER KNOWN AS "M/s BASIL EXPRESS LIMITED") | WTM/MPB/EFD-1-DRA-IV/54/2019 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Abyss Assam Real Estate Limited | AAHCA4914B | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED | WTM/MPB/EFD-1-DRA-IV/86/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Aynal Hoque | AKCPT3295J | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED | WTM/MPB/EFD-1-DRA-IV/86/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Shri Koushik Mukherjee | BACPM7482D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/73/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| 9.Shri Syed Kazim Raza | AHLPR2153F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/165/ERO/FEB/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Md. Mazibar Rahman | AJIPR6467K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED | WTM/MPB/EFD-1-DRA-IV/86/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| 1.Amrit Projects (N.E.) Limited | AAHCA5130D | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/165/ERO/FEB/2016 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Shri Tarun Kumar Das. | ANIPD2315E | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-IV/73/2017 | 02-Jan-2023 | PAN deactivated for opening of new account |
| BaldevRaj Sharma | CRGPS3281N | Under Sections 11, 11(4), and 11(B) of the Securities and Exchange Board of India Act, 1992 READ WITH regulation 107 of the Sebi(Issue of capital and disclosure requirements) regulation and regulation 11 of SEBI(Prohibition of fraudulent and unfair trade practices relating to securities market) regulation 2003 | WTM/RKA/IVD/NRO/01/2013 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Ramesh Sharma | AUTPR3288E | Under Sections 11, 11(4), and 11(B) of the Securities and Exchange Board of India Act, 1992 READ WITH regulation 107 of the Sebi(Issue of capital and disclosure requirements) regulation and regulation 11 of SEBI(Prohibition of fraudulent and unfair trade practices relating to securities market) regulation 2003 | WTM/RKA/IVD/NRO/01/2013 | 02-Jan-2023 | PAN deactivated for opening of new account |
| Shri kamlendra Joshi | ABIPJ6029P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/AB/EFD1-DRA3/7449/2020-21 | 03-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Awadhesh Kumar Singh | ARBPS6180P | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED | WTM/GM/EFD/35/2018-19 | 03-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Girishchandra Mukundram Baluni | AAKPB5408R | Under Sections 11, 11(4) and 11B of the Securities and Exchange board of India Act, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30, 2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL) | WTM/AB/EFD1/EFD1-DRA3/7449/2020-21 | 03-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Amaresh Pandey | AFKPP7191Q | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/GM/EFD/35/2018-19 | 03-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Mr. Umesh Narwaria | ACOPN4971B | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED. | WTM/MPB/efd-1-DRA-III/112/2018 | 03-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Monoj Kumar Saha | BCFPS8598R | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED | WTM/GM/efd/DRAIII/50/2017-18 | 03-Jan-2023 | PAN deactivated for opening of new account |
| URO Lifecare Limited | AABCU3309A | In respect of Interim Orders cum Show cause notices dated March 19, 2015 in the matter of URO Infra Reality India Ltd., URO Infotech Ltd., URO Hygenic Foods LTD., URO Walkers | WTM/GM/IMD/ERO/18/JUNE/2017 | 03-Jan-2023 | PAN deactivated for opening of new account |
| URO Walkers Limited | AABCU3310M | In respect of Interim Orders cum Show cause notices dated March 19, 2015 in the matter of URO Infra Reality India Ltd., URO Infotech Ltd., URO Hygenic Foods LTD., URO Walkers | WTM/GM/IMD/ERO/18/JUNE/2017 | 03-Jan-2023 | PAN deactivated for opening of new account |
| URO Hygienic Foods Limited | AABCU3312K | In respect of Interim Orders cum Show cause notices dated March 19, 2015 in the matter of URO Infra Reality India Ltd., URO Infotech Ltd., URO Hygenic Foods LTD., URO Walkers | WTM/GM/IMD/ERO/18/JUNE/2017 | 03-Jan-2023 | PAN deactivated for opening of new account |
| URO Infotech Limited | AABCU3313J | In respect of Interim Orders cum Show cause notices dated March 19, 2015 in the matter of URO Infra Reality India Ltd., URO Infotech Ltd., URO Hygenic Foods LTD., URO Walkers | WTM/GM/IMD/ERO/18/JUNE/2017 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Gyanesh Rawat alias Ms. Gyanesh Sharma | ANQPG7900G | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED | WTM/MPB/efd-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Pramathes Dutta | APFPD4625L | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED | WTM/PS/21/IMD-ERO/MAY/2016 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Ravindra Singh | BHAPS1632N | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED | WTM/MPB/efd-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Surajit Paul | BNSPP8133M | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED | WTM/GM/efd/DRAIII/50/2017-18 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Pintu Sen | CDUPS3365R | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED | WTM/GM/efd/DRAIII/50/2017-18 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Nityananda Nath | AEUPN2924A | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED. | WTM/MPB/efd-1-DRA-III/138/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Basab Dasgupta | AJQPD5126R | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED. | WTM/PS/21/IMD-ERO/MAY/2016 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Mahesh Paliwal | BBIPP4520L | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED. | WTM/MPB/efd-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Binay Kumar Shaw | BMGPS2259Q | UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED. | WTM/GM/efd/35/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Samsul Islam | AAKPI6206L | UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SEBI ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956 | WTM/MPB/efd-1-DRA-III/138/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |

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| Karuna Borah | AMGPB0071J | Under Sections 11, 11(4) and 11B of the Securities and Exchange board of India Act, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30, 2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL) | WTM/AB/ERD-1/DRA-3/09/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Santosh Sharma | ADKPS7156A | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Jagneswar Saikia | BKHP57213P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/AB/ERD-1/DRA-3/09/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Devendra Kumar Sharma | CGEPS8222C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Mukesh Kumar Sharma | BCKPS7359M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Surekha Sharma | BNUPS4121C | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Abani Kanta Pattnaik | ASUPP2165M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/24/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Kishori Sharma. | BDVPS3534A | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Kunver Singh | BOUPS2175H | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Krishnadhan Das | BBCPD1190E | Under Sections 11(1), 11(4), 11A and 11(B) of the Securities and Exchange Board of India Act, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18, 2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD. | WTM/GM/EFD/DRAIII/50/2017-18 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Santosh Kumar Dwivedi | ADNPD6019L | Under Sections 11(1), 11(4), 11A and 11(B) of the Securities and Exchange Board of India Act, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18, 2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD. | WTM/GM/EFD/35/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Shri Subrata Kumar Paul | AFHPP8743H | Under Sections 11(1), 11(4), 11A and 11(B) of the Securities and Exchange Board of India Act, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18, 2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD. | WTM/MPB/EFD-1-DRA-IV/46/2019 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Tapan Pramanik | BASPP6084L | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/GM/EFD/DRAIII/50/2017-18 | 03-Jan-2023 | PAN deactivated for opening of new account |
| BNP Real Estate and Allied Limited | AADCB2189H | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Sujoy Nandi | ABIPN1070A | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/21/IMD-ERO/MAY/2016 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Jiten Chandra Bora | AFEPB6968F | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/AB/ERD-1/DRA-3/09/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Tara Prasad Hotta | ABEPH7054G | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/GM/EFD/24/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| 1Moonlight Associate Limited | AACCD2359Q | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-III/138/2018 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sanjeev Kumar Purwansi | AQQPP3214P | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MPB/EFD-1-DRA-III/112/2017 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Kriti Ranjan Bastia | AXQPB6263K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/GM/EFD/24/2018-19 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Sachindra Nath Bhattacharya | AAJPB4769J | In respect of Interim Orders cum Show cause notices dated March 19, 2015 in the matter of URO Infra Reality India Ltd., URO Infotech Ltd., URO Hygenic Foods LTD., URO Walkers | WTM/GM/IMD/ERO/18/JUNE/2017 | 03-Jan-2023 | PAN deactivated for opening of new account |
| Sanket Investments and Marketing Limited, | AAACS0029A | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/167/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| United Medicine and Surgical Industries Limited | AABCU2558H | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Dreamland Industries Limited | AADCD6788Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/158/ERO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| GREENBANG AGRO LIMITED | AADCG6933A | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Vishwamitra India Tour & Hotels Ltd. | AADCV8844K | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/RKA/EFD/92/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mondal Constru. | AAECM1125F | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/ 136 /ERO/JAN/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |

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| Nirmal Infrahome Corporation Limited | AAECN1024A | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB /EFD-1-DRA-IV/93/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Rista Fisheries and Infrastructure Limited | AAECR6032J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Morningstar Ventures Limited | AAGCM1706Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 141/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Matribhumi Projects (I) Limited | AAHCM5933G | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, : | WTM/RKA/EFD/136/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Arcava Enterprises Limited | AAJCA0050E | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-DRA4/43/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Seashore Securities Limited | AALCS9420L | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/43/2018 | 09-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shrivallis Securities Limited | AAPCS3118P | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 | WTM/GM/IMD/71/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Tozemmul Islam | ABHPI1120R | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Md. Saifuddin Halder | ABJPH6895C | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 174 /2016 | 09-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Hridhi Pratim Neogy | ABLPN8050H | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/89/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Santosh Kumar Hati | ACKPH9229A | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Smruti Ranjan Biswal | ACMPB8035G | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 | WTM/GM/IMD/71/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Kalidas Datta | ACOPD9805C | Under Sections 11, 11(4), 11A. and 11B Of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/2016 | 09-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr.Jayanta Chakrabarty | ACRPC5911B | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/174/ERO/IMD/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Aloke Banerjee | ACZPB2276J | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-DRA4/43/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Srimanta Chakraborty | ADEPC9258M | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Indranil Chattopadhyay | AEEPC5718H | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/ 136 /ERO/JAN/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sudip Chanda | AEFPC0491J | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Swapan Bose | AEGPB5394G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sambhu Chakraborty | AEGPC6855H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Ranjit Ghosh | AELPG8249Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/158/ERO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Tushar Kanti Chakraborty | AEXPT4244C | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/174/ERO/IMD/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Manjushree Chakraborty | AFAPC2766E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Sukumar Ghosh | AFWPG8075J | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/158/ERO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Himanshu Bhusan Nayak | AFYPN4410L | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 141/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Swarna Lata Devi | AGAPD7484R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 141/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Abhishek S. Chauhan | AGOPC3742P | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB /EFD-1-DRA-IV/93/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Anuva Ghosh | AGSPG5799G | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/158/ERO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| 4) Nibedita Dash | AHBP9799H | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/167/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Biswanath Bhattacharya | AHTPB8323P | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/89/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Sreemon Ghosh | AHXP3771G | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Nitish Barman | AHYPB4026G | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/27/2018-19 | 09-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Phool Singh Choudhary | AIKPC8055F | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB /EFD-1-DRA-IV/93/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Dhiman Roy | AIOPR6310C | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Prabin Dash | AISP5571H | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD4-DRA-IV/ 4 /2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Sapna Jena | AJBPI8557H | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/43/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Mrityunjoy Modak Bhowmik | AJDPM4387J | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/158/ERO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Satyendra Nath Mondal | AJLPM4034A | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Saikat Brahmachari | AJVPB3616M | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/132/2018 | 09-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Manoj Kumar Pradhan | ALYP9588R | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 read with Sections 56(1), 56(3) and 56(4), Section 60 and Section 73(2) of the Companies Act, 1956 in the matter of Magnox Infraprojects Limited | WTM/MPB/efd-1-DRA-IV/140/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Netai Palta | AMEPP6310J | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, : | WTM/RKA/efd/136/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Rajib Bhattacharya | AMGPB0981P | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Paritosh Panda | AMSPP9346A | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/efd/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Subir Banerjee | APTPB3344Q | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-DRA4/43/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Prosenjit Swarnakar | APYPS3960G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sumit Guria | AQFPG0812M | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sujit Mohapatra | ARZPM3090L | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/ 141/2016 | 09-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Naba Kumar Ganguly | ASHPG2353H | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-DRA4/43/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Anukul Patra | ATEPP2709C | Under Sections 11, 11(4), 11A. and 11B Of the SEBI Act, 1992 | WTM/PS/164/ERO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Jyotirani Sarangi | ATYPS3889C | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/43/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Biplab Banerjee | AUDPB3526F | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Anupam Sarkar | AUQPS9430G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/179 /ERO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Baidyanath Mandal | AUSPM9775A | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/efd/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Prakash Mandal | AUTPM0257E | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/89/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sachi Dulal Mondal | AVSPM3542L | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/ 136 /ERO/JAN/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Tanmoy Kundu | AXAPK0924E | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/132/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Rajkrishna Palta | BAWPP5444G | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, : | WTM/RKA/efd/136/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Smt. Lipika Bhaduri | BBUPB4339M | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/efd/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Satyanarayan Karmakar | BCJPK2878L | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Amrit Bhunia | BCXPB3598L | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 read with Sections 56(1), 56(3) and 56(4), Section 60 and Section 73(2) of the Companies Act, 1956 in the matter of Magnox Infraprojects Limited | WTM/MPB/efd-1-DRA-IV/140/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Suvendu Ganguly | BDOPG6630C | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Jyotiranjana Panda | BEAPP0928K | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 read with Sections 56(1), 56(3) and 56(4), Section 60 and Section 73(2) of the Companies Act, 1956 in the matter of Magnox Infraprojects Limited | WTM/MPB/efd-1-DRA-IV/140/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Taslim Arif Khan | BGNPK7088Q | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/efd/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Haradhan Acharjee | BKBPA9214J | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/158/ERO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Smt. Nilima Ponda | BPSPP5200H | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/efd/27/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Biplab Sarkar | CAYPS6984D | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/ 174 /2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Tapan Pal | CERPP5289K | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/174/ERO/IMD/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Bhimsen Soren | CKHPS4470D | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 read with Sections 56(1), 56(3) and 56(4), Section 60 and Section 73(2) of the Companies Act, 1956 in the matter of Magnox Infraprojects Limited | WTM/MPB/efd-1-DRA-IV/140/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Rasmiranjan Swain | DEXPS0145Q | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 read with Sections 56(1), 56(3) and 56(4), Section 60 and Section 73(2) of the Companies Act, 1956 in the matter of Magnox Infraprojects Limited | WTM/MPB/efd-1-DRA-IV/140/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Smt. Piyali Sarkar | DQHPS7079M | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, : | WTM/RKA/efd/136/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Smt. Priti Rani Chowdhury | AGUPC5065H | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/PS/36/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sachin Kumar Thakur (PAN: AJUPT3341C; DIN: 06560350) | AJUPT3341C | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Sachin Kumar Thakur | AJUPT3341C | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/PS/37/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sachin Kumar Thakur | AJUPT3341C | Under Sections 11, 11(4),11A and 11B of the SEBI Act, 1992 | WTM/PS/36/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sachin Kumar Thakur | AJUPT3341C | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/PS/34/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |

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| Mr. Santosh Kumar Paul (PAN: AMBPP4567C; DIN: 07109838) | AMBPP4567C | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Santosh Kumar Paul | AMBPP4567C | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/PS/34/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Santosh Kumar Paul | AMBPP4567C | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/36/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sushant Kumar | AOVPK3854N | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/36/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sushant Kumar | AOVPK3854N | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/37/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sushant Kumar | AOVPK3854N | UNDER SECTIONS 11, 11(4), 11A AND 11B of the SEBI act, 1992 | WTM/PS/34/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Benurkar Banerjee (PAN: BRMPB8677A; DIN: 06701788) | BRMPB8677A | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. BenurkarBanerjee | BRMPB8677A | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/36/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| BishalAbasanIndia Limited (PAN: AACDB4465A) | AACDB4465A | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Bishal Agri-Bio Industries Limited | AACDB7325D | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/37/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Bishal Distillers Limited | AACDB8788Q | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/PS/36/IMD/ERO-RLO/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Bhabiswajyoti Infrastructure India Limited | AAFCB0288F | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/38/2019 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Arindam Mukherjee (DIN:79474032, PAN:AKAPM7973N) | AKAPM7973N | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/38/2019 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Soma Sharma (DIN:79474719, PAN: BCVP50290L) | BCVP50290L | Under Sections 11, 11(4), 11A and 1111 of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/38/2019 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Dipamoni Acharjee | AKNPA2732Q | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/GM/IMD/59/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Nixcil Pharmaceuticals Specialities Limited | AABCN6977H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/50/2019 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Tresty Securities Limited | AAECT0908R | Under sections 11(1), 11(4), 11A and 1113 of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sunshine Global Agro Limited | AAJCS2508K | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/87/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| SIYARAM DEVELOPMENT & CONSTRUCTION Ltd. | AAKCS3313H | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956 | WTM/MPB/EFD-1-DRA-IV/40/2019 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sunplant Constructions Limited | AAKCS9090D | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/EFD/52/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sunshine Agro-Infra Limited | AAOCS7432H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/GM/SEBI/ERO/IMD/02/DEC/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| SCL Steel Corpn Ltd | AARCS1089F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/150/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Stapes Capital Research Private Limited | AAWCS5718A | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956 | WTM/MPB/EFD-DRA4/157/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mohammed Faisal | AAWPF1464H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/87/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Vishwa Bandhu Vashista | ABFPV9924N | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/50/2019 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Tara Chand Chordia | ABQPC3967R | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/GM/SEBI/ERO/IMD/02/DEC/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Satya Ranjan Chaudhari | ACEPC5756F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/150/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Manas Kumar Dan | ACSPD7017Q | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/150/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Prasanta Chatterjee | ADQPC5674F | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/150/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Nabendu Dey | ADRPD7213N | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/GM/SEBI/ERO/IMD/02/DEC/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Swapna Chaudhuri | AEIPC6387B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/150/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Anand Kumar Jha | AEKPJ8257J | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/87/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Chatta Ranjan Chaudhuri | AEOPC1519G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/150/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ashok Chakraborty | AFZPC5242E | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/188/ERO/GLO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Rabindra Kumar Jena | AGMPJ0750E | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/126/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Uttam Acharjee | AHRPA3277H | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/188/ERO/GLO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Lokesh Periwal | AHVPP0531B | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/GM/SEBI/ERO/IMD/02/DEC/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |

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| Mr .Apurba Ranjan Behera | AJPPB2660M | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mokarram Mohammad | AJPPM9356B | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/87/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Amrit Indu Bhattacharya | AJRPB1543M | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956 | WTM/AB/efd-1/DRA-IV/14/2020-21 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sudhansu Sekhar Barik | AJWPB9126D | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Rituraj Pradhan | AKPPP1041H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/efd /52/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Dayanidhi Mohapatra | AOYPM2069Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Anurag Mittal | APOPM1270M | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/GM/SEBI/ERO/IMD/02/DEC/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Paromita Dey | APXPD1588E | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956 | WTM/MPB/efd-1-DRA-IV/40/2019 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Biswajit Roul | AQHPR2449D | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Ashok Kumar Rout | AVPPR5796L | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Satish Kumar Singh | AWQPS0249B | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order cum show cause notice dated December 09, 2015 in the matter of Sunplant Bio Energy Private Limited (SBEPL). | WTM/GM/efd /52/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ashif Khan | BEMPK1322J | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/87/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Sisir Kumar Padhi | BTDP9403M | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Wasim Khan | CENPK6085G | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/87/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Bibeknand Mandal | CNVPM6593E | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/87/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Dipamoni Acharjee | AKNPA2732Q | UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SEBI ACT, 1992 | WTM/MPB/efd-1-DRA-III/88/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Jeevan Suraksha Real Estate Limited | AABCI6757B | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SEBI ACT, 1992 | WTM/PS/88/ERO/GLO/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Garima Homes and Farm Houses Limited | AAECG2270P | UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/40/efd/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Saral Ranjan Sengupta | AMAPS4265M | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/82/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Swapn Kumar Roy | ANWPR7210H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/82/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Radhashyam Giri | ARJPG7051P | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/82/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Balkishan Kushwah | AVQPK4360A | UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/40/efd/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Bijendra Pal Singh | BNXPS1496L | UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/40/efd/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Kaushal Kishor Singh | BXEPS6726H | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-III/148/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Rajeev Kumar Sharma | CISPS9854C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-III/148/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Jitendra Kumar | DGXPK9360P | UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SEBI ACT, 1992 AND REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 | WTM/PS/40/efd/MAY/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sanjay Kumar Singh | GEDPS5531B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-III/148/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| GCA Marketing P Ltd | AACCG3515F | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/150/CIS-NRO/CHLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |

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| Mr. Amardeep Singh Cheema | ADEPCS281C | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/150/CIS-NRO/CHLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Manisha Chatterjee | AFDPC9530K | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/173/ERO/IMD/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Kaushik Chatterjee | AFLPC6208E | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/173/ERO/IMD/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Biswajit Chowdhury | AKAPC1597L | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/PS/173/ERO/IMD/MAR/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sanjay Kumar Jena | AXEPJ4859P | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 | WTM/AB/EFD-1/DRA-1 V/19/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Niranjana N Rana | BGAPR5205H | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 | WTM/AB/EFD-1/DRA-1 V/19/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Gurdeep Singh | BIVPS7069D | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/150/CIS-NRO/CHLO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Trinath Rana | BOXPR7731H | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 | WTM/AB/EFD-1/DRA-1 V/19/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Balram Pradhan | CHKPP0921J | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 | WTM/AB/EFD-1/DRA-1 V/19/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Samirkant A Panda | CLXPP4377H | Under Sections 11(1)41(4) and 11B of the SEBI Act, 1992 | WTM/AB/EFD-1/DRA-1 V/19/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Nicer Green Housing and Infrastructure Developers Limited | AACCN5652N | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practice Relating to Securities Market) Regulations, 2003 | WTM/PS/86/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Surinder Kaur | ASGPK7961G | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practice Relating to Securities Market) Regulations, 2003 | WTM/PS/86/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Pipal Singh Sidhu | BVEPS9613M | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practice Relating to Securities Market) Regulations, 2003 | WTM/PS/86/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ranjit Singh | BZWPS3360K | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practice Relating to Securities Market) Regulations, 2003 | WTM/PS/86/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Karanjit Singh | CRCP53999H | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practice Relating to Securities Market) Regulations, 2003 | WTM/PS/86/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Idol India Projects Limited | AACCI5234R | UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SEBI ACT, 1992 READ WITH REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 | WTM/PS/08/IMD-DOF/MAY/2014 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Kalpbut Real Estate Limited | AADCK3482E | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Karmbhoomi Real Estate Limited | AAECK1255G | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practice Relating to Securities Market) Regulations, 2003 | WTM/PS/ 93 /IMD-CIS/NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Peers Allied corporation Limited | AAECP5032C | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| MoralInfrastructuresCorporation Limited | AAGCM4867L | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/84/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Moral Commotrade Limited | AAGCM8052P | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/84/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Moral Health &Personal Care Limited | AAHCM3158P | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/84/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Moral Devcon Limited | AAHCM3170B | UNDER SECTIONS 11(1), 11(4) and 11B OF THE SEBI ACT, 1992 | WTM/GM/EFD/84/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sai Prasad Corporation Ltd | AAQCS7428B | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/66/IMD-CIS/JAN/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shree Sai Space Creations Limited | AARCS9644N | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/48/CIS/NRO/NOV/2014 | 09-Jan-2023 | PAN deactivated for opening of new account |

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| Manju Upadhyay | AAZPU1224F | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sadhu Sharan | AAZPU1225E | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Rajendra Singh Thakur | ABUPT7761N | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Leena Tewari | ABUPT9628E | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/100/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Reena Vijay | AEEPV9521M | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/100/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Bhanu Pratap Singh | AENPS9792L | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shri Shribas Chandra Das | AFTPD2362Q | Under Sections 11, 11(4), 11A and 1113 of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/113/2017 | 09-Jan-2023 | PAN deactivated for opening of new account |
| 7. Sandip Chattopadhyay | AGIPC6237B | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/100/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Anil Kumar Mishra | AITPM9488K | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SEBI ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008 | WTM/RKA/EFD-DRA-IV/184/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Guddi Devi | AJXPD0444R | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Indu Dubey | AKYDP8151B | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Pooran Prakash | ALLPP2252K | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Debapratim Mazumder | AMYPM6746R | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/100/2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Way2Gains and its Proprietor Mr. Rajeev Kumar Tiwar | ATUPR0113L | Under Sections 11(1), 11(4), 11B and 11D of the SEBI Act, 1992 | WTM/SM/SRO/SRO/8408 /2020-21 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ajay Kumar Pandey | AXLPP7767R | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Raksha Pal Singh | BDSPS9886A | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Devendra Pal Singh | BJVPS0029N | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Vivek K Shrivastav | DRMPS4668Q | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/48/CIS/NRO/NOV/2014 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shahendra Singh Baghel | ATTPB8244M | UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN Respect of interim order cum show cause notice dated january 6 2015 in the matter of | WTM/GM/EFD/38/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shahendra Singh Baghel | ATTPB8244M | UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN Respect of interim order cum show cause notice dated DECEMBER 26, 2014 in the matter of | WTM/GM/EFD/26/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| G Life India Developers and Colonizers Limited | AADCG9560H | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/SKM/EFD1-DRA-III/25/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| 1. KMJ Land Developers India Limited | AADCK4402G | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order dated December 09, 2014 in the matter of KMJ Land Developers India Ltd. | WTM/GM/EFD/ 35 /2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Maitreya Plotters and Structures Pvt. Ltd. | AAFPM9358H | UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED | WTM/SKM/EFD-DRA-1/0 /2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mark Builders and Land Developers Ltd. | AAHCM5798F | UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF | WTM/GM/EFD/08/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Servehit Houding and Infrastructure India Limited | AANCS0417R | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/81/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |

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| Sai Prakash Organic Food Limited | AAPCS0636Q | UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN Respect of interim order cum show cause notice dated January 6 2015 in the matter of | WTM/GM/efd/38/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| 1. Step Up Marketing Private Limited | AAZFS6704Q | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/114/CIS-NRO/DEC/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Innsaipillai Lurdpillai Joseph Jeyaraaj | ACUPJ0102E | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/154/IMD-CIS/SRO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Nirmala Rathore | AEUPR2841G | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order dated December 09, 2014 in the matter of KMJ Land Developers India Ltd. | WTM/GM/efd/ 35 /2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| 2. Santoshi Lal Rathore | AEXPR6319A | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order dated December 09, 2014 in the matter of KMJ Land Developers India Ltd. | WTM/GM/efd/ 35 /2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Irudayaraj Manickam Pillai Jeyabalan | AJFPJ3454R | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/154/IMD-CIS/SRO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Kanchan Rajawat Kushwah | AKLPR9493H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999, in respect of Interim Order dated December 09, 2014 in the matter of KMJ Land Developers India Ltd. | WTM/GM/efd/ 35 /2017-18 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Siraj Ali | AKRPA6259Q | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/SKM/efd1-DRA-III/25/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mrs. Prakasam Sagaya Packia Santhi | ALKPS4279C | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/154/IMD-CIS/SRO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| 3. Raghbir Kaur | AMUPK7500K | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/114/CIS-NRO/DEC/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Manoj Kumar Pandey | ANDPP4284F | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/SKM/efd1-DRA-III/25/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sandeep Shankar | ANPPS8356M | UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN Respect of interim order cum show cause notice dated DECEMBER 26, 2014 in the matter of | WTM/GM/efd/26/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Kuljeet Kaur | AUTPK1595M | UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SEBI ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF | WTM/GM/efd/08/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Nitin Shrivastava | AWJPS7878P | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 107 of the SEBI (issue pf capital and disclosure requirements) regulation 2009 in respect of | WTM/RKA/RLO/136/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Deepak Singare | AYNPS9873J | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 107 of the SEBI (issue pf capital and disclosure requirements) regulation 2009 in respect of | WTM/RKA/RLO/136/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Amit Kumar Shrivastava | BBNPS3367M | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 107 of the SEBI (issue pf capital and disclosure requirements) regulation 2009 in respect of | WTM/RKA/RLO/136/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Rajender Kumar | BCIPK4911M | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/81/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Neeta Shrivastava | BIPPS9476L | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 107 of the SEBI (issue pf capital and disclosure requirements) regulation 2009 in respect of | WTM/RKA/RLO/136/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Santhanapeter | BQMPS1649Q | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/154/IMD-CIS/SRO/FEB/2016 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Satish Kumar | BQXPS7815M | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/81/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Pramod Kumar Pandey | BSHPP0251N | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/SKM/efd1-DRA-III/25/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sukhmander Singh | BUZPS4365J | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/81/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Sindhu Singadhe | CFKPS3490F | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 107 of the SEBI (issue pf capital and disclosure requirements) regulation 2009 in respect of | WTM/RKA/RLO/136/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |

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| Surjeet Kumar | CRPRS1400A | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/81/CIS-NRO/NOV/2015 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Sunil Kumar Sharma | DRZPS9894D | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/SKM/EFD1-DRA-III/25/2019-20 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Life Care Real Developers Limited | AABCL8415G | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-8/KS/MKG/AO/231/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| G R Agro Project Limited | AAECG6103D | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992. | WTM/MPB/EFD-1-IV/156/2018 | 09-Jan-2023 | PAN deactivated for opening of new account |
| MTF Digi Sales | ABKFM0485E | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/SRO/20816/2022-23 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mohd. Seraj Lari | AEKPL9354C | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-8/KS/MKG/AO/231/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mohammad Aftab Alam | ANIPA3040P | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-8/KS/MKG/AO/231/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Shiba Pravin | ATOPP9889R | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-8/KS/MKG/AO/231/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Niraj Thakur | AXCPT3030A | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | ED/MK/SRO/SRO/20336/2022-23 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Mohd. Gani | BIGPG0523B | UNDER SECTION 15 I OF SEBI ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 | EAD-8/KS/MKG/AO/231/2018-19 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Ajay Kumar Mukhiya | EQCPM6774F | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/ASB/SRO/SRO/20714/2022-23 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Pankaj Kumar Shukla | FNRPS5641L | Under section 11,11(4),11A and 11B of SEBI Act, 1992 | WTM/AB/SRO/SRO/21090/2022-23 | 09-Jan-2023 | PAN deactivated for opening of new account |
| Anusuiya Sharma | AKLPS0489E | Show Cause Notice under Rule 4(1) of the SEBI(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | FPI&C/AT/RKP/40574/1/2022 | 09-Jan-2023 | PAN deactivated for opening of new account |
| F6 Finserve Pvt. Ltd. | AABCF0080D | Show Cause Notice Under Regulation 27(1) of the SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EFD1/DRA3/P/OW/2022/56193/1 | 11-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Hemisphere Infrastructure India Pvt. Ltd | AABCH8065Q | Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(2) of SEBI Act, 1992 | SEBI/HO/IVD/IO3/P/OW/2021/24408/1 | 11-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Galaxy Infraprojects and Developers Pvt Ltd | AACCG7477N | Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(2) of SEBI Act, 1992 | SEBI/HO/IVD/IO3/P/OW/2021/24410/1 | 11-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Waris Healthcare Limited | AAACW9315R | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 28 of SEBI(Issue and listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/124/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Iftekhar Ahmed | AJMPA3089L | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 28 of SEBI(Issue and listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/124/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Aziz Ahmed | AIOPA9561E | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 28 of SEBI(Issue and listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/124/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Imtiaz Khan Warsi | ABQPW7759R | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 28 of SEBI(Issue and listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/124/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Quraisha Khatoon | AKDPK3211E | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 28 of SEBI(Issue and listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/124/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Ms. Ruhi Ghazala Warsi | ABYPW7604D | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 28 of SEBI(Issue and listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/124/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Sun Techno International Ltd. | AARCS1027K | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/145/2018 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Smt Keya Chatterjee | ANGPC4373F | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/145/2018 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Mr.Sankar Bhattacharjee | AMRPB7694J | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/37/IMD/ERO-RLO/MAY/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| SankarBhattacharjee | AMRPB7694J | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/34/IMD/ERO-RLO/MAY/2016 | 13-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Amit Kumar Gupta | AMLPG2674F | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 16-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bikash Bhandary | ANFPB7121D | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/42/ERO/IMD/MAY/2016 | 16-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ameet Savant | AOAPS0373N | Show Cause Notice under sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | SEBI/WRO/P/OW/2022/5881/1 | 16-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Mr. Hari Arjuna Panda | ARLPP5727B | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Amandeep Singh Sran | AUVP55370E | Under sections 11 and 11B of the SEBI Act, 1992 read with regulations 65 of the SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/471/CIS-NRO/FEB/2015 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Aslam Sayeed | AMJPS7534C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 read with section 73 of Companies Act, 1996 | WTM/AB/EPD-1/DRA-IV/14/2020-21 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Shashank B Bhaskar | AQHPB8639H | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with regulations 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/66/IMD-CIS/JAN/2015 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Capital Mirror and its Proprietor Mr. Saurabh Samir | GAUPS3690J | Under sections 11(1), 11(4), 11B and 11D of the SEBI Act, 1992 | WTM/SM/SRO/SRO/8408 /2020-21 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Parvesh Kumar Singh | DEWPS9886A | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with regulations 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Ranvjay Pratap Singh Baghel | ATTPB8254D | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with regulations 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EPD/38/2018-19 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Dheerendra Krishan Pratap | AXMPB0165C | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with regulations 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EPD/38/2019-20 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Mragendra Singh Baghel | BKJPB6325H | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with regulations 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EPD/38/2019-20 | 16-Jan-2023 | PAN deactivated for opening of new account |
| Rahul Hi Rise Limited | AAECA8578L | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/98/2017 | 17-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Tapan Kumar Chatterjee | AGVPC6440K | Under sections 11 and 11B of the SEBI Act, 1992 read with regulation 107 of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 | WTM/GM/EPD/DRA III/76/2017-18 | 17-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mrinmoy Bose | AMOPB0512G | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/98/2017 | 17-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Sanjeet Kumar Sharma | AQAPS4323C | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 read with SEBI (Disclosure and investor protection) Guidelines, 2003 | WTM/MPB/EPD-1-DRA-III/148/2018 | 17-Jan-2023 | PAN deactivated for opening of new account |
| Shri Hariom Sharan Patel | BFLPP9443M | Show Cause Notice under Regulation 27(1) of the SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EPD1/DRA6/OW/P/ENQ/JF/2022/55751/1 | 20-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rameswar Podder | AFVPP4292B | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MB/SEBUEFD-DRA4/7/2017 | 23-Jan-2023 | PAN deactivated for opening of new account |
| Rameswar Podder | AFVPP4292B | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/153/ERO-IMD/FEB/2016 | 23-Jan-2023 | PAN deactivated for opening of new account |
| Krypton Distributors LLP | AAOFK7265M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 in matter of dealings in Illiquid | SEBI/EAD-3/BM/JR/44418/2022 | 23-Jan-2023 | PAN deactivated for opening of new account |
| Gitanjali Udyog Ltd. (SUTANUTI DISTRIBUTORS PRIVATE LIMITED) | AAMCS8602D | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 and Regulations 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD/142/2016 | 23-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Prabir Middey | AIQPM0516K | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 and Regulations 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD/142/2016 | 23-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sonia Sharma | AARPS7234B | Under Sections 11, 11(4) and 11B of the SEBI Act, 1992- read with Regulation 11 of SEBI (Issue of Capital and Disclosure requirements) Regulations, 2009 and Regulations 11 of SEBI (PFUTP) Regulations, 2003 | WTM/RKA/IVD/NRO/01/2013 | 23-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Siddharth Jay Marathe | BOLPM1668G | Under Sections 11, 11(4) and 11B of the SEBI Act, 1992- read with Regulation 11 of SEBI (Issue of Capital and Disclosure requirements) Regulations, 2009 and Regulations 11 of SEBI (PFUTP) Regulations, 2003 | WTM/RKA/IVD/NRO/01/2013 | 23-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Soni Agarwal | AJCPA7989H | Show Cause Notice under Rule 4 (1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 in matter of dealings in illiquid stock options at BSE | POD/AO-JS/N/37572/3 | 23-Jan-2023 | PAN deactivated for opening of new account |
| Anugrah Stock & Broking Pvt. Ltd. | AAACW2920N | Under section 15- I of the SEBI Act, 1992 read with Rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | Order/GR/BM/2022-23/ 18249-18252 | 23-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Darshanbhai Arvindbhai Shah | AFYPS0954C | Under sections 11 and 11B of the SEBI Act, 1992 read with relations 65 of the SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/RKA/WRO/48/2013 | 24-Jan-2023 | PAN deactivated for opening of new account |
| Mr. Janardan Arvind Parulekar | AKNPP6001B | Directions under section 11(4) and 11B of the SEBI Act, 1992 read with regulations 65 and 73 of the SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/RKA/CIS/07/2013 | 24-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Reebha Shrivastava | AXYPS7773H | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 read with regulation 107 of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 | WTM/RKA/RLO/136/2015 | 24-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Manish Jani | AENPJ3963L | Notice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing Penalties) Rules, 1995 | SEBI/EAD-1/SM/LD/55355/1/2022 | 25-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Neeraj Kumar | BCOPK1206M | Under sections 11(1), 11(4) and 11B of the SEBI Act,1992 | WTM/AB/SRO/SRO/20816/2022-23 | 27-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anju Prakashbhai Mata | BEBPM3906D | Under sections 11(1), 11(4) and 11B of the SEBI Act,1992 | WTM/AB/SRO/SRO/20816/2022-23 | 27-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Manish Kumar Mahaseth | CODPM3115F | Under section 11(4) and 11B of the SEBI Act, 1992 | CGM/GG/QJC-2/SRO/SRO/21243/2022-23 | 27-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Amrapali Aadya Trading & Investment Pvt Ltd. | AAHCA2094C | Under Section 12(3) of SEBI Act, 1992 read with Regulation 27 of SEBI (Intermediaries) Regulations, 2008 | WTM/SM/NRO/NRO/21187/2022-23 | 30-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Aadya Commodities Pvt Ltd. | AAECA3909P | Under Section 12(3) of SEBI Act, 1992 read with Regulation 27 of SEBI (Intermediaries) Regulations, 2008 | WTM/SM/NRO/NRO/21187/2022-23 | 30-Jan-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Prithwis Kumar Das | AFCPD3788F | Under Sections 11(1), 11(4), 11A and 11B of SEBI Act,1992 In respect of interim order cum SCN dated April 22, 2016 in matter of Chakra Infrastructure Ltd. | WTM/GM/EFD/48/2017-18 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Dwitiya Trading Limited | AABCB4470B | Show Cause Notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995 in matter of dealings in Illiquid stock options (ISO) at BSE | SEBI/HO/IVD/ID8/SCN/AO-SS/VS/36076/1/2022 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Debdulal Banik Chowdhury | AHCPC4610M | Under Sections 11(1), 11(4), 11A and 11B of SEBI Act,1992 and regulations 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/175/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Aniruddha Ghosal | AHEPG6300N | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/SR/SEBI-ERO/IMD/21/03/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Saubhagya Kumar Mohapatra | ANQPM5013N | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/PS/08/ERO-BLO/APR/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Arindam Sarkar | ATPPS1339A | Under Sections 11(1), 11(4), 11A and 11B of SEBI Act,1992 | WTM/RKA/EFD/167/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Sangram Keshari Tarai | AFCPT1949Q | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/PS/05/ERO-BLO/APR/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Shubhranshu Shekhar Maikap | BLUPM3877R | Under Sections 11(1), 11(4) and 11B of SEBI Act,1992 | WTM/GM/SEBI/ERO/IMD/23/JUNE/2017 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Bholanath Maji | ANVPM3551G | Under Sections 11(1), 11A and 11B of the SEBI Act, 1982 | WTM/RKA/EFD/185/2016 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dinobandhu Mondal | AKUPM9766H | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/EFD/ 190 /2016 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sunil Kumar Brahamchari | ADGPB7510D | Under Sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/145/ERO/JAN/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Susanta Das | ARUPD9825F | Under Sections 11(1), 11A and 11B of the SEBI Act, 1982 | WTM/RKA/EFD/185/2016 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr Mehul Choksi | AABPC1451E | Under Section 23-I of SCRA, 1956 read with Rule 5 of SCRA(Procedure for Holding inquiry and Imposing Penalties) Rules, 2005 | Order/SS/VS/2019-20/6979-6981 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Girish Srichand Valecha | AIYPV3150A | Under Sections 11(1) and 11B of the SEBI Act, 1982 | WTM/MB/IMD/DOF-1/10676/2020-21 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Niwas A Kamble | BBPPK9582E | Under Sections 11(1) and 11B of the SEBI Act, 1982 | WTM/MB/IMD/DOF-1/10676/2020-21 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Bharat G Vaghela | ADYPV0844N | Under section 15 I of SEBI, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing penalties by Adjudicating Officer) Rules, 1995 | EAD-2/AO/DSR/RG/253-270/2014 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bharat G Vaghela | ADYPV0844N | Under section 15 I of SEBI, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/PU/320-339/2014 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bharat G Vaghela | ADYPV0844N | Under section 15 I of SEBI, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing penalties by Adjudicating Officer) Rules, 1995 | EAD-2/AO/DSR/RG/222-248/2014 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sunview Retail Pvt. Ltd. | AANCS1689M | Show Cause Notice under Rule 4(1) of the SEBI(Procedure for holding inquiry and imposing penalties) Rules, 1995 in matter of dealings in Illiquid Stock Option (ISO) at BSE | NRO/AO/RR/39844/2022 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Rameshchandra K Jain | ADIPJ1942R | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SPL/AO/SKS/SG/DCR/06/2010 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Prahladbhai J Patel | ABNPP5218K | Under section 15 I of SEBI, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing penalties by Adjudicating Officer) Rules, 1995 | SKS/AO/01/2010 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Debdulal Banik Chowdhury | AHCPC4610M | Under Sections 11(1), 11(4), 11A and 11B of SEBI Act,1992 | WTM/PS/162/ERO/FEB/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Shri Sheonath Sahni | BIIPS0343H | Under Sections 11(1), 11(4), 11A and 11B of SEBI Act,1992 and regulations 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/184/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Sekh Sahadat Ali | AHEPA0768N | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/PS/167/ERO/FEB/2016 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Sheonath Sahni | BIIPS0343H | Under Sections 11(1), 11(4), 11A and 11B of SEBI Act,1992 and regulations 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/184/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |

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| Partha Pratim Roy | AKXPR8291H | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Vishawdham Traders Pvt Limited | AADCV8983D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options(ISO) at BSE | SEBI/HO/DEPA-I/DEPA-I_RRD/P/OW/2022/39179/1 | 02-Feb-2023 | PAN deactivated for opening of new account |
| SRM Securities Private Limited | AAOCS5259G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options(ISO) at BSE | OUTWARD NO. 42522/1/2022 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Kunaal Tiwary | AHEPT6376K | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/85/2017 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Pradip Samaddar | AOYPS5605P | Under Sections 11, 11(4) and 11B of SEBI Act,1992 | WTM/AB/EFD-1/DRA-IV/13/2020-21 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sujoy Sinha | BBBPS5913B | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/PS/95/ERO-IMD/DEC/2015 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Pijush Kanti Ghosh | ADQPG7953F | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/GM/94/2018-19 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Mithun Chakraborty | AIDPC5794L | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/11/2017 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dibyendu Sekhar Maity | AQWPM6717G | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/141/2018 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Atish Samanta Ray | BMPPS2929L | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/MPB/EFD-1-DRA-IV/141/2018 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Pijush Kanti Ghosh | ADQPG7953F | Under Sections 11, 11(4), 11A and 11B of SEBI Act,1992 | WTM/GM/94/2018-19 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Ms. Sunita Gupta | AGSPG3776H | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 107 of SEBI(Issue and listing of Debt Securities) Regulations, 2009 | WTM/RKA/EFD/123/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Debjyoti Das | AKCPD2678K | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Nirmalya Mukherjee | ALPMP5615N | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/11/ERO-GLO/APR/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Shri Polaki Umashankar Rao | ATYPR9064L | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA-IV/115/2018 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Shri Ganesh Kumar Singh | BMSPS6948C | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA-IV/115/2018 | 02-Feb-2023 | PAN deactivated for opening of new account |
| SONIKA GRANITES PRIVATE LIMITED | AAHCS8646L | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and read with the securities and exchange board of india (icdra)regulation ,2009 | ORDER/MC/VS/2021-22/14547-14554 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Sheikh Alamgir Kabir | AHRPK5233D | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/178/ERO/MAR/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Rakesh Kumar Gupta | AIXPG8273A | Under Sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Keystone Stock Finance Ltd/ | AAACK3241J | Under Section 15-I of SEBI Act, 1992, read with Rule 5 of SEBI(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Clocksign Trading Pvt/ Ltd/ | AACCC4640H | Under Section 15-I of SEBI Act, 1992, read with Rule 5 of SEBI(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Daksha Kirit Patel | ABBPP2992C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 11-08-2022 | 02-Feb-2023 | PAN deactivated for opening of new account |
| D K Agrawal HUF | AABHD4775N | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/ERO/AP/AS/OW/2022/4241 2/1 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Amazan Capital Limited | AABCT0574G | Under Section 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and read with the securities and exchange board of india (icdra)regulation ,2009 | WTM/GM/EFD/38/2017-18 | 02-Feb-2023 | PAN deactivated for opening of new account |
| JAMIL AHMED FAROOQUI | AAFPF3468A | Under Sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/165/ERO/FEB/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| BHOWMICK NARAYAN CHANDRA | AOEPB6160J | Under Sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 and regulation 28 of the SEBI (ISSUE and listing of debt securities) regulation ,2008 | WTM/RKA/EFD/146/2016 | 02-Feb-2023 | PAN deactivated for opening of new account |
| SAMIR KUMAR MUKHERJEE | AQVPM3286D | Under Sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MB/SEBIEFD-DRA4/4/2017 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Dharmendra Thapa | ACXPT5866A | Under Section 15-I of SEBI Act, 1992, read with Rule 5 of SEBI(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SD/AO/77/2010 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Pratap Kumar Biswal | AITPB3875F | Under Sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/10/ERO/APR/2016 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Mazibar Rahman | AOLPR9289M | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA-IV/86/2017 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Jitendra Singh Bhati | ALVPB6802B | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/GM/EFD/78/2017-18 | 02-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Rakesh Kumar Gupta | AIXPG8273A | Under Sections 11, 11(4), 11A and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/AB/EFD-1/DRA-3/15/2019-20 | 02-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Remendra Mohan Sarkar | AUIPS3363G | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MB/SEBI/efd-DRA4/7/2017 | 03-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Remendra Mohan Sarkar | AUIPS3363G | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/153/ERO-IMD/FEB/2016 | 03-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Siddhartha Kayal | AFCPK5677G | Under Sections 11 and 11B of SEBI Act, 1992 in respect of interim order cum show cause notice dated March 16, 2016 | WTM/GM/efd/35/2018-19 | 03-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Dipan Kumar Sen | BUIPS8288K | Under Sections 11 and 11B of SEBI Act, 1992 in respect of interim order cum show cause notice dated March 16, 2016 | WTM/GM/efd/35/2018-19 | 03-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Siddhartha Kayal | AFCPK5677G | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/30/ERO/AUGUST/2015 | 03-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Dipan Kumar Sen | BUIPS8288K | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/30/ERO/AUGUST/2015 | 03-Feb-2023 | PAN deactivated for opening of new account |
| Mr. Triveni Prasad Baranwal | ANIPB3402G | Under Sections 11, 11(4), 11A AND 11B of the SEBI Act, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 09-Feb-2023 | PAN deactivated for opening of new account |
| Shri Triveni Prasad Baranwal (PAN: ANIPB3402G; DIN: 03191209), | ANIPB3402G | Under Sections 11, 11(4), 11A AND 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/53/2017 | 09-Feb-2023 | PAN deactivated for opening of new account |
| Alok Tripathi | AEUPT1819A | Under Sections 11(1), 11(4) AND 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 09-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Chandan Singh Rajput | AWYPR5207Q | Show Cause Notice under Sections 11(1), 11(4), 11B(1) and 11 D of the Securities and Exchange Board of India Act, 1992 | WRO/ILO/NM/OW/P/28595/1/2022 | 09-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Hemant Agrawal | AOBPA3520Q | Show Cause Notice under Sections 11(1), 11(4), 11B(1) and 11 D of the SEBI Act, 1992 | WRO/ILO/NM/OW/P/28602/1/2022 | 09-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Laxmikant Sharma | BNYPS4320M | Show Cause Notice under Sections 11(1), 11(4), 11B(1) and 11 D of the SEBI Act, 1992 | WRO/ILO/NM/OW/P/28600/1/2022 | 09-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Jayton Trading Private Limited | AAACJ6659B | Adjudicating Proceedings in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/HO/MRD/MRD-SEC-3/P/OW/2022/44365/4 | 13-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramendu Chattopadhyay | AFMPC2809L | Under Section 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA-IV/26/2018 | 13-Feb-2023 | PAN deactivated for opening of new account |
| Kanika Maiti | AOTPM3722N | Under Section 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/efd-1-DRA-IV/82/2017 | 13-Feb-2023 | PAN deactivated for opening of new account |
| Ramendu Chattopadhyay | AFMPC2809L | Under Section 11 and 11B of the Securities and Exchange Board of India Act, 1992 in respect of interim order cum show cause notice dated May 20, 2015 in the matter of Verinder Finance limited | WTM/GM/efd/DRAIII/34/2017-18 | 13-Feb-2023 | PAN deactivated for opening of new account |
| Shreyas Manjunath | BOXPS6610G | Under Section 11(1), 11(4) and 11B of the securities and Exchange Board of India Act 1992 | WTM/MPB/SEBI/efd-DRA4/01/2018 | 13-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mrs. Kanika Maiti | AOTPM3722N | Under section 11 and 11B of the Securities and Exchange Board of India Act, 1992, read with regulation 107 of SEBI (Issue of capital and disclosure requirements) Regulation , 2009 in the matter of Icore E- services Limited | WTM/GM/efd/DRAIII/76/2017-18 | 13-Feb-2023 | PAN deactivated for opening of new account |
| Murli Lal Agarwal | AFYPA4864P | Under Section 11, 11(4), and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/AB/efd-1/DRA-IV/19/2019-20 | 13-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Modex International Securities Ltd. | AAACM2105K | Notice under Regulation 25(1) of SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EAD/EAD4/P/OW/2022/57147/1 | 13-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Annapurna Mathkur Venkatachalaiah | AIMPA4434C | Under Section 11(1), 11(4) and 11B of the securities and Exchange Board of India Act 1992 | WTM/MPB/SEBI/efd-DRA4/01/2018 | 20-Feb-2023 | PAN deactivated for opening of new account |
| Durga Prasad Dubey | AIYPD6009L | Under Section 11(1), 11(4) and 11B of the securities and Exchange Board of India Act 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulation , 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 20-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mahipal Singh | ATSPS3033N | Under Section 11(1), 11(4) and 11B of the securities and Exchange Board of India Act 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulation , 1999 and Regulation 4(2) (t) of the SEBI prohibition of fraudulent and unfair trade practise relating to securities market) Regulation , 2003 | WTM/PS/93/IMD-CIS/NRO/NOV/2015 | 20-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sandeep Kumar Mishra | AXHPM2750M | Under Section 11(1), 11(4) and 11(B) of the securities and exchange board of India Act, 1992 read with Regulation 65 of the SEBI (collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 20-Feb-2023 | PAN deactivated for opening of new account |
| Samruddha Jeevan foods India Limited | AAICS0865N | Under Section 11(1), 11(4) and 11(B) of the securities and exchange board of India Act, 1992 read with Regulation 65 of the SEBI (collective Investment Schemes) Regulations, 1999 | WTM/PS/48/IMD-CIS/SEPT/2015 | 20-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mahesh Kisan Motewar | AKMPM3895K | Under Section 11(1), 11(4) and 11(B) of the securities and exchange board of India Act, 1992 read with Regulation 65 of the SEBI (collective Investment Schemes) Regulations, 1999 | WTM/PS/48/IMD-CIS/SEPT/2015 | 20-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Rajendra Pandurang Bhandare | ALKPB5263D | Under Section 11(1), 11(4) and 11(B) of the securities and exchange board of india Act,1992 read with Regulation 65 of the SEBI (collective Investment Schemes) Regulations,1999 | WTM/PS/48/IMD-CIS/SEPT/2015 | 20-Feb-2023 | PAN deactivated for opening of new account |
| Giriraj Pandey | BIPPP0583D | Under Section 11(1) , 11(4) , 11B and 11AA of the securities and Exchange Board of india Act 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulation , 1999 and Regulation 4(2) (t) of the Sebi 9prohibition of fraudulent and unfair trade practise relating to securities market) Regulation , 2003 | WTM/SKM/EFD1-DRA-III/25/2019-20 | 20-Feb-2023 | PAN deactivated for opening of new account |
| Deepak Sharma | BLQPS6267P | Under Section 11(1) , 11(4) , 11B and 11AA of the securities and Exchange Board of india Act 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulation , 1999 and Regulation 4(2) (t) of the Sebi 9prohibition of fraudulent and unfair trade practise relating to securities market) Regulation , 2003 | WTM/SKM/EFD1-DRA-III/25/2019-20 | 20-Feb-2023 | PAN deactivated for opening of new account |
| Indrakshi Sales Pvt.Ltd | AACCI5457Q | Adjudication Proceedings in matter of Trading Members in Illiquid Stock Option (ISO) AT BSE | SEBI/HO/EAD-5/AN/HP/60081/1/2022 | 23-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Geometry Vanjiya Pvt.Ltd | AACCG6000B | Adjudication Proceedings in matter of Trading Members in Illiquid Stock Option (ISO) AT BSE | SEBI/HO/EAD-5/AN/SM/60078/1/2022 | 23-Feb-2023 | PAN deactivated for opening of new account |
| MKB securities Pvt Ltd | AACCB7074J | Adjudication Proceedings in matter of Trading Members in Illiquid Stock Option (ISO) AT BSE | SEBI/HO/EAD-5/AN/PR/2022/59987/1 | 23-Feb-2023 | PAN deactivated for opening of new account |
| Bright Buildtech Pvt.Ltd. | AACCB7981J | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15-I of SEBI Act, 1992 in matter of non-submission of bank details by issuers to Debenture Trustees | SEBI/EAD-1/SM/RG/63415/2/2022 | 23-Feb-2023 | PAN deactivated for opening of new account |
| Artifice Properties Private Limited | AAACO1722C | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/ADJ/GR/RK/OW/P/21327/3/2022 | 24-Feb-2023 | PAN deactivated for opening of new account |
| Tiger Developers Private Limited | AACCT7439H | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/ADJ/GR/HK/OW/P/21332/1/2022 | 24-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Torus Buildcon Private Limited | AACCT8158M | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/ADJ/GR/HK/OW/P/21332/1/2022 | 24-Feb-2023 | PAN deactivated for opening of new account |
| ANR Securities Limited | AADCA7654E | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/KG/2022-23/16420-16458 | 24-Feb-2023 | PAN deactivated for opening of new account |
| Rosestar Marketing Private Limited | AAFRCR2252M | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/ADJ/GR/RK/OW/P/21327/8/2022 | 24-Feb-2023 | PAN deactivated for opening of new account |
| Ramya Mercantile Pvt Ltd. | AAGCR6009M | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/ADJ/GR/PU/OW/P/26236/1/2022 | 24-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Saubhagya Buildcon Private Limited | AALCS1157L | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/ADJ/GR/HK/OW/P/21332/1/2022 | 24-Feb-2023 | PAN deactivated for opening of new account |
| Rexcin Finance Private Limited | AADCR9657A | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/ADJ/GR/RK/OW/P/21327/3/2022 | 24-Feb-2023 | PAN deactivated for opening of new account |
| Shri Vikash Jha | AJHPJ4757D | Under Sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and listing of Debt Securites) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/184/2016 | 27-Feb-2023 | PAN deactivated for opening of new account |
| Paresh Nathalal Chauhan | AAPPC4494H | Under Section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI(Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 and Section 23-I of the SCRA, 1956 read with rule 5 of the Securities Contracts (Regulations) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/ KS/AS/2021-22/12457-12472 | 27-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Harijit Singh Dhariwal | ADJPD2284P | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulations 4(2) (t) of the SEBI (PFUTP Relating to Securities Market) Regulations, 2003 | WTM/PS/114/CIS-NRO/DEC/2015 | 28-Feb-2023 | PAN deactivated for opening of new account |
| Sambit Mohanty | AIGPM9759G | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/71/2018-19 | 28-Feb-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Subrata Samanta | BAZPS4721C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulations 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/189/2016 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Arvind Kashmirilal Punjabi | AUQPP7247L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/AO/SR/2022/47/43199/1 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Uma Shankar Shaw | BDBPS9453C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulations 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/175/2016 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Subrata Das | AEYPD5580Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulations 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/175/2016 | 07-Mar-2023 | PAN deactivated for opening of new account |

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| Sheke Jasmir Hossain | ABMPH2954D | Under sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/22/2018 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Syed Akhtar | AENPA5118G | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/128/2018 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anand Mohan | AQYPM7781N | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/efd/133/2016 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Annapareddy Leela | ACHPA5541M | Under sections 11 and 11B of the SEBI Act, 1992 and Regulations 44 of SEBI (Substantial Acquisition of shares and takeovers) Regulations, 1997 read with regulation 35 of the SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011 | WTM/GM/efd/DRAIII/11/MAY/2017 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Annapareddy Namrathha | AHZPA5662L | Under sections 11 and 11B of the SEBI Act, 1992 and Regulations 44 of SEBI (Substantial Acquisition of shares and takeovers) Regulations, 1997 read with regulation 35 of the SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011 | WTM/GM/efd/DRAIII/11/MAY/2017 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Grafton Merchant Pvt Ltd | AABCG2641P | Under section 15-I of the SEBI Act, 1992 and Under section 23-I of the SCRA, 1956 | Order/VV/AS/2022-23/15978-16000 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Arvindkumar Lunkaran Vaktharia | ADLPV5355P | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/efd/90/2017-18 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Lunkaran Kachraji Vaktharia | AHOPV2197A | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/efd/90/2017-18 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Ansar Ahmed | AFFPA4197L | Under sections 11(1), 11(4), 11B and 11AA of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) regulations, 1999 and regulation 11 of the SEBI (PFUTP) Regulations, 2003 | WTM/AB/WRO/WRO/7170/2019-20 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Pawan Kumar Mittal | ALWPM2969H | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) regulations, 1999 | WTM/GM/efd/47/2018-19 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Deepak Todkar | ADUPT7199Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | PKK/AO/162/2010 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Rakesh Kumar | AWPPK9383F | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/53/CIS-NRO/SEPT/2015 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Vista Management Services Limited | AACCV6849B | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/109/ERO/BLO/DEC/2015 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Smt. Uma Shankar Shaw | BDBPS9453C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/162/ERO/FEB/2016 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Subrata Das | AEYPD5580Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/162/ERO/FEB/2016 | 07-Mar-2023 | PAN deactivated for opening of new account |
| NIRMAL MUKHERJEE | AKEPM1906F | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/53/2018 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Neesa Technologies Ltd | AABCG5430A | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/46/WRO/JUN/2016 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anukul Maiti | AOMPM9182R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/82/2017 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Lowe Infra and Wellness Private Limited | AABCL4590L | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/KG/2022-23/16420-16458 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Rajesh Tukaram Dambre Proprietor- Anmol Finance Company | AHAPD8240L | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudicating office) Rules,1995 | EAD-9/AO/SM/52-86/2019-20 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| CHATTERJEE DEBDAS | ABVPC3502H | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/165/ERO/FEB/2016 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Neesa Technologies Limited | AABCG5430A | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 | WTM/AB/efd1-DRA3/7449/2020-21 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rising Agrotech Limited | AAECR8598R | Under sections 11 and 11B of the SEBI Act, 1992 | WTM/GM/efd/35/2018-19 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Mrs. Shobha Ratnakar Barde | ASLPB1204C | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudicating office) Rules,1995 | Order/SR/SI/2020-21/8539/51-57 | 07-Mar-2023 | PAN deactivated for opening of new account |
| 1.Sunshine Infrabuild Corporation Limited | AAMCS9355B | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudicating office) Rules,1995 | EAD-2/SS/SK/2018-19/1199-1201 | 07-Mar-2023 | PAN deactivated for opening of new account |
| 4.Mukesh Singh (Director) | CCBPS5904G | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudicating office) Rules,1995 | EAD-2/SS/SK/2018-19/1199-1201 | 07-Mar-2023 | PAN deactivated for opening of new account |
| 3. Mr. Munendra Kumar | ATVPK1011B | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-III/112/2017 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Padam Singh Narwaria | ACOPN4986E | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-III/112/2017 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| 3. Muna Mahadev Patro, | AMWPP5730C | Under sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/EFD/24/2018-19 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Sanjoy Kumar Layek | ABWPL7019B | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/89/2017 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Rajib Mukherjee | ALLPM6767C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/174/ERO/IMD/MAR/2016 | 07-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Gopal Chandra Sahu | AXCPS5392Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/43/2018 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Debapriya Acharya | AZEPA7933R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/136/2016 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Dulal Chatterjee | AFSPC3069C | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/145/2018 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Rising Agrotech Limited | AAECR8598R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/30/ERO/AUGUST/2015 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Ankul Maiti | AOMP9182R | Under Sections 11 and 11B of the SEBI Act, 1992 read with regulation 107 of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 | WTM/GM/EFD/DRAIII/76/2017-18 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Vishali Mahesh Motewar | ATTPM4634Q | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 and regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/48/IMD-CIS/SEPT/2015 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Siyaram Agro Industries Ltd. | AAKCS2215E | Under sections 11(1), 11(4), 11A AND 11B of the SEBI Act, 1992 read with Section 73 of Companies Act, 1956 | WTM/AB/EFD-1/DRA-IV/14/2020-21 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Pushpanjali Singh Baghel | AFZPB0557M | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/26/2019-20 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Pushpanjali Singh Baghel | AFZPB0557M | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/38/2018-19 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Pushpendra Singh Baghel | AFZPB0624B | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/26/2019-20 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Pushpendra Singh Baghel | AFZPB0624B | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/38/2018-19 | 07-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Parveen Kumar Rohilla | AIUPR8350C | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/53/CIS-NRO/SEPT/2015 | 13-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Wellindia Securities Ltd | AABCR5196G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 24-08-2022 | 13-Mar-2023 | PAN deactivated for opening of new account |
| Atlantic Goods Private Limited | AAHCA5667M | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email based SCN dated 04-08-2022 | 13-Mar-2023 | PAN deactivated for opening of new account |
| Pancard Clubs Limited | AAACP9093R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | Order/SR/SJ/2020-21/8539-8545/51-57 | 13-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Manish Kalidas Gandhi | AITPG8933H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | Order/SR/SJ/2020-21/8539-8545/51-57 | 13-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Ramachandran Ramakrishnan | AAIPR9196G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | Order/SR/SJ/2020-21/8539-8545/51-57 | 13-Mar-2023 | PAN deactivated for opening of new account |
| Dharm Singh Kushwah | ASCPK7713Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | EAD-2/SS/SK/2018-19/1199-1201 | 13-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rishabh Jain | AFKPJ6640Q | Under sections 11, 11B and 11D of the SEBI Act, 1992 read with Regulation 11(1) of SEBI (PFUTP) Regulations, 2003 and SEBI (Investment Advisers) Regulations, 2013 | WTM/AB/SRO/SRO/8281/2020-21 | 13-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Krishan Pal Singh | AMYP50600G | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 13-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vishwambher Nath Dubey | AZRPD9701B | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 13-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Arshad Hussain | AAVPH7486C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | EAD-8/KS/MKG/AO/231/2018-19 | 13-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| G R Magnets Limited | AABCG7950M | Adjudication order under Rule 5 of Depositories (Procedure for holding inquiry and imposing penalties) Rules, 2005 | EAD/ADI/PKB/AO-64/EIF-204/6214/2010 | 13-Mar-2023 | PAN deactivated for opening of new account |
| Usha Ispat Limited | AAACU1007G | Under section 19H of Depositories Act, 1996 read with rule 5 of Depositories (Procedure for holding inquiry and imposing penalties) Rules, 2005 | MIRSD4/JM/AO-DiD/7877/2012 | 13-Mar-2023 | PAN deactivated for opening of new account |
| Usha (India) Ltd. | AAACU0927B | Under section 19H of Depositories Act, 1996 read with rule 5 of Depositories (Procedure for holding inquiry and imposing penalties) Rules, 2005 | MIRSD4/JM/AO-DiD//2011 | 13-Mar-2023 | PAN deactivated for opening of new account |

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| JAIN PRIYANKA | AZTPS4957Q | Show cause notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid stock options (ISO) at BSE | EAD-10/SM/S./39946/1/2022 | 15-Mar-2023 | PAN deactivated for opening of new account |
| Bijaya Kumar Sahoo HUF | AAHHB6466L | Show cause notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid stock options (ISO) at BSE | Outward No. 36742/2022 | 15-Mar-2023 | PAN deactivated for opening of new account |
| Anand Finstock Services Limited | AABCA6413F | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by Adjudication Officer) Rules, 1995 | EAD-2/DSR/RG/PU/345-403/2015 | 20-Mar-2023 | PAN deactivated for opening of new account |
| Ms. Anita Arvindbhai Vaktharia | ACXPV0260G | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/90/2017-18 | 20-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dhiren Rawani | AGWPR6262R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 read with section 73 of Companies Act, 1956 | WTM/SM/ERO/ERO/9172/2020-21 | 20-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajneesh Dutta | ALEPD7075R | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/156/IMD/WRO/ILO/FEB/2016 | 20-Mar-2023 | PAN deactivated for opening of new account |
| Santosh Kumar | ALUPK5400F | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/GM/EFD/48/2017-18 | 20-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anuj Jain | ALIPJ5869M | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/159/2018 | 20-Mar-2023 | PAN deactivated for opening of new account |
| VRP Financial Services P/Ltd | AAACV7147C | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by Adjudication Officer) Rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 20-Mar-2023 | PAN deactivated for opening of new account |
| Rajneesh Chandra Shukla | BHCP58238J | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by Adjudication Officer) Rules, 1995 | EAD-8/KS/MKG/AO/231/2018-19 | 20-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Gamma Infoway Exalt Ltd | AABCG1704F | Under section 19H of Depositories Act, 1996 read with rule 5 of Depositories (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | PKB/AO-136/2009 | 20-Mar-2023 | PAN deactivated for opening of new account |
| Aadhaar Ventures India Limited. | AABCP4155F | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by Adjudication Officer) Rules, 1995 and section 23-I of SCRA, 1956 read with rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/JS/N./2022-23/18699-18702 | 20-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Jils Raichand Madan | AGKPM3175A | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by Adjudication Officer) Rules, 1995 and section 23-I of SCRA, 1956 read with rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/JS/N./2022-23/18699-18702 | 20-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms Jyoti Munver | ALSPM2060P | Under Section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by Adjudication Officer) Rules, 1995 and section 23-I of SCRA, 1956 read with rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/JS/N./2022-23/18699-18702 | 20-Mar-2023 | PAN deactivated for opening of new account |
| Meenu Ajmera | ADDP44350R | Adjudication Proceedings in matter of dealings in illiquid stock option (ISO) at BSE | SEBI/HO//2022/38364/1 | 22-Mar-2023 | PAN deactivated for opening of new account |
| Ashish Banthia HUF | AAHHA4468F | Show cause notice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 in the matter of delaing in Illiquid stock options (ISO) | SEBI/AO/SR/2022/15/43272 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Anita Ghosh Chowdhury | AFNPC5967Q | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/189/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Goutam Mukherjee | BBGPM6355J | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/189/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rabishankar Basu | AIXPB3056C | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/189/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajendra Kumar Agarwal | AGIPA6141Q | Show cause notice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 in the matter of delaing in Illiquid stock options (ISO) | EAD-8/PM/NS/ISO-II/5053/1/2022 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Roy Sougata | AJVPR6895C | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/175/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Jayanti Sounth | AZGPS4138J | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/21/03/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sudhir Chandra Bera | AXAPB8554D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/21/03/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Anjan Bandyopadhyay | ADYPB3215H | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/SR/SEBI-ERO/IMD/21/03/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| Sekh Jasim Hossain | ACMPH7807A | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/22/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Bairi Ganjan Dash | AEWPD4198N | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/08/ERO-BLO/APR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Basudev Mohanty | AHZPM1022C | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/08/ERO-BLO/APR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Swati Satpathy | AXTPS4159G | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/08/ERO-BLO/APR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Bhaskar Saha | ALAPS8382A | Under sections 11(1), 11(4), 11A, 11B and 11D of SEBI Act, 1992 and regulation 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/GM/NRO/30/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Saroj Kumar Sahoo | AVOPS6359R | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/05/ERO-BLO/APR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Narendra Rout | AJPPR6529R | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/05/ERO-BLO/APR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Siyaram Green India Ltd. | AAPCS9876G | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/GM/SEBI/ERO/IMD/23/JUNE/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Kali Sankar Majhi | AUNPM9705D | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/GM/SEBI/ERO/IMD/23/JUNE/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| ASHIM MITRA | AKDPM2024K | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/AB/EFD-1/DRA-IV/05/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Debabrata Ghosh | ACWPG8355L | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/145/ERO/JAN/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Indrajit Kumar Sharma | BQNP50657R | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/133/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitendra Kumar Sharma | AZGPS4457A | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/133/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| SANJOY MITRA | AHKPM6164A | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/AB/EFD-1/DRA-IV/05/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Cosmo Corporate Services Ltd | AAACC3529P | Under section 11(1) and 11B(1) of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-4/101-103/2020 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Gitanjali Gems Limited | AAACG1642F | Under section 23-I of SCRA, 1956 read with rule 5 of Securities Contracts (Regulation) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | Order/SS/VS/2019-20/6979-6981 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Annapareddy Sudhaker Reddy | ADIPA6803E | Under section 11 and 11B of SEBI Act, 1992 and regulation 44 of the SEBI (Substantial Acquisition of shares and takeovers) regulations, 1997 read with regulation 35 of the SEBI (Substantial Acquisition and takeovers) Regulations, 2011 | WTM/GM/EFD/DRAIII/11/MAY/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Jayshree Shankar Bhosle | AFMPB0449P | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | EAD-12/AO/SM/57-72/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Parag Chandrakant Shah | ARRPS2518R | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | EAD-12/AO/SM/57-72/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajesh Ravinarayan Hati | ACVPH5913N | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | EAD-2/DSR/RG/PU/345-403/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Brijdham Dealcom Pvt Ltd | AAACW8434F | Under section 15-I of SEBI Act, 1992 and under section 23-I of the SCRA, 1956 | Order/VV/AS/2022-23/15978-16000 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Gulistan Vanija Pvt Ltd | AACCG5385R | Under section 15-I of SEBI Act, 1992 and under section 23-I of the SCRA, 1956 | Order/VV/AS/2022-23/15978-16000 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Silverson Dealtrade Pvt. Ltd. | AAPCS2813M | Under section 15-I of SEBI Act, 1992 and under section 23-I of the SCRA, 1956 | Order/VV/AS/2022-23/15978-16000 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Rohit Gupta | APBPG3984P | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/22/EFD/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ravinder Singh Sidhu | ACFPS9152A | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/51/CIS/NRO/DEC/2014 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sukhpal Sing Barar | AOFPS6728A | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/51/CIS/NRO/DEC/2014 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Awdhesh Kumar Singh | AKQPS6527G | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/52/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Girija Shankar Kumar | AKAPK2774R | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/52/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Neeraj Pathak | BAZPP2860B | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/52/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Manoj Bhandari | AGQP87879L | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | EAD-2/DSR/RG/PU/345-403/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Wonder Comtrade Pvt Ltd | AAACW9366N | Show cause notice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 in the matter of delaing in Illiquid stock options (ISO) | Outward No. 44972/1/2022 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Manish Thakkar | ACTPT8377N | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | EAD-12/HO/SM/PR/28941/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Beckons Industries Ltd | AABCA2425K | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 and section 23 I of SCRA, 1956 read with rule 5 of Securities Contracts (Regulation) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | PM/AN/2020-21/10277 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Jitendra Bisay | AFZPB2659A | Under section 11(1), 11(4), 11B and 11AA of SEBI Act, 1992 read with regulation 65 of SEBI (Collective investment schemes) Regulation, 1999 and regulation 11 of the SEBI (PFUTP) Regulation, 2003 | WTM/MB/WRO/WRO/5275/2019-20 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Phool Chand Bisay | AIQPB4211L | Under section 11(1), 11(4), 11B and 11AA of SEBI Act, 1992 read with regulation 65 of SEBI (Collective investment schemes) Regulation, 1999 and regulation 11 of the SEBI (PFUTP) Regulation, 2003 | WTM/MB/WRO/WRO/5275/2019-20 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Adarsh Wealth Ventures Limited | AAICA9555B | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/107/ERO/BLO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bijaya Kumar Rout | AJAPR7516B | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/107/ERO/BLO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dipak Kumar Mohanty | AKOPM1988P | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/107/ERO/BLO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramakanta Mahakul | BFLPM3736C | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/107/ERO/BLO/DEC/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Anil Singh | BAMPS0082D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/107/ERO/BLO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Partha Das | ANMPD8317J | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/153/ERO-IMD/FEB/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dev Kumar Panda | BGSP3681F | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/109/ERO/BLO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fayaz Khan | AOWPK9297D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/109/ERO/BLO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bijaya Kumar Swain | BASPS9337P | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/109/ERO/BLO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr.Partha Sarkar | BAXPS2458G | Under section 11, 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) regulations, 2008 | WTM/RKA/EFD-DRA-III/154/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sandip Pal | BEBPP8154A | Under section 11, 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) regulations, 2008 | WTM/RKA/EFD-DRA-III/154/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ms. Sangita Das | AJCPD4443P | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/188/ERO/GLO/MAR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shri Arju Acharjee | AIDPA6075A | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/188/ERO/GLO/MAR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shri Chandan Das | AFEPD6600G | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/188/ERO/GLO/MAR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| SHRI TUSHAR SUR | BGSPS7776N | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/176/ERO/MAR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mohammed Rafique Khan | AJDPK8465D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/171/IMD-ERO/MAR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Abu Sama Molla | AKKPM4156H | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) regulations, 2008 | WTM/PS/119/IMD-ERO/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Saugata Gangul | AHIPG2705M | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shalini chakraborty | ALSPC9709K | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/69/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Partha Sarathi Naskar | AFUPN1354H | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/69/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Amit Bandhu Ghosh | AGKPG8261D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/69/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| MAHITOSH GANGULY | AKDPG9372N | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Green Touch Projects Limited | AADCG9096Q | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/95/ERO-IMD/DEC/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Dreamland Investment Consultants Private Limited | AAECD0746M | Show cause notice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 in the matter of delaing in Illiquid stock options (ISO) | Outward No. 41498/1/2022 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| Shri Bibhash Chakraborty(PAN: ACAPC5686Q; | ACAPC5686Q | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/48/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Prasanta Chakraborty | AFSPC3088H | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) regulations, 2008 | WTM/RKA/EFD/180/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shyam Sundar Dey | AHHPD9400B | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/95/ERO-IMD/DEC/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mriganka Sekhar Chakraborty | AHNPC3667M | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/85/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Saurav Singha Roy | AMBPR6838E | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/83/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Sanjay Karmakar | AMUPK1443K | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/AB/EFD-1/DRA-4/17/2018-19 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Laltu Piyada | AQGPP9613A | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-DRA4/8/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sumon Sarkar | BJGPS2760H | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/95/ERO-IMD/DEC/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Onkar Singh | CVPP50839B | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/85/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sudhir Kumar Behera | AHTPB4511B | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/106/ERO/BLO/DEC/105 | 27-Mar-2023 | PAN deactivated for opening of new account |
| MOHAMMAD QAMAR | AABPQ9649J | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/GM/SEBI/ERO/IMD/01/APR/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Prosperity Agro India Limited (formerly: Samruddha Jeevan Agro India Private Limited) | AANCS8397N | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/40/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Ram Shankar Yadav | AAUPY1604L | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Outward No. RA/JP/40-44/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rajinder Singh | ABNP55493D | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020-21 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sanjay Gupta | ABUPG5799B | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/46/WRO/JUN/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shri Pradeep Kumar Pattnaik | ADAPP6395C | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/23/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Manoj Kumar Chand | ADWPC3514A | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/RKA/EFD/92/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Goutam Chatterjee | ADWPC8320Q | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/166/ERO/FEB/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Arvind Gupta | AERPG2839N | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/46/WRO/JUN/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Dilip Kumar Jain | AEUPJ2034B | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Outward No. RA/JP/40-44/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Pankaj Kumar Chand | AFAPC4321D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/RKA/EFD/92/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Subir Dutta | AFYPD5024N | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/155/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Eshararao Gundala | AHIPG8660R | Under sections 11(1), 11B and 11(4) of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/04/CIS-SRO/HLO/APR/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rakesh Shrivastav | AJBPS9073R | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/118/IMD/ERO-PLO/DEC/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Dinesh Singh Bhadoriya | AJPB1169R | Under section 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 11 of SEBI (PFUTP) Regulations, 2003 | WTM/GM/EFD/71/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rakesh Ranjan | AJVPR4961P | Under section 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dinesh Prosad Bhagat | ANKPB4288J | Under section 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Santosh Kaluram Paygude | ANQPP5668L | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/40/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Malay Mallick | ANTPM5722B | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/49/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Anis Mohamad Kazi | AONPK3801J | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/44/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Biplab Pradhan | AOPPP0146M | Under section 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/14/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Subash Chander Sharma | APXPS7159E | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/AB/NRO/20/2020-21 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sumit Kumar Das | AQIPD2277J | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/44/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shubhramshu Singh | AQLPS3885J | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/4/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Nand Lal Koiree | AREPK5807E | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 read with section 73 of Companies Act, 1956 | WTM/SM/ERO/9172/2020-21 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Manoj Singhal | ARFPS7604E | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/46/WRO/JUN/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Mahendra Mahadeo Bhuvad | ARWPB6634J | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/44/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Kishan Pal Singh | AVVPS8411M | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/83/NRO/IMD/NOV/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Chhotrai Majhi | AZBPM9958P | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/4/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dharmnath Rai | AZBPR2908J | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/44/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Krishna Nandan Prasad | BBTPP7677P | Under section 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/94/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Hrishikesh Vasant Kanase | BBXPK1567K | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/40/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rajkumar Kushwah | BDWPK0980P | Under section 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 11 of SEBI (PFUTP) Regulations, 2003 | WTM/GM/EFD/71/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Jai Singh | BPZPS7525B | Under section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/44/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Bakil Singh (Director) | BBUPS0147N | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/49/EFD/SEPTEMBER/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bijoy Das | ALRPD5933D | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/GM/EFD/48/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Partha Chakraborti | ADQPC5578E | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/GM/EFD/48/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rajveer Singh | AXYPS2842R | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/49/EFD/SEPTEMBER/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Swetanshu Shekhar Samal | BBNPS8548C | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/GM/EFD/51/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Trust Investments | AAFFT6864L | Adjudication Proceedings in matter of dealings in illiquid stock option (ISO) at BSE | SEBI/ERO/MD/RK/OW/2022/037527/1 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Durga Prasad Mishra | AATPM7049N | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/200/ERO-BLO/MAR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Biswajit Chakraborty | ABWPC5031E | Under section 11 and 11B of the SEBI Act, 1992 | WTM/GM/EFD/DRAIII/34/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Verinder Finance Limited | ADFPB5807Q | Under section 11 and 11B of the SEBI Act, 1992 | WTM/GM/EFD/DRAIII/34/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mohammed Sunwas Ali | AEOPA2984D | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/13/ERO/APR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Tariq Nafees | AERPN9805M | Under section 11 and 11B of the SEBI Act, 1992 | WTM/GM/EFD/DRAIII/34/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sheikh Abdul Robial | AGKPR7880B | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/74/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rajendra Karn Rajpoot | AJAPR9252L | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/PS/156/IMD/WRO/ILO/FEB/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Goutam Majumdar | AOLPM6441A | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/79/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Swaminath Singha | AQFPS6151H | Under sections 11 and 11B of the SEBI Act, 1992 | WTM/GM/EFD/DRAIII/15/2018-19 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vinod Kumar Shankhwar | ATNPS4287P | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/PS/156/IMD/WRO/ILO/FEB/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Tapas Kumar Mondal | AUHPM9905D | Under sections 11(1), 11(4), 11A and 11B(1) of the SEBI Act, 1992 | WTM/SM/ERO/ERO/9710/2020-21 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Alchemist Holdings Limited | AAACM5575D | Under sections 11 and 11B of the SEBI Act, 1992 | WTM/PS/38/NRO/AUG/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Arunabha Mukhopadhyay | AEYPM2888J | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/81/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashima Sood | AMZPS9585P | Under sections 11 and 11B of the SEBI Act, 1992 | WTM/PS/38/NRO/AUG/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Debasis Prasad Mishra | AKWPM6994P | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/GM/EFD/51/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| Deepak Kumar Gehlot | AKSPG7055R | Under sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/EFD/25/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Litan Chandra Sen | CEDPS4072K | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/GM/EFD/48/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sanjeev Singh | AXYPS2851E | Under sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/EFD/25/2018-19 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Subrata Das | AJEPD3008L | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/81/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Jitendra Kumar Tripathi | ADIPT4968P | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Partha Pratim Ghosh | ADPPG0695P | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 read with SEBI (Issue and Listing of Debt Securities), Regulations, 2008 and SEBI (Debenture Trustee) Regulations, 1993 | WTM/MPB/EFD-1-DRA-IV/131/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sameer Agarwal | ADXPA3381N | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/159/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Nivedita Gupta | AHLPG5454E | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjay Kumar Gupta | AIZPG2134Q | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sujit Mitra | AJNPM1411D | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 read with SEBI (Issue and Listing of Debt Securities), Regulations, 2008 and SEBI (Debenture Trustee) Regulations, 1993 | WTM/MPB/EFD-1-DRA-IV/131/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Bappaditya Majumder | AKNPM5664R | Under section 11(1), 11(4), 11A(1)(b) and 11B of SEBI Act, 1992 read with regulation 28 of SEBI (Issue an Listing of Debt Securities) Regulations, 2008 | WTM/PS/08/IMD-DOF/MAY/2014 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sekh Rezaul Karim | AKOPK3769L | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/49/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Vishal Masih | ALRPM9497P | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/159/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sanjoy Kumar Maiti | APQPM8659A | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 read with SEBI (Issue and Listing of Debt Securities), Regulations, 2008 and SEBI (Debenture Trustee) Regulations, 1993 | WTM/MPB/EFD-1-DRA-IV/131/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Pintu Sarkar | AYQPS1691H | Under section 11(1), 11(4), 11A(1)(b) and 11B of SEBI Act, 1992 read with regulation 28 of SEBI (Issue an Listing of Debt Securities) Regulations, 2008 | WTM/PS/08/IMD-DOF/MAY/2014 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Pralay Kumar Saw | BBJPS6343H | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 read with SEBI (Issue and Listing of Debt Securities), Regulations, 2008 and SEBI (Debenture Trustee) Regulations, 1993 | WTM/MPB/EFD-1-DRA-IV/131/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Kabil Patra | BPCPP1088R | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/76/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sangram Keshori Samal | BWTPS8025B | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/68/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Kamlesh Kumar Agarwal | ABUPA9340A | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-III/115/2020 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Alliance Intermediaries and Network Pvt/ Ltd | AACCA9750E | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Haresh Posnak | AAHPP4638C | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | SD/AO/55/2009 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Praveen Vasisth, Proprietor - Ganesh Trading Company | ADDPV7664F | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Indra Pratap Gajraj Singh, Proprietor - Amizara Securities and Finance | APEPS7258B | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Kaushik Shah | AXAPS7940N | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitendra Chandrabhan Singh, Altra Clean Operations | BRBPS1206H | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Order/BM/UR/2021-22/15253-15267 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| STHITHI INSURANCE SERVICES PVT LTD | AAKCS6316E | Under section 15-I(2) of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Order/MC/HP/2020-21/9613/9624 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| KAILASH CHAND DUJARI | ACVPD0419R | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/165/ERO/FEB/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| RAMANUJAM SESHARATHNAM | AERPR6301N | Under section 15-I(2) of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | Order/MC/HP/2020-21/9613/9624 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| ANIL KUMAR DWIVEDI | AIIPD6966A | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/134/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| SUSHREE MAZUMDER | AKWPM4705A | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/47/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| BANERJEE SOMENATH | AMTPB8538R | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/73/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| PARDEEP KUMAR SHARMA | AOBPS1015G | Under section 11, 11(4) and 11B of the SEBI Act, 1992 read with regulation 107 of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 and regulation 11 of SEBI (PFUTP) Regulations, 2003 | WTM/RKA/IVD/NRO/01/2013 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| LOKESHWAR DEV | ARXPD5428P | Under section 11, 11(4) and 11B of the SEBI Act, 1992 read with regulation 107 of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 and regulation 11 of SEBI (PFUTP) Regulations, 2003 | WTM/RKA/IVD/NRO/01/2013 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| SAGAR MAJUMDER | AZJPM5650L | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/47/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| JAG PAR SECURITIES LTD | AAACJ1873D | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | IJ/AM/AO-6-11/2013 | 27-Mar-2023 | PAN deactivated for opening of new account |
| MARUPUDI SRINIVAS RAO | ACQPM0876L | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | RA/DPS/222/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| DEEPAK A NARVEKAR | ADSPN9721A | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | SD/AO/64/2010 | 27-Mar-2023 | PAN deactivated for opening of new account |
| GANESH SHATRUGHN RAUT | AGGPR1017A | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | SD/AO/60/2010 | 27-Mar-2023 | PAN deactivated for opening of new account |
| MAHTO SHANKAR | ANRPM2774P | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | PKK/AO/283/2010 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| AJIT S SURYAVANSHI | AVOPS4111P | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | SD/AO/78/2010 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| NEHA NARENDRA SHAH | BKUPS7144G | Under section 15-I of the SEBI Act, 1992 read with rule 5 of SEBI (Procedure for holding inquiry and imposing penalties by adjudication officer) rules, 1995 | AO/SBM/EAD-3/84/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ajay Kumar Mahapatra | AEZPM0434K | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/10/ERO/APR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shib Narayan Das | AGBPD7440C | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/124/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Seikh Sarafat Ali | AGWPA0991L | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/05/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Swadesh Basu | ANMPB7097H | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/10/ERO/APR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Arup Bhattacharyya | ASYPB9377P | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/05/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Srinivasa Thanga Durai | BBDP51849E | Under sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/117/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Tapan Kumar Samanta | BCVPS0411F | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/10/ERO/APR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| 2.Mr. Banwari Lal Kushwah | AAGPF1976L | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/20/IMD-CIS/WRO-ILO/MAY/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| 5.Mr. Banabari Lal Lodhi | ACAPL9691A | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/20/IMD-CIS/WRO-ILO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Jeevan Kharadiya | BGGPK8474H | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/78/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Umesh Gupta | AHAPG4380N | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/AB/EFD-1/DRA-3/15/2019-20 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| 1. MPA Agro Animal Projects Limited | AAFCM8730K | Under sections 11 and 11B of the SEBI Act, 1992 | WTM/GM/EFD/DRA/III/50/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shri Yogesh Ghisumal Gemawat | ADVPG4051D | Under sections 11(1), 11B and 11D of the SEBI Act, 1992 read with section 73 of Companies Act, 1956 | WTM/AB/EFD1/EFD1-DRA3/7449/2020-21 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| 9. Shri Suresh Kumar | ADZPK2242A | Under sections 11(1), 11B and 11D of the SEBI Act, 1992 read with section 73 of Companies Act, 1956 | WTM/AB/efd1/efd1-DRA3/7449/2020-21 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Abu Sadeque Ishtiaque Ahmed Chaudhury | AETPC8946C | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-III/138/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| 2. Shri Biswajit Paul | AFKPP3892A | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/46/2019 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Smt. Lina Kayal | ANHPK8201D | Under sections 11 and 11B of the SEBI Act, 1992 | WTM/GM/efd/35/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sadhan Chandra Brahmachari | ACXPB0872A | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/132/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Pravat Kumar Dash | AEKPD7710E | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/167/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Pravat Kumar Dash | AEKPD7710E | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/43/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Prashanta Kumar Dash | AENPD6409F | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/167/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Prashanta Kumar Dash | AENPD6409F | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/43/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sweta Biswal | AGGPB6452R | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/71/2018-19 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sudhanshu Shekhar Pati | AONPP6949L | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/43/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Chakrapani Sarangi | BDAPS1347A | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/141/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhabani Satapathy | BJMPS0012A | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/RKA/efd/141/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Shane Ahmed Warsi | AAWPW7456Q | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/efd/124/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Gopal Sharma (DIN:06475853, PAN:BAPPS0138H) | BAPPS0138H | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/38/2019 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Prashant Sharma (DIN:79474030, PAN:ARWPS6298R) | ARWPS6298R | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/38/2019 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| 2.Mr.Madhab Chandra Purkait (PAN: AKZPP8185C; DIN: 07004248) | AKZPP8185C | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| 3.Mr. Gautam Kumar Halder (PAN: ADPPH2520Q; DIN: 07004250), | ADPPH2520Q | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| 4.Mr. MohdKalimullahBaidya (PAN: ASPPB9723J; DIN: 07004251) | ASPPB9723J | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| 7.Mr. Swapan Kumar Bose(PAN: AEPPB0756L; DIN: 07109850) | AEPPB0756L | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| 8.Mr. Ram Kumar Sinha (PAN: APYPS1402F; DIN: 02460975) | APYPS1402F | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| 11.Mr. KuntalBhattacharjee (PAN: AQMPB2700Q; DIN: 06584522) | AQMPB2700Q | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| 14.Mr. Ratan Chowdhury (PAN: ADIPC9989M; DIN: 00360241) | ADIPC9989M | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Bishal Horticulture and Animal Projects Limited | AACCB6631M | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/34/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sushil Kumar Periwal | AKDPP4403D | Under sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/SEBI/ERO/IMD/02/DEC/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Rasmiranjan Mohapatra | ALRPM3616J | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Prithi Paul Singh Sethi | AOAPS8866A | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/50/2019 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Manoranjan Pahi | APOPP3117N | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Gouranga Charan Sahoo | CDTPS1044L | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 and the SEBI (Issue and Listing of Non-Convertible Redeemable Preference Shares) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Abhijit Majumdar | AJCPM9999F | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/efd-1-DRA-IV/98/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| Mr. Amal Bhattacharya | AKDPB6648N | Under section 11 and 11B of the SEBI Act, 1992 read with regulation 107 of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 | WTM/GM/EFD/DRAIII/76/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Shivram Kushwah | BDGPK0032E | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/40/EFD/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ravi Kiran Realty India Limited | AAFCR0123D | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/173/ERO/IMD/MAR/2016 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Swapan Kumar Paul | AFQPP2737Q | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/PS/173/ERO/IMD/MAR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sai Prasad Foods Ltd | AAHCS3986M | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/66/IMD-CIS/JAN/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rakesh Singh Narwaria | ACNPN0341E | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/112/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Girja Narwaria | AFEPN2325L | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/112/2017-18 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Balasaheb K Bhapkar | AFIPB3674A | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/66/IMD-CIS/JAN/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Anup Aggarwal | AFVPA8971N | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ashish K Tripathi | AGEPT4844K | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Arun Kumar | AGZPK5596J | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/EFD/84/2019-20 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Ramadhar Chpudhary | AMWPC8208N | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ajay Kumar Sharma | ANQPS0753F | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/EFD/84/2019-20 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Laxmi S Shrivastav | ARAPS3958G | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/48/CIS/NRO/NOV/2014 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Satish Kumar Arya | AREPA2954N | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/129/CIS/ILO-WRO-II/JAN/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rajeshwari Sengar | AVZPS2724H | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Manoj Kumar Sengar | BBIPS1965G | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (PFUTP) Regulations, 2003 | WTM/PS/93/IMD-CIS/NRO/NOV/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Ramnaresh Saket | CIMPS8022E | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/129/CIS/ILO-WRO-II/JAN/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ms. Pooja Tandan | ADNPT5796Q | Under sections 11(1), 11(4), 11A and 11B of the SEBI Act, 1992 read with regulation 107 of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 | WTM/RKA/RLO/136/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Gurnek Singh | AXAPS6773K | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EF/08/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Narinder Sharma | BCAPS7164Q | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EF/08/2018-19 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Pappu Pawar | BVEPP1224K | Under section 11(1), 11(4), 11B and 11AA of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 11 of the SEBI (PFUTP) Regulations, 2003 | WTM/SKM/EFD1-DRA-III/25/2019-20 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Animesh Chowdhury | ACPPC9026K | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/101/2017 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Raju Ghosh | AOWPG5672E | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/156/2018 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Raghukul Shares India Private Limited | AAECR3716R | Show Cause Notice under Regulation 27(1) of the SEBI (Intermediaries) Regulation, 2008 | SEBI/EFD1/DRA3/OW/50154/1/2022 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vandana B Bhapkar | AFIPB3675B | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/66/IMD-CIS/JAN/2015 | 27-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Md Salim | AIUPS1764M | Under sections 11, 11(4) and 11b of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/128/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Aurobindo Maiti | ANPPM8071M | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/08/ERO-BLO/APR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| Ms. Rosalin Mohanty | ANXPM3985E | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/08/ERO-BLO/APR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr Dhanesh Sheth | AAEPS3776M | Under section 23-I of SCRA, 1956 read with rule 5 of Securities Contracts (Regulations) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | Order/SS/VS/2019-20/6979-6981 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Chandramukhi Vanijiya Pvt Ltd | AADCC0116F | Section 15-I of SEBI Act, 1992 and under section 23-I of SCRA, 1956 | Order/VV/AS/2022-23/15978-16000 | 27-Mar-2023 | PAN deactivated for opening of new account |
| SHRI JOYDIP MUKHOPADHYAY | AJYPM7962Q | Under sections 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MB/SEBI/EPD-DRA4/4/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ashrafal Hoque | AFCPH9599G | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/PS/119/IMD-ERO/DEC/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Subir Chakravarty | ACPPC1795F | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/69/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shri Arjun Majumdar | ALIPM6848H | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/AB/EPD-1/DRA-4/17/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Pradip Kumar Kundu | AMRPK9676M | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/85/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Vishwa Bandhu Vashistha | ADFPV9924N | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/83/NRO/IMD/NOV/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Vaka Saradhi | AFHPV7774C | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/04/CIS-SRO/HLO/APR/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Sengan Thangappalam | AFUPT3687B | Under sections 11, 11(4), 11D and 11B of SEBI Act, 1992 | WTM/PS/25/IMD-CIS/SRO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Pabitra Kumar Rath | AGKPR5219G | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/106/ERO/BLO/DEC/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shri Anjan Kumar Baliyarsingh | AIDPB2960M | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/23/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Tapash Kumar Dhar | AKCPD2328J | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/26/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Indranil Sinha | ATIPS7061A | Under sections 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/166/ERO/FEB/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Upendra Nath Mishra | AHDPM1535G | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/200/ERO-BLO/MAR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Nabina Jahan | AOAPJ7650M | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/74/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Arindam Dutta | ASPPD4817K | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/PS/177/IMD/ERO-RLO/MAR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Parmanad Prajapat | AKQPP0632H | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/GM/EPD/25/2018-19 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rajendra Agarwal | AESPA2305N | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/159/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Yogendra Pratap Shahi | ATBPS3325E | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | Order/SM/VC/2018-19-49-55 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sandip Pal | BEMPP7199Q | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/45/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Tapan Kumar Sethi | CASPS1885B | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/24/ERO/BLO/DEC/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Rishi Agarwal | ADNPA1610Q | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-III/115/2020 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ambika Arora | AFFPT8842P | Show Cause Notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock options (ISO) at BSE | SEBI/NRO/OW/JS/ASR/ISO/48778/1/2022 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Ameet Singh | BWIPS3911H | Under sections 11, 11(4) and 11B of SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/199/2017 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Amit Singh Rathore | ALZPR5160Q | Under sections 11, 11(4) and 11B of SEBI Act, 1992 | WTM/GM/EPD/78/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr. Gajraj Singh Chauhan | ADXPC6922H | Under sections 11A and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) regulations, 1999 | WTM/PS/71/CIS-NRO/FEB/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr.Harmender Singh Sran | AIGPS2229B | Under sections 11A and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) regulations, 1999 | WTM/PS/71/CIS-NRO/FEB/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Saikat Mukherjee | AEUPM3571L | Under sections 11, 11(4) and 11B of SEBI Act, 1992 | WTM/PS/179/ERO/MAR/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Shri Susanta Kumar Chatterjee | AJBPC2210J | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EPD/136/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Anil Kumar Senapati | CWDPS5006B | Under sections 11, 11(4) and 11B of SEBI Act, 1992 read with sections 56(1), 56(3), 56(4), 60 and 73(2) of Companies Act, 1956 | WTM/MPB/EPD-1-DRA-IV/140/2018 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Smt. Shima Chowdhury | ADIPC9990N | Under sections 11, 11(4) and 11B of SEBI Act, 1992 | WTM/PS/35/IMD/ERO-RLO/MAY/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Lekh Narayan Chand | AALPH6536K | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (PFUTP) Regulations, 2003 | WTM/PS/87/CIS-NRO/NOV/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Premalata Mittal | ADQPM4433N | Under sections 11, 11(4) and 11B of SEBI Act, 1992 | WTM/GM/SEBI/ERO/IMD/02/DEC/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Mr.Tarapada Giri | AHVP64066A | Under sections 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and SEBI (Issue and listing of non-convertible redeemable preference share) Regulations, 2013 | WTM/PS/155/IMD/ERO-BLO/FEB/2016 | 27-Mar-2023 | PAN deactivated for opening of new account |

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| Prasanta Bera | AHZPB9748G | Under sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/40/2019 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Channel Nine Entertainment Limited | AABCC8801H | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/AB/NRO/NRO/10720/2020-21 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Sardar Singh | ABDPS2787C | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (PFUTP) Regulations, 2003 | WTM/PS/93/IMD-CIS/NRO/NOV/2015 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Partha Prati Tewari | ABIPT7044A | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/100/2017-18 | 27-Mar-2023 | PAN deactivated for opening of new account |
| M/s. Maitreya Services Pvt. Ltd | AABCM9358H | Under section 11(4) and 11B of the SEBI Act, 1992 read with regulations 65 and 73 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/RKA/CIS/07/2013 | 27-Mar-2023 | PAN deactivated for opening of new account |
| Swapan Nandi | ACGPN6147D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/RKA/EFD/167/2016 | 28-Mar-2023 | PAN deactivated for opening of new account |
| Ms. Ritu Verma | AGPPV1332D | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2)(t) of the SEBI (PFUTP) Regulations, 2003 | WTM/PS/75/CIS-NRO/LKO/OCT/2015 | 28-Mar-2023 | PAN deactivated for opening of new account |
| HITESH M THAKKAR | ACDPT6858J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | EAD-2/AQ/36/2012 | 28-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Biswanath Dutta | ALNPD6445N | Under section 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/145/2018 | 28-Mar-2023 | PAN deactivated for opening of new account |
| Suresh L Shrivastav | ABTPS4472N | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) regulations, 1999 | WTM/PS/48/CIS/NRO/NOV/2014 | 28-Mar-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Astro Capital Solutions and its Proprietor Ankit Kumar Upadhayay | AHNPU3089M | Under Sections 11(1), 11(4) , 11B and 11D of the Securities and Exchange Board of India Act, 1992 | WTM/SM/SRO/SRO/8408/2020-21 | 28-Mar-2023 | PAN deactivated for opening of new account |
| Natthu Singh | DKBPS2620L | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) regulations, 1999 | WTM/PS/19/CIS-NRO/JULY/2015 | 28-Mar-2023 | PAN deactivated for opening of new account |
| Sai Prakash Properties Development Ltd. | AANCS7178R | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) regulations, 1999 | WTM/GM/EFD/26/2019-20 | 28-Mar-2023 | PAN deactivated for opening of new account |
| Kaynet Finance Limited | AAACK3428B | Under Section 12(3) of SEBI Act, 1992 and Regulation 27(5) of SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/OW/EFD1/DRA3/JS/2023/4075/1 | 06-Apr-2023 | PAN deactivated for opening of new account |
| Kaynet Finance Limited | AAACK3428B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/HO/EFD1/DRA3/P/ON/2022/50918/1 | 06-Apr-2023 | PAN deactivated for opening of new account |
| Kaynet Capital Limited | AABCK3032E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/HO/EFD1/DRA3/P/ON/2022/50915/1 | 06-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Kaynet Capital Limited | AABCK3032E | Under Section 12(3) of SEBI Act, 1992 and Regulation 27(5) of SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/OW/EFD1/DRA3/JS/2023/4076/1 | 06-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Priyansh Patodi | BBDPP5436J | Under Sections 11(1), 11(4)(b) and 11B of SEBI Act, 1992 read with the SEBI (Investment Advisers) Regulations, 2013, SEBI (Portfolio Managers) Regulations, 1993 and the SEBI (Research Analyst) Regulations, 2014 | WTM/MPB/EFD-1-DRA-IV/58/2019 | 06-Apr-2023 | PAN deactivated for opening of new account |
| Pradeep Syamsunder Swain | CHMPS7035J | Under section 11B of SEBI Act, 1992 | WTM/AB/IVD/ID6/20272/2022-23 | 06-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vijay Kumar Shukla | BLHPS3014H | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/AB/EFD-1/DRA-3/15/2019-20 | 10-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vijay Kumar Shukla | BLHPS3014H | Under section 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/91/2017 | 10-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Cemendia Infrastructures Limited | AAECC5229J | Under section 11, 11(4), 11A and 11B of SEBI Act, 1992 and regulation 28 of SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD-DRA-IV/ I 99/2016 | 10-Apr-2023 | PAN deactivated for opening of new account |
| Sujay Pathak | AIAPP0435G | Under section 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/53/2018 | 10-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mobonair Wireless Pvt. Ltd. | AAICM5611Q | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/EAD2/NG/KL/57339/2022 | 18-Apr-2023 | PAN deactivated for opening of new account |
| Hbn Dairies & Allied Limited | AAACH7852C | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | WTM/PS/71/CIS-NRO/FEB/2015 | 18-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sai Prasad Properties Ltd | AAMCS2738N | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/67/IMD-CIS/JAN/2015 | 18-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vishwambher Nath Dubey | AZRPD9701B | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Scheme) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 18-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Gyaneshwar Sharma | BQHPS9902F | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/GM/EFD/84/2019-20 | 18-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Mr. Vivek Mahendragiri Ramdati | BQVPR8043L | Under section 11(1), 11(4), 11B(1) and 11D of SEBI Act, 1992 | WTM/ASB/WRO/WRO/19665/2022-23 | 19-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sarfraz Ali | AULPA2242F | Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of the SEBI Act, 1992 read with SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/WRO/JLO/OW/P/1/63051/4/2022 | 19-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rps Properties Pvt Ltd | AACCR5402L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against RPS Propertise Private Limited | EAD-8/PM/GD/ISO-II/32066/1/2021 | 25-Apr-2023 | PAN deactivated for opening of new account |
| Rajshree Kochar | AFCPK7857G | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options against Rajshree kochar | EAD-8/PM/GD/ISO-II15686/8/2022 | 25-Apr-2023 | PAN deactivated for opening of new account |
| Rupaben Bhatt | BVBPB4487K | Show Cause Notice under Sections 11(1), 11(4), 11B(1) and 11 B(2) of the Securities and Exchange Board of India Act, 1992 ("SEBI Act") read with Rule 4 (1) of SEBI (Procedure for Holding inquiry and imposing Penalties) Rules, 1995 | SEBI/WRO/26852-1/04 | 25-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Yogendra Bisay | AFQPB2353A | UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003. | WTM/MB/WRO/WRO/5275/2019-20 | 25-Apr-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dr. V. Venkataramanujam | AABPV3976F | Under sections 11(1), 11(4), 11B and 11D of the Securities and Exchange Board of India Act, 1992 | WTM/PS/25/IMD-CIS/SRO/MAY/2016 | 25-Apr-2023 | PAN deactivated for opening of new account |
| Bagchi Kali Kishore | ACUPB9253E | Under section 11, 11(4) 11A and 11B of SEBI Act, 1992 | WTM/PS/165/ERO/FEB/2016 | 25-Apr-2023 | PAN deactivated for opening of new account |
| Bagchi Kali Kishore | ACUPB9253E | Under sections 11(1), 11(4), 11(A) and 11B of the SEBI Act, 1992 and Clause 17 of the SEBI (Disclosure and Investor Protection) Guidelines, 2000 read with regulation 111 of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 | WTM/PS/169/IMD/ERO/FEB/2016 | 25-Apr-2023 | PAN deactivated for opening of new account |
| Manoj Ashokbhai Khalas | BXAPK0069H | Under section 15-I of SEBI Act, 1992, read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | Order/SM/S./2022-23/19570-19588 | 28-Apr-2023 | PAN deactivated for opening of new account |
| Shri Hussain Sattaf | ANGPS3086F | Under section 15-I of SEBI Act, 1992, read with Rule 5 of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | Order/KS/PP/2020-21/10156-10158 | 05-May-2023 | PAN deactivated for opening of new account |
| Deveraj Siddiah Pera Naidu | ACGPD9190G | Under section 15-I of SEBI Act, 1992 read with rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties by adjudicating officer) Rules, 1995 | Order/SM/PP/2018-19/2458-2490 | 08-May-2023 | PAN deactivated for opening of new account |
| Kesarisuta Vinimay Pvt Ltd | AAECK2699A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in matter of Trading Members in Illiquid Stock Options | SEBI/HO/EAD1/SBM/AK/25674/2022 | 08-May-2023 | PAN deactivated for opening of new account |
| Ms. Zalak Purvesh Parikh | ANYPP1513A | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/HO/EAD/EAD2/P/OW/2023/6951/2 | 08-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anugrah Stock & Broking Pvt Ltd | AAACW2920N | Under section 12(3) of the SEBI Act, 1992 read with Regulation 27 of SEBI (Intermediaries) Regulations, 2008 | WTM/SM/MIRSD/MIRSD_DPIEA/25029/2022-23 | 08-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Nihar Ranjan Mishra | AFLPM1238C | Show Cause Notice under Rule 4(1) of the Securities Contracts (Regulations) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with Section 23-I of SCRA, 1956 and Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 | EAD-6/ADJ/AK/AS/OW/11946/4/2023 | 08-May-2023 | PAN deactivated for opening of new account |
| Bhikari Charan Lenka | ACKPL1622P | Under sections 11(1), 11(4), 11A(1)(B) of the SEBI Act, 1992 read with Regulation 28 of the SEBI (Issue and listing of Debt Securities) Regulations, 2008 | WTM/MPB/EFD-1-DRA-IV/68/2017 | 11-May-2023 | PAN deactivated for opening of new account |
| Kanta Chowdhary | ACDPC1181D | Adjudication Proceedings In the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD/BM/JR/40048/2022 | 11-May-2023 | PAN deactivated for opening of new account |
| Vipul Kumar Javia Huf | AADHV7962B | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 05-08-2022 | 11-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Somit Kishanchandra Saxena | BCQPS5051J | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 and read with Regulation 65 of the Securities And exchange board of India(Collective Investment Scheme) Regulations, 1999 | WTM/PS/157/IMD-CIS/FEB/2016 | 23-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sudhir Nathuram Pawa | AIIPP3823E | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 and read with Regulation 65 of the Securities And exchange board of India(Collective Investment Scheme) Regulations, 1999 | WTM/PS/157/IMD-CIS/FEB/2016 | 23-May-2023 | PAN deactivated for opening of new account |
| Sukrit Sood | AOBPS0340E | Under section 11,11(4),11A and 11B of Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA-IV/193/2021 | 23-May-2023 | PAN deactivated for opening of new account |

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| Kadar Hussain | AQLPK1093L | Under Sections 11, 11B and 11D of the Securities and Exchange Board of India Act, 1992 read with Regulation 11(1) of the Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 and the Securities and Exchange Board of India (Investment Advisers) Regulations, 2013 | WTM/AB/SRO/SRO/8281/2020-21 | 23-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajendra Prasad Kamalia HUF | AAFHR2236E | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD-8/AS/GD/2022/36942/1 | 29-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Jeevan Lal Maru | AXUPM0503H | Under sections 11(1), 11(4), 11B(1), 11B(2) and 11D of SEBI Act, 1992 and 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | WTM/AB/WRO/WRO/25160/2022-23 | 31-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Ramgopal Set | NSSPS1252P | Under sections 11(1), 11(4), 11B(1), 11B(2) and 11D of SEBI Act, 1992 read with SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | WTM/SM/WRO/WRO/24649/2022-23 | 31-May-2023 | PAN deactivated for opening of new account |
| Mr. Saudan Singh | EQGPS3663P | Under sections 11(1), 11(4), 11B and 11D of the SEBI Act, 1992 read with regulation 3(1) of SEBI (Investment Advisers) Regulations, 2013 | QJA/AA/WRO/WRO/25839/2023-24 | 31-May-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Modex International Securities Limited | AAACM2105K | Under regulation 27(1) of the SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EPD1/DRA3/P/OW/2023/16094/1 | 01-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Guiness Securities Limited | AAACG9843L | Under regulation 27(1) of the SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EPD1/DRA3/P/OW/2023/15479/1 | 01-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Ramesh Chandra | AACPC5805D | Under section 11(1), 11(4A), 11B(1) and 11B(2) of the SEBI Act, 1992 read with SEBI (Venture Capital Funds) Regulations, 1996 read with regulation 39 of SEBI (AIF) Regulations, 2012 | SEBI/HO/AFD-1/AFD-1-SEC/P/OW/2023/0000009836/1 | 01-Jun-2023 | PAN deactivated for opening of new account |
| Shailesh Kumar Upadhyay | ABDPU8384M | Under sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/187/CIS-NRO/MAR/2015 | 02-Jun-2023 | PAN deactivated for opening of new account |
| Rajesh Kantilal Shah | AAKPS9389Q | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | IVD/WOL/AO/DRK/ASG/EAD3-70/2009 | 07-Jun-2023 | PAN deactivated for opening of new account |
| Nakoda Ltd | AAACN7282L | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 and 23-I of SCRA, 1956 and Rule 5 of Securities Contract (Regulations) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | Order/VV/AK/PSS/2022-23/18792-18796 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Kaashyap Technologies Ltd | AAACK4451A | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 and 23-I of SCRA, 1956 and Rule 5 of Securities Contract (Regulations) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | Order/GR/BM/2022-23/18221-18226 | 07-Jun-2023 | PAN deactivated for opening of new account |
| Shri R. Dakshinamurthy | AAGPD2888M | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 and 23-I of SCRA, 1956 and Rule 5 of Securities Contract (Regulations) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | Order/GR/BM/2022-23/18221-18226 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri. A Sivakumaran | AIYPS4944H | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 and 23-I of SCRA, 1956 and Rule 5 of Securities Contract (Regulations) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | Order/GR/BM/2022-23/18221-18226 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Chandrakant Amratlal Parekh | AHXPP5708J | Under section 15-I of the SEBI Act, 1992 read with rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SRP/RK/AO-33/2009 | 07-Jun-2023 | PAN deactivated for opening of new account |
| Fairwealth Securities Limited | AAACF8795N | Under regulation 26 of the SEBI (Intermediaries) Regulations, 2008 | ENQ/PM/GD/2022-23/17263 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anugrah Stock & Broking Private Limited | AAACW2920N | Under section 11(1), 11(4), 11B(1), 11B(2) and 11D of the SEBI Act, 1992 and section 12A of SCRA, 1956 | WTM/SM/MIRSD/MIRSD_DPIEA/24256/2022-23 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Paresh Mulji Kariya | AAEPK0469M | Under section 11(1), 11(4), 11B(1), 11B(2) and 11D of the SEBI Act, 1992 and section 12A of SCRA, 1956 | WTM/SM/MIRSD/MIRSD_DPIEA/24256/2022-23 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sadhana Paresh Kariya | AJHPK6018N | Under section 11(1), 11(4), 11B(1), 11B(2) and 11D of the SEBI Act, 1992 and section 12A of SCRA, 1956 | WTM/SM/MIRSD/MIRSD_DPIEA/24256/2022-23 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Om Sri Sai Investment | AADFO8242K | Under section 11(1), 11(4), 11B(1), 11B(2) and 11D of the SEBI Act, 1992 and section 12A of SCRA, 1956 | WTM/SM/MIRSD/MIRSD_DPIEA/24256/2022-23 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Teji Mandi Analytics Private Limited | AACCT9530H | Under section 11(1), 11(4), 11B(1), 11B(2) and 11D of the SEBI Act, 1992 and section 12A of SCRA, 1956 | WTM/SM/MIRSD/MIRSD_DPIEA/24256/2022-23 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anil Gopal Gandhi | ABWPG5141P | Under section 11(1), 11(4), 11B(1), 11B(2) and 11D of the SEBI Act, 1992 and section 12A of SCRA, 1956 | WTM/SM/MIRSD/MIRSD_DPIEA/24256/2022-23 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Riddhi Kalapi Shah | AAIP50707L | Under section 11(1), 11(4), 11B(1), 11B(2) and 11D of the SEBI Act, 1992 and section 12A of SCRA, 1956 | WTM/SM/MIRSD/MIRSD_DPIEA/24256/2022-23 | 07-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri. A. Venkatramani | AABPV3960F | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 and 23-I of SCRA, 1956 and Rule 5 of Securities Contract (Regulations) (Procedure for holding inquiry and imposing penalties) Rules, 2005 | Order/GR/BM/2022-23/18221-18226 | 09-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Baljit Singh Rajpal | BERPR1999P | Under sections 11(1), 11(4), 11B(1) and 11B(2) of SEBI Act, 1992 read with Regulation 3(1) of SEBI (Investment Advisers) Regulations, 2013 | QJA/GG/QJC/QJC-2/26152/2023-24 | 12-Jun-2023 | PAN deactivated for opening of new account |
| Mr. Bhavna Jethvani | BBOPJ6519H | Under sections 11(1), 11(4), 11B(1) and 11B(2) of SEBI Act, 1992 read with Regulation 3(1) of SEBI (Investment Advisers) Regulations, 2013 | QJA/GG/QJC/QJC-2/26152/2023-24 | 12-Jun-2023 | PAN deactivated for opening of new account |
| Mr. Taj Khan | BWRPK1312F | Under sections 11(1), 11(4), 11B(1) and 11B(2) of SEBI Act, 1992 read with Regulation 3(1) of SEBI (Investment Advisers) Regulations, 2013 | QJA/GG/QJC/QJC-2/26152/2023-24 | 12-Jun-2023 | PAN deactivated for opening of new account |
| SMS Techsoft (India Ltd) | AAECA1954G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 12-Jun-2023 | PAN deactivated for opening of new account |
| Gbc Enterprise Limited | AACCG5669G | Under sections 11, 11(4), 11A and 11B of Securities and Exchange Board of India Act, 1992 | WTM/PS/21/IMD-ERO/MAY/2016 | 14-Jun-2023 | PAN deactivated for opening of new account |
| Krishnendu Das | AEVPD1072L | Under sections 11, 11(4), 11A and 11B of Securities and Exchange Board of India Act, 1992 | WTM/PS/21/IMD-ERO/MAY/2016 | 15-Jun-2023 | PAN deactivated for opening of new account |
| Sugata Bal Das | ALOPB4676N | Under sections 11, 11(4), 11A and 11B of Securities and Exchange Board of India Act, 1992 | WTM/PS/21/IMD-ERO/MAY/2016 | 15-Jun-2023 | PAN deactivated for opening of new account |
| Kina Dilip Mewada | BFVPM1091N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | AO/BJD/MAS/EAD/128-143/2018 | 26-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Wadhawan Global Capital Limited | AAACW9811G | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/ASB/CFID/CFIO-SEC2/24485 | 26-Jun-2023 | PAN deactivated for opening of new account |
| Pavan Bir Anand | ADIPA7292D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | Email from SEBI dated as on 03-08-2022 | 26-Jun-2023 | PAN deactivated for opening of new account |
| Sagar P Shah | AHEPS7486F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-2/DSR/VVK/314/2014 | 26-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Mustkim | AXJPM6692G | Notice of hearing in matter of M/s. Starbust Research, proprietors: Mr. Mustkim and Mr. Sarfaj Ali | SEBI/WRP/JLO/MK/OW/P/19134/2/2023 | 29-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Deepak Parashram Salvi | GFDP59384R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2022-23/20892-20899 | 29-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Narendra Ramanlal Shah | AAPPS6559P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2022-23/20892-20899 | 29-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ajay Nathwani | AJGPN1996D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2022-23/20892-20899 | 29-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Earth Exim Ltd (EEL) | AABCE3676Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2022-23/20892-20899 | 29-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Preeti Ajay Nathwani | AUWPP2833Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2022-23/20892-20899 | 29-Jun-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mrs. Varsha Madhusudan Satpalkar | ACEPS9276L | Under Sections 11(1), 11(4) And 11B Of The Securities And Exchange Board Of India Act, 1992 Read With Regulation 65 Of The Sebi (Collective Investment Schemes) Regulations, 1999 | WTM/SKM/EFD-DRA-1/06 /2018-19 | 03-Jul-2023 | PAN deactivated for opening of new account |
| Lokendra Jain | AJDPJ2709F | Show cause notice under sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) read with section 15HA, section 15HB and section 15EB of the SEBI Act, 1992 read with Rule 4(1) of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/WRO/ILO/NM/OW/P/20333/1/2023 | 03-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Achal Investments Ltd | AADCA6696C | Under Sections 11(1), 11(4), 11B (1), 11B(2) and 11(4A) of the SEBI Act, 1992, and Section 12A(2) of the Securities Contracts (Regulation) Act, 1956, read with Rule 5 of Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | WTWMBRVDAD14/13306/2021-22 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Jagadish Vital | ACJPJ5747L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Akash Jagadish Vital | ATYPA8648K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Anita S. Kadanthalai | ABUPA2184Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Alakaben Kirtibhai Shah | CPAPS2335R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bafna S R | AFXPB6525P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |

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| Dharmendra Rikhavchand Shah HUF | AAEHD6246F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Himadri Kamleshbhai Shah | AZYP55654H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Kanubhai Narandas Thakkar | ADZPT3418R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Keval Kirtikumar Shah | DFNPS6796L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Kirtikumar Rasiklal Shah | AKQPS8463F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| K Rajagopal | AGCPR8130L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mahavirsingh N Chauhan | AQOPC6330R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Meenaben Natubhai Thakkar | AHWPT5940M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Minaben Prafulbhai Shah | COYPS9153J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Mitesh Kanaiyalal Thakkar | AHQPT0178H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Mukeshbhai Shantilal Thakkar | AJBPT8789M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Natubhai Shantilal Thakkar | AHWPT5944R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Navinchandra Kanubhai Thakkar | ADUPT1264A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Pruthvi Himanshu Shah | DCAPS6011N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| S Rajaganes | ALBPR2586E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Suresh Nenmalji Malvi | AKMPM4951C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Vaishali Natvarlal Thakkar | AMJPT1242N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Vinit Kamleshkumar Shah | BOIPS2506E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vinod Jain | AEDPJ4235P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Rajesh Ranka | ACUPR5573R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Dharmendra Rikhavchand Shah | ACDPS9920N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/PP/2018-19/2491-2523 | 04-Jul-2023 | PAN deactivated for opening of new account |
| Prafulla Subhashchandra Bhat | AMEPB0155F | Notice of hearing in matter of Setubhandan Infrastructure Limited | SEBI/HO/CFID/CFIDP/OW/2023/21716/3 | 05-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Irshad Ali | ALYPA2199R | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/134/2016 | 06-Jul-2023 | PAN deactivated for opening of new account |
| MRS Agrotech India Limited | AAICM1034D | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/146/2016 | 06-Jul-2023 | PAN deactivated for opening of new account |
| Samrat Sadhu | DPOPS9750H | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/146/2016 | 06-Jul-2023 | PAN deactivated for opening of new account |
| Smt Dolon Sadhu Kundu | BUUPK2909R | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/146/2016 | 06-Jul-2023 | PAN deactivated for opening of new account |
| Habib Sarkar | CNNPS3625P | Under section 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/27/ERO-RLO/IMD/MAY/2016 | 06-Jul-2023 | PAN deactivated for opening of new account |
| Ran Vijay Kumar | AWBPK3800F | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/134/2016 | 06-Jul-2023 | PAN deactivated for opening of new account |
| Mount Vision Industries India Ltd | AAHCM7407K | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/EFD/134/2016 | 06-Jul-2023 | PAN deactivated for opening of new account |

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| Sanjay Kumar | ALXP2636C | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/efd/134/2016 | 19-Jul-2023 | PAN deactivated for opening of new account |
| Rajdeep Sadhu | ATNPS4000L | Under section 11(1), 11(4), 11A and 11B of SEBI Act, 1992 and Regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 | WTM/RKA/efd/146/2016 | 19-Jul-2023 | PAN deactivated for opening of new account |
| Dhaval V Gadani | BWGP2510J | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Keyur V Parmar | BYNPP4009C | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anand H Soni | CJHPS9562H | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vipul B Pithadia | CJIPP3064Q | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Anurudhkumar B Yadav | AXIPY0954G | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Parmar Akshay J | COPPP4646J | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rekhaben H Sakariya | DZEPS8946B | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Nayanbhai S Vyas | ADGPV6374B | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sonu Argal | BQWPA5223L | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajesh s Kushavaha | CPGPK0246H | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | SEBI/EAD-1/BS/RG/18453/2023 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Modex International Securities Limited | AAACM2105K | Personal Hearing before WTM in the matter of Modex International Securities Limited | SEBI/HO/efd1/DRA3/P/OW/2023/23985/1 | 19-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Partha Ghosh | AKYPG8318D | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/efd/44/2017-18 | 20-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Partha Ghosh | AKYPG8318D | On representation dated January 29, 2018 in the matter of network marketing limited with respect to order dated August 28, 2017 | WTM/GM/efd/45/2018-19 | 20-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Mohammad Ahteshamul Haq | ACGPH4147P | Under sections 11(1), 11(4) AND 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2) (T) of the SEBI (PFUTP) Regulations, 2003 | WTM/PS/87/CIS-NRO/NOV/2015 | 20-Jul-2023 | PAN deactivated for opening of new account |
| Mr. Dharmendra Limited | AEIPK0881M | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PG/AO-12/2011 | 24-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mahanivesh Stock and Shares Pvt Ltd | AADCM7110M | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/JP/112/2017 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Pradeep Kumar | APWPK3686H | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | VSS/AO-188/2009 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Tien Trading Pvt Ltd | AADCT1652R | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/DPS/75-86/2017 | 24-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Goodlife Commercial Pvt Ltd | AADCG6999E | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BS/DP/2017-18/1673-1676 | 24-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Biren Kantilal Shah | AVZPS0368P | Under section 15-I of SEBI Act, 1992 and Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/IPO/AO/DRK/MD/EAD-3/327/25-2012 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Basukinath Highrise Private Limited | AADCB5570C | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PG/AM/AO-04/2013 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Dinesh Jayantlal Doshi | AHTPD3510C | Under section 15-I(2) of SEBI Act, 1992 and Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/DPS/7/2018 | 24-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shah (HUF) BH | AAKHS2700K | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | EAD-10/SCN/SM/DD/22769/1/2023 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Sunita S. Shah | ACQPS5375Q | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | EAD-10/SCN/SM/DD/22769/1/2023 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Romil Shah | ARAPS8707R | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | EAD-10/SCN/SM/DD/22769/1/2023 | 24-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Shrenik Dhah | ACQPS5387Q | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 151 of the SEBI Act, 1992 | EAD-10/SCN/SM/DD/22769/1/2023 | 24-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| AD Parikh | AGUPP7355B | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 151 of the SEBI Act, 1992 | EAD-10/SCN/SM/DD/22769/1/2023 | 24-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhujawani Securities Pvt. Ltd. | AAACB4604N | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 151 of the SEBI Act, 1992 | EAD-10/SCN/SM/DD/22769/1/2023 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Dhananjay Parikh | ADSP8624L | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 151 of the SEBI Act, 1992 | EAD-10/SCN/SM/DD/22769/1/2023 | 24-Jul-2023 | PAN deactivated for opening of new account |
| Alchemist Infra Realty Limited | AAGCA9717P | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/KS/AS/AO/10490-10494/2020-21 | 28-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Narayan Madhav Kumar | AYZPK9827A | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/KS/AS/AO/10490-10494/2020-21 | 28-Jul-2023 | PAN deactivated for opening of new account |
| Chandra Shekhar Chauhan | AAEPC9775G | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/KS/AS/AO/10490-10494/2020-21 | 28-Jul-2023 | PAN deactivated for opening of new account |
| Swar Agrotech India Limited | AARCS0445B | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/22/EFD/MAY/2016 | 28-Jul-2023 | PAN deactivated for opening of new account |
| Networth Marketing Limited | AACCN1305Q | Under sections 11(1), 11(4) and 11B of the SEBI Act, 1992 read with regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/GM/EFD/44/2017-18 | 28-Jul-2023 | PAN deactivated for opening of new account |
| F6 Finserve Pvt Ltd | AABCF0080D | Under section 12(3) of the SEBI Act, 1992 read with Regulation 27 of SEBI (Intermediaries) Regulations, 2008 | WTM/AN/NRO/NRO/27625/2023-24 | 28-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s. Minance Investment Advisors Private Limited | AALCM4744M | Under Regulation 27(5) of the SEBI (Intermediaries) Regulations, 2008 | WTM/SM/SRO/SRO/26953/2023-24 | 31-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Anurag Bhatia | AVEPB6967G | Under Regulation 27(5) of the SEBI (Intermediaries) Regulations, 2008 | WTM/SM/SRO/SRO/26953/2023-24 | 31-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Cavalier Securities Ltd | AAACC6370Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-2/AO/56/2012 | 31-Jul-2023 | PAN deactivated for opening of new account |
| Hitesh Madhusudan Thakkar | ACDPT6858J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-2/AO/36/2012 | 31-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramnikal Patel | AEAPP8145E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | PKK/AO/266/2010 | 31-Jul-2023 | PAN deactivated for opening of new account |
| Sanraa Media Ltd | AAACS9093A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 and under section 23-I of SCRA, 1956 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | Order/AA/AR/2019-20/5855-5861 | 31-Jul-2023 | PAN deactivated for opening of new account |
| Mr. Annaswamy Venkatramani | AABPV3960F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 and under section 23-I of SCRA, 1956 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | Order/AA/AR/2019-20/5855-5861 | 31-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sagar Pravin Shah | AHEPS7486F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-2/DSR/VVK/314/2014 | 31-Jul-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Pratima Shah | AXXP50523N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-2/DSR/VVK/315/2014 | 31-Jul-2023 | PAN deactivated for opening of new account |
| Kumar Dilip Mewada | AUVPM4637N | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/BJD/MAS/EAD/128-143/2018 | 03-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Kamlesh Agarwal | AFUPA7917B | Show causenotice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/HO/EFD2/EFD2_SD/P/OW/2021/24807/1 | 03-Aug-2023 | PAN deactivated for opening of new account |
| Kanchan Agarwal | AFMPA8305D | Show causenotice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | EFD2/HO/EFD2/EFD2_SD/P/OW/000024053/1 | 03-Aug-2023 | PAN deactivated for opening of new account |
| Kanchan Verma | AAHPV1218Q | Show causenotice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/HO/EFD2/EFD2_SLD3/P/OW/2022/3900/1 | 03-Aug-2023 | PAN deactivated for opening of new account |
| Kankriya Construction Pvt Ltd | AADCR7607Q | Show causenotice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/HO/EFD2/EFD2_SLD3/P/OW/2022/3861/1 | 03-Aug-2023 | PAN deactivated for opening of new account |
| Kanta Didwania | ADOPD2660G | Show causenotice under Rule 4(1) of the SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 | SEBI/HO/EFD2/EFD2_SLD3/P/OW/2022/3865/1 | 03-Aug-2023 | PAN deactivated for opening of new account |
| Destiny Securities Ltd | AABCD9470H | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AS/GD/2022-23/25243 | 03-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| F6 Finserve Pvt Ltd | AABCF0080D | Under section 15-I of SEBI Act, 1992 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AS/VC/2022-23/25244 | 03-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vimal Kumar Saini | AFEPS2295E | Adjudication proceedings in the matter of dealings in Illiquid stock option (iso) at BSE | SEBI/HO/DEPA-III-SSU/P/OW/2022/0000039336/1 | 07-Aug-2023 | PAN deactivated for opening of new account |
| Vimal Kumar Saini | AFEPS2295E | Adjudication proceedings in the matter of dealings in Illiquid stock option (iso) at BSE | SEBI/HO/DEPA-III-SSU/P/OW/2022/0000050526/1 | 07-Aug-2023 | PAN deactivated for opening of new account |
| Vinay Bhushan Pendyala | BXMPP1272K | Notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 | EAD-10/SCN/SM/DD/OW/22854/1/2023 | 08-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Securities Limited | AAACF8795N | Show Cause Notice under Regulation 27(1) of the SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EPD1/DRA3/P/OW/2022/28403/1 | 08-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Modex International Securities Limited | AAACM2105K | Personal Hearing before WTM in the matter of Modex International Securities Limited | SEBI/HO/EPD1/DRA3/P/OW/2023/27522/1 | 08-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| A-1 Book Company Private Limited | AACCA6193R | Under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) and 15I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for holding Inquiry and Imposing Penalties) Rules, 1995 and Section 12 A(1), 12A(2) and 23I of SCRA, 1956 read with Rule 5 of the Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | WTM/AB/IVD/ID2/18060/2022-23 | 09-Aug-2023 | PAN deactivated for opening of new account |
| Prem Chand | AXNPC3713G | Under Section 15-I(2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and Under Section 23-I(2) of SCRA, 1956 read with Rule 5 of the Securities Contracts (Regulations) (Procedures for holding Inquiry and Imposing Penalties) Rules, 2005 | Adjudication Order no. Order/MC/RM/2022-23/20426-20428 | 09-Aug-2023 | PAN deactivated for opening of new account |
| M/s Investo Investment Advisers Partnership Firm | AAGFI7660G | Supplementary Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/SRO/SG/OW/2023/26680/6 | 11-Aug-2023 | PAN deactivated for opening of new account |
| M/s SS Info Sales, Partnership Firm | ADNFS2939J | Supplementary Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/SRO/SG/OW/2023/26680/6 | 11-Aug-2023 | PAN deactivated for opening of new account |
| M/s SI Digi sales, Partnership Firm | ADNFS7110B | Supplementary Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/SRO/SG/OW/2023/26680/6 | 11-Aug-2023 | PAN deactivated for opening of new account |
| M/s CT Web sales, Partnership Firm | AAMFC9502D | Supplementary Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/SRO/SG/OW/2023/26680/6 | 11-Aug-2023 | PAN deactivated for opening of new account |
| M/s ML Tele Sales, Partnership Firm | ABHFM3142P | Supplementary Show Cause Notice under sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/SRO/SG/OW/2023/26680/6 | 11-Aug-2023 | PAN deactivated for opening of new account |
| D. S. Capital Venture Private Limited | AADCH8426M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/PM/GD/2022-23/22750-22754 | 11-Aug-2023 | PAN deactivated for opening of new account |
| Pinky Kelva | ECYPK7182R | Report under regulation 26 of the SEBI (Intermediaries) regulations, 2008 | Report No. ENQ/BM/JR/2023-24/27728 | 16-Aug-2023 | PAN deactivated for opening of new account |
| Anugrah Commodities Private Limited | AADCA3311J | Supplementary Show Cause Notice under regulation 27(1) of SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EPD1/EPD1_DRA2/P/O W/2023/0000013184/1 | 16-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Twenty20 Commodities Pvt Ltd | AAFA8206N | Hearing Notice in respect of Twenty20 Commodities Pvt Ltd in the matter of NSEL | SEBI/HO/EPD1/EPD1_DRA2/P/O W/2023/28816/1 | 17-Aug-2023 | PAN deactivated for opening of new account |
| VCK Commodity Services Private Limited | AACCV4358H | Hearing Notice in respect of VCK Commodity Services Pvt Ltd in the matter of NSEL | SEBI/HO/EPD1/EPD1_DRA2/P/O W/2023/28820/1 | 17-Aug-2023 | PAN deactivated for opening of new account |
| Kesarisuta Vinimay Private Limited | AAECK2699A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | ORDER/NH/KL/2023-24/27951 | 17-Aug-2023 | PAN deactivated for opening of new account |
| Mr. Deepak Mishra | BLVPM9284R | Show cause notice under Rule 4 of the SEBI (Procedure for Folding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of the SEBI Act, 1992 | SEBI/HO/EAD/EAD9/P/OW/2023/0000028460/1-3 | 18-Aug-2023 | PAN deactivated for opening of new account |
| Roshanlal Daulatram Aggarwal | BPDPA3589K | Hearing notice in the matter of Mega Nirman & Industries Limited | SEBI/EAD-1/BS/DP/26545/1/2023 | 18-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Harshadbhai Babubhai Patel | CYBPP2358H | Hearing notice in the matter of Mega Nirman & Industries Limited | SEBI/EAD-1/BS/DP/26545/1/2023 | 18-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vinitkumar Popatlal Parikh | CYNPP0545E | Hearing notice in the matter of Mega Nirman & Industries Limited | SEBI/EAD-1/BS/DP/26545/1/2023 | 18-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Maulik Vinitkumar Parikh | CYMPP9923K | Hearing notice in the matter of Mega Nirman & Industries Limited | SEBI/EAD-1/BS/DP/26545/1/2023 | 18-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhdraben Rameshchandra Shah | GKCP54278A | Hearing notice in the matter of Mega Nirman & Industries Limited | SEBI/EAD-1/BS/DP/26545/1/2023 | 18-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Nilesh Rameshchandra Shah | GTPPS2917N | Hearing notice in the matter of Mega Nirman & Industries Limited | SEBI/EAD-1/BS/DP/26545/1/2023 | 18-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vimla Sanjay Patel | BNEPP5649A | Under section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2022-23/20892-20899 | 24-Aug-2023 | PAN deactivated for opening of new account |
| Bonanza Industries Limited | AAACA4781J | Under section 15-I of SEBI Act, 1992 read with rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2022-23/20892-20899 | 24-Aug-2023 | PAN deactivated for opening of new account |
| Shri Kota Sunil Shankarbhai | BJAPK7820G | Under section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/SM/SRO/SRO/26975/2023-24 | 29-Aug-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Cavalier Securities Ltd | AAACC6370Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PG/AO-57/2010 | 01-Sep-2023 | PAN deactivated for opening of new account |
| Jitendra Kumar Sharma | AZGPS4457A | Under Sections 11 (1), 11(4), 11A and 1111 of the Securities and Exchange Board of India Act, 1992 and regulation 28 of the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008, in respect of: | WTM/RKA/EFD/133/2016 | 04-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Securities Limited | AAACF8795N | Under regulation 27 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD_DPIEA/28314/2023-24 | 05-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Syed Sadaq | BACPS3885C | Under section 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/SR/SEBI/EFD-DRA2/39/07/2017 | 05-Sep-2023 | PAN deactivated for opening of new account |
| Anugrah Stock & Broking Private Limited | AAACW2920N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 under section 23-I of SCRA, 1956 read with SCR (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 and under section 19H of the Depositories Act, 1996 read with Rule 5 of Depositories (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | Order/PM/SM/2020-21/10794 | 05-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Solid Carbide Tools Limited | AABCS5855M | Under section 19H(1) of depositories Act, 1996 read with Rule 5 of Depositories (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | PB/AO-69/2010 | 05-Sep-2023 | PAN deactivated for opening of new account |
| Chetan Manwani HUF | AADHC6879C | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Outward No. 39787/1/2022 | 05-Sep-2023 | PAN deactivated for opening of new account |
| Parasmani Tradelink Private Limited | AAECP7154K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/HO/CFID/CFID_4/P/OW/2021/21110/1 | 05-Sep-2023 | PAN deactivated for opening of new account |
| Atul Tanna HUF | AAJHA7611L | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Outward No. 44082/1/2022 | 05-Sep-2023 | PAN deactivated for opening of new account |
| Vinti Gupta | AGAPG2976K | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Email based SCN dated 05-08-2022 | 11-Sep-2023 | PAN deactivated for opening of new account |
| Madhu Gupta | ABUPG9329D | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options | Email based SCN received on 04-10-2022 | 18-Sep-2023 | PAN deactivated for opening of new account |
| Raghukul Shares India Private Limited | AAECR3716R | Under section 12(3) of SEBI Act, 1992 read with Regulation 27 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD-SEC-4/28839/2023-24 | 21-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ficus Commodities Private Limited | AABCV4377P | Show cause Notice under Regulation 27(1) of the SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EFD1/DRA3/P/OW/2022/52051/1 | 27-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Raj Kumar Raghav | AJIPR8427K | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 and Regulation 4(2) (t) of the SEBI (Prohibition of Fraudulent and Unfair Trade Practices Relating to Securities Market) Regulations, 2003 | WTM/PS/75/CIS-NRO/LKO/OCT/2015 | 27-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sbs Investments | ACLSF5789F | Under Sections 11, 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA-IV/ 11 7 /2018 | 27-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Silicon First Realtors Pvt. Ltd. | AAMCS4236M | Under sections 11(1), 11(4), 11A AND 11B of the SEBI Act, 1992 | SEBI/HO/IVD/IO3/P/OW/2021/24412/1 | 27-Sep-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Darshana Exports Private Limited | AADCD1856D | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/DS/2022-23/17525-17534 | 28-Sep-2023 | PAN deactivated for opening of new account |
| Abhishek Khare | AGVPK5838R | Under section 15-I of the SEBI Act, 1992 read with Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | IVD/WOL-AK/AO/DRK/ASG/EAD3/200-2009/103-2010 | 28-Sep-2023 | PAN deactivated for opening of new account |
| CHP Finance Pvt Ltd. | AABCC5031H | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/DS/2022-23/17525-17534 | 04-Oct-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Royal Theme Products India Pvt Ltd | AAECR4581N | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/DS/2022-23/17525-17534 | 04-Oct-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Samarjit Mondalo | AEOPM6302D | Under sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992, in the matter of Mondal Construction Company Limited | WTM/PS/ 136 /ERO/JAN/2016 | 09-Oct-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Ritesh K Shrivastav | CEBP55607E | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 read with Regulation 65 of the SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/PS/48/CIS/NRO/NOV/2014 | 09-Oct-2023 | PAN deactivated for opening of new account |
| Manoj Patni Huf | AAFHM3032H | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | SEBI/EAD5/AA/43021 | 09-Oct-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Grass Root Finance & Investment Company (India) Ltd. | AABCG8309G | Under Sections 11, 11(4), 11A and 11B of Securities and Exchange Board of India Act, 1992 In respect of Interim Order Cum show cause notice dated October 18,2017 in the matter of grass root finance & investment company india pvt ltd | WTM/AB/efd-1/DRA-3/09/2018-19 | 09-Oct-2023 | PAN deactivated for opening of new account |
| M/s Raavee Merchandisers Private Limited (Earlier known as M/s Bimex Exports P Ltd) | AABCD1032B | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SD/AO/140/2009 | 12-Oct-2023 | PAN deactivated for opening of new account |
| M/s Raavee Merchandisers Private Limited (Earlier known as M/s Bimex Exports P Ltd) | AABCD1032B | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | VSS/AO-98/2009 | 12-Oct-2023 | PAN deactivated for opening of new account |
| M/s. Bubna Stock Broking Services Ltd. (now known as Sunbright Stock Broking Limited) | AABCB1128L | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | BM/AO-3/2011 | 12-Oct-2023 | PAN deactivated for opening of new account |
| M/s R Foundry and Shri Rajendra Aggarwal | AEKPA8540F | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PKB/AO-50/2010 | 12-Oct-2023 | PAN deactivated for opening of new account |
| Deepak Narvekar | ADSPN9721A | Under section 15-I of the SEBI Act, 1992 read with Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SD/AO/44/2009 | 16-Oct-2023 | PAN deactivated for opening of new account |
| Ajit Suryavanshi | AVOPS4111P | Under section 15-I of the SEBI Act, 1992 read with Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SD/AO/49/2009 | 16-Oct-2023 | PAN deactivated for opening of new account |
| Satyalaxmi Vinimay Pvt Ltd | AATCS8589E | Under section 15-I of the SEBI Act, 1992 read with Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | AO/SBM/EAD-3/80/2017 | 16-Oct-2023 | PAN deactivated for opening of new account |
| Zalak Purvesh Parikh | ANYPP1513A | Under section 15-I of the SEBI Act, 1992 read with Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/NH/RJ/2023-24/29108-29111 | 17-Oct-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Moreplus Merchants Private Limited | AACFM6761L | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/DS/2022-23/22551-22580 | 19-Oct-2023 | PAN deactivated for opening of new account |
| Dharmendra Kumar | AJZPL1369K | Under Regulation 26 of the SEBI (Intermediaries) Regulations, 2008 | ENQ/AK/AS/2023-24/28871 | 20-Oct-2023 | PAN deactivated for opening of new account |
| Jitendra Bharbhujia | EZSPB9777C | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) read with Sections 15HA, 15HB and 15EB of SEBI Act, 1992 read with Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/WRO/ILO/AK/OWP/39537/2023 | 26-Oct-2023 | PAN deactivated for opening of new account |
| Rabindra Nath Dey | ADEPD0040N | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-7/BJD/BKM/2018-19/1472-1475 | 26-Oct-2023 | PAN deactivated for opening of new account |
| Consolidated Mercantiles Private Limited | AACCC2960N | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AK/AJ/2023-24/29120 | 01-Nov-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shiv Marketing and Trading | AECFS8917E | Investigation in the matter of trading by certain entities in the Scrip of United Polyfab Gujarat Limited | EAD-8/SKV/VC/22876/2023 | 01-Nov-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vishwakarma Trading House | AASFV6757F | Investigation in the matter of trading by certain entities in the Scrip of United Polyfab Gujarat Limited | EAD-8/SKV/VC/22878/2023 | 01-Nov-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Rana Bhadra | AIDPB1831B | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-7/BJD/BKM/2018-19/1472-1475 | 01-Nov-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shiv Kumar Agarwal | AAFP1252P | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/AB/efd-1/DRA-2/19/2018-19 | 03-Nov-2023 | PAN deactivated for opening of new account |
| Addlife Pharma Limited | AAFCA5165L | Under Section 19H (1) of Depositories Act, 1996, read with Rule 5 of Depositories (Procedure for Holding Inquiry and imposing Penalties by adjudicating officer) Rules, 2005 | Order no. PB/AO-39/2010 | 16-Nov-2023 | PAN deactivated for opening of new account |
| Modex Commodity Trades Pvt. Ltd. | AADCM7640L | Under section 12(3) and section 19 of securities and exchange board of India act, 1992 read with regulation 27 of securities and exchange board of India (intermediaries) regulation, 2008 | QJA/SVMR/MIRSD/29692/2023-24 | 16-Nov-2023 | PAN deactivated for opening of new account |
| Ms. Nagma Husain Ahmed Ansari | AWXPA3588J | Under Sections 11(1), 11(4)(b) and 11B of SEBI Act, 1992 read with SEBI (Investment Advisers) Regulations, 2013 and SEBI (Research Analyst) Regulations, 2014 | WTM/MPB/SEBI/efd-DRA4/108/2017 | 20-Nov-2023 | PAN deactivated for opening of new account |
| Santosh Narvekar | ACTPN3464G | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SD/AO/50/2009 | 20-Nov-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Vivekanand Patankar | ALTPP0349K | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SD/AO/28/2009 | 20-Nov-2023 | PAN deactivated for opening of new account |
| Yugandhar Meka | ADKPM7260M | Under Sections 11(1), 11(4), 11B(1) and 11B(2) of SEBI Act, 1992 and Section 12A(2) of SCRA, 1956 | WTM/SM/MIRSD/MIRSD-SEC-4/26042/2023-24 | 28-Nov-2023 | PAN deactivated for opening of new account |
| Aagas Software Solutions Pvt. Ltd. | AAICA5378C | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 04-Dec-2023 | PAN deactivated for opening of new account |
| Katashraj Securities Pvt. Ltd. | AACCK7144E | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 04-Dec-2023 | PAN deactivated for opening of new account |
| Chahek Housing Pvt. Ltd. | AAHCC0112H | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 04-Dec-2023 | PAN deactivated for opening of new account |
| Aagas Software Solutions Pvt. Ltd. | AAICA5378C | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 04-Dec-2023 | PAN deactivated for opening of new account |
| Katashraj Securities Pvt. Ltd. | AACCK7144E | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 04-Dec-2023 | PAN deactivated for opening of new account |
| Chahek Housing Pvt. Ltd. | AAHCC0112H | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 04-Dec-2023 | PAN deactivated for opening of new account |
| Yogesh Bhogilal Chauhan | AAGPC7158P | UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER) RULES.1896 ("SEBI AO RULES") | WTM/AB/EFD-1/DRA-11/4987/2019-20 | 07-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Bablu Saha | AYAPS0436L | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/176/ERO/MAR/2016 | 07-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Bablu Saha | AYAPS0436L | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/MB/SEBI/EFD-DRA4/4/2017 | 07-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Anirudha Bhattacharya | ATFPB1416M | UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/PS/14/IMD-ERO/APR/2016 | 07-Dec-2023 | PAN deactivated for opening of new account |
| Sankar Bhattacharya | AZFPB1929H | Under sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992, in the matter of - | WTM/GM/94/2018-19 | 07-Dec-2023 | PAN deactivated for opening of new account |
| Rajesh Kumar Agarwal | AFAPA9440H | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 read with the SEBI (Issue and Listing of Debt Securities) Regulations, 2008 and SEBI (Debenture Trustees) Regulations, 1993 | WTM/PS/160/IMD/ERO/FEB/2016 | 07-Dec-2023 | PAN deactivated for opening of new account |
| Pranab Kumar Roy | ACDPR4876J | Under section 11,11(4),11A and 11B of Securities and Exchange Board of India Act, 1992 | WTM/GM/EFD/48/2017-18 | 07-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Pramod Kumar Jaiswal | AKOPJ0216B | Under Sections 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/EFD-1-DRA-IV/65/2017 | 07-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Pankaj Seth | COLPS0476M | Under Sections 11 (1) , 11 (4), 11 B of the Securities and Exchange Board of India Act, 1992 | WTM/MB/IMD/DOF-1/10676/2020-21 | 07-Dec-2023 | PAN deactivated for opening of new account |
| Mr. Saurabh Gupta | AGSPG3778K | UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF: | WTM/RKA/EFD/123/2016 | 07-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Deepak Ranjan Samant | AMEPS2466G | Under sections 11(1), 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992 in respect of: | WTM/RKA/EFD/ 141/2016 | 07-Dec-2023 | PAN deactivated for opening of new account |
| Bhaktaprasad Das | ALJPD7750L | Under sec 11, 11(4), 11A and 11B of the SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 | WTM/GM/SEBI/ERO/IMD/23/JUNE/2017 | 07-Dec-2023 | PAN deactivated for opening of new account |
| M/s Minance Technologies Private Limited | AAKCM6309D | Under Sections 11 (1), 11 (4), 11B and 11D of the Securities and Exchange Board of India Act, 1992 | WTM/AB/SRO/SRO/16539/2022-23 | 07-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Securities Ltd. | AAACF8795N | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |

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| Dhirender Gaba | AFUPG9615E | Corrigendum to the final order dated 28-06-2023 in the matters of Fairwealth Securities Limited and Fairwealth Commodity Broking Pvt Ltd | WTM/ASB/MIRSD/MIRSD_DPIEA /27931/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Naveen Gaba | AAEPG8929N | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sandeep Jindal | AETPJ4553L | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 11-Dec-2023 | PAN deactivated for opening of new account |
| Reets Plastics Pvt. Ltd. | AACCR2424A | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Financial Services Ltd. | AAACS4473Q | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Commodity Broking Pvt. Ltd. | AABCF0079J | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vikram Kumar | AROPK2904N | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27868/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Securities Ltd. | AAACF8795N | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Naveen Gaba | AAEPG8929N | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Sandeep Jindal | AETPJ4553L | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 11-Dec-2023 | PAN deactivated for opening of new account |
| Reets Plastics Pvt. Ltd. | AACCR2424A | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Financial Services Ltd. | AAACS4473Q | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Fairwealth Commodity Broking Pvt. Ltd. | AABCF0079J | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Vikram Kumar | AROPK2904N | Under 11(1), 11(4) and 11B(1), 11B(2), 11D of SEBI Act, 1992 and Section 12A(1) and 12A(2) of SCRA, 1956 read with Section 23D and Section 23H of SCRA, 1956 read with Regulation 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/ASB/MIRSD/MIRSD_DPIEA /27869/2023-24 | 11-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Exelon Infrastructure Limited | AAACM2862M | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/BJD/VS/24-33/2017-18 | 14-Dec-2023 | PAN deactivated for opening of new account |
| KALYANI AND KALYANI DEVELOPERS INDIA LTD | AACCK8267C | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/SEBI/10/2020-21 | 14-Dec-2023 | PAN deactivated for opening of new account |
| BAMAN CHARANA DAS | AJMPD9925C | Under Sections 11(1), 11(4), 11B of SEBI Act, 1992 | WTM/AB/SEBI/10/2020-21 | 14-Dec-2023 | PAN deactivated for opening of new account |
| Abhishek Mehta | AGCPM6239M | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/SR/SM/AO/91/2018-19/1963 | 14-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Exelon Infrastructure Limited | AAACM2862M | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/BJD/VS/24-33/2017-18 | 14-Dec-2023 | PAN deactivated for opening of new account |
| Srinivas Rao Marupudi | ACQPM0876L | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/BJD/VS/24-33/2017-18 | 20-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Srinivas Rao Marupudi | BCDPM3124P | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/BJD/VS/24-33/2017-18 | 20-Dec-2023 | PAN deactivated for opening of new account |

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| Madhu Mohan Reddy | ACIPM3240J | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/BJD/VS/24-33/2017-18 | 20-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Priti Jayakarbhai Christian | AQBPC1558Q | Notice of demand under Rule 2 of the Second Schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC3687/2021 | 28-Dec-2023 | PAN deactivated for opening of new account |
| Anoop Kumar Garg | ABRPG0167E | Notice of demand under Rule 2 of the Second Schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC4142/2021 | 28-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| RFL International Limited | AAACR9384B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC6453/2023 | 28-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Aashish Developer | AAQFA3264Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC6973/2023 | 28-Dec-2023 | PAN deactivated for opening of new account |
| Global Portfolio Management (Proprietor Imran Khan) | BBZPK6473J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC7174/2023 | 28-Dec-2023 | PAN deactivated for opening of new account |
| M/s Blazon Marbles Ltd | AADCS3116P | Notice of Demand under Rule of the Second Schedule to the Income Tax Act, 1961 read with section 28A of the SEBI ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) Read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC4261/2021 | 28-Dec-2023 | PAN deactivated for opening of new account |
| Mr. Bhavik Satish Badani | ALPRB9295L | Notice of Demand under Rule of the Second Schedule to the Income Tax Act, 1961 read with section 28A of the SEBI ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) Read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC4261/2021 | 28-Dec-2023 | PAN deactivated for opening of new account |
| Mr. Mansukh Sanghvi | ABJPS8612E | Notice of Demand under Rule of the Second Schedule to the Income Tax Act, 1961 read with section 28A of the SEBI ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) Read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC4261/2021 | 28-Dec-2023 | PAN deactivated for opening of new account |
| Parsoli Corporation Limited | AABCP9030F | SCN under section 11B(1) and section 11B(2) read with section 15HB of the SEBI Act, 1992 | SEBI/HO/CFD/CFD-SEC-1/P/OW/05300/1 | 29-Dec-2023 | Demat account Frozen & PAN deactivated for opening of new account |
| Garima Real Estate & Allied Limited | AADCG0853B | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC3357/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| SMS Techsoft (India) Limited | AAECA1954G | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4522/2022 | 02-Jan-2024 | PAN deactivated for opening of new account |
| SMS Techsoft (India) Limited | AAECA1954G | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4562/2022 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Pragya Diaries and Agro Limited | AAFCP3908E | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4800/2022 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Shri Ram Real Estate and Business Solutions Limited | AAMCS4086P | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4402/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Sai Prakash Properties Development Limited | AANCS7178R | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4185/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Sai Prakash Properties Development Limited | AANCS7178R | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4228/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Babloo Prajapati | AMQPP8230M | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4402/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Shahendra Singh Baghel | ATTPB8244M | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4227/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Shahendra Singh Baghel | ATTPB8244M | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4228/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Ranvijay Pratap Singh Baghel | ATTPB8254D | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4226/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Ranvijay Pratap Singh Baghel | ATTPB8254D | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4228/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Pooja Patidar | AVBPP1293Q | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4800/2022 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Shivram Kushwah | AVQPK4359M | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC3357/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |

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| Balkishan Kushwah | AVQPK4360A | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC3357/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Dheerendra Krishan Pratap | AXMPB0165C | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4216/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Dheerendra Krishan Pratap | AXMPB0165C | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4228/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Mragendra Singh Baghel | BKJPB6325H | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4217/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Mragendra Singh Baghel | BKJPB6325H | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4228/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Bijendra Pal Singh | BNXPS1496L | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC3357/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Vijay Singh | BXMP50718K | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4402/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Gopal Meena | BXYPM8890C | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4402/2021 | 02-Jan-2024 | PAN deactivated for opening of new account |
| Anuj Garg | AHEPG5644F | Certificate under section 28A of SEBI Act, 1992 and section 23JB of SCRA, 1956 read with section 222 of Income Tax Act, 1961 | Certificate No. 7153/2023 | 03-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| CPR Capital Services Ltd | AAACC3235A | Certificate under section 28A of SEBI Act, 1992 and section 23JB of SCRA, 1956 read with section 222 of Income Tax Act, 1961 | Certificate No. 7156/2023 | 03-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Banwari Lal Kushwah | AAGPF1976L | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC3357/2021 | 08-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Banabarilal Lodhi | ACAPL9691A | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC3357/2021 | 08-Jan-2024 | PAN deactivated for opening of new account |
| Pushpendra Singh Baghel | AFZPB0624B | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4228/2021 | 08-Jan-2024 | PAN deactivated for opening of new account |
| Pushpanjali Singh Baghel | AFZPB0557M | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4228/2021 | 08-Jan-2024 | PAN deactivated for opening of new account |
| Subhash Deshmukh | AFCPD1730M | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4402/2021 | 08-Jan-2024 | PAN deactivated for opening of new account |
| Sohan Kumar Patel | ALCPP9159L | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4402/2021 | 08-Jan-2024 | PAN deactivated for opening of new account |
| Bhuvneshwar Prasad Sahu | CNHPS3845M | Notice of Demand under Rule 2 of the Second Schedule to the Income Tax Act, 1992 read with Section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4402/2021 | 08-Jan-2024 | PAN deactivated for opening of new account |
| Punam Chand Patidar | BTUPP0108C | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4800/2022 | 08-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Nana Lal Patidar | AJWPP3490J | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4800/2022 | 08-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Durga Patidar | APJPP6921M | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4800/2022 | 08-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ansar Ahmed | AFFPA4197L | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC4800/2022 | 08-Jan-2024 | PAN deactivated for opening of new account |
| Shri. Amarjeet Singh Trehan | AVTPT6188Q | Under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) and 11D of SEBI Act, 1992 read with Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | QJA/SVMKDR/WRO/WRO/29848/2023-24 | 09-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sunita Shah | ACQP55375Q | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with Section 15I of the SEBI Act, 1992 | ASK/RGA/AO/176-185/2014-15 | 17-Jan-2024 | PAN deactivated for opening of new account |

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| Shree Shagun Financial Services | ABTFS0353F | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/AE/2019-20/5335-5350 | 17-Jan-2024 | PAN deactivated for opening of new account |
| Markab India SPV Private Limited | AAMCM7283L | Final order under section 11(1), 11(2)(h), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with Regulation 32 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 | QJA/VS/CFD/CFD-SEC-3/23792/2022-23 | 18-Jan-2024 | PAN deactivated for opening of new account |
| Nazeer Azam Sulthan | ALEPN1421F | Final order under section 11(1), 11(2)(h), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with Regulation 32 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 | QJA/VS/CFD/CFD-SEC-3/23792/2022-23 | 18-Jan-2024 | PAN deactivated for opening of new account |
| Pratik Rameshchandra Shah | ALWPS7673Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no.1202 of 2017 | 22-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Smart Infraproperties Private Limited | AAQCS6937F | Notice for summary settlement in the matter of Cressanda Solutions Limited | SEBI/HO/CFD/SEC-1/OW/P/2023/32884/1 | 22-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Praveen Kumar Jain | AADPJ5102D | Under section 15-I of the SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/10421 | 24-Jan-2024 | PAN deactivated for opening of new account |
| Chiranjilal Vyas | ADGPV0696L | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BM/JR/2023-24/29259-29263 | 24-Jan-2024 | PAN deactivated for opening of new account |
| Narendra Kumar Rathore | AISPR2737D | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PM/NR/2020-21/9088 | 24-Jan-2024 | PAN deactivated for opening of new account |
| Mahanivesh Stock and Shares Pvt. Ltd. | AADCM7110M | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/JP/112/2017 | 24-Jan-2024 | PAN deactivated for opening of new account |
| Jaywant Chavan | AERPC7192L | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/PM-RR/AO/2019-20/4682-4722 | 24-Jan-2024 | PAN deactivated for opening of new account |
| Shrenik Shah | ACQPS5387Q | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ASK/RGA/AO/176-185/2014-15 | 24-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| H Bhavesh Securities and Commodities Pvt. Ltd. | AAACB1655R | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/AE/2019-20/5335-5350 | 24-Jan-2024 | PAN deactivated for opening of new account |
| Srinivas Rao Marupudi | ACQPM0876L | Under section 23-I of SCRA, 1956 Section 15-I of SEBI Act, 1992 read with Rule 5 of SCR(Procedure for Holding Inquiry and Imposing penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding inquiry and imposing penalties by adjudicating officer) Rules, 1995 | EAD-7/BJD/NJMR/2018-19/1979-2006 | 24-Jan-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Srinivas Rao Marupudi | BCDPM3124P | Under section 23-I of SCRA, 1956 Section 15-I of SEBI Act, 1992 read with Rule 5 of SCR(Procedure for Holding Inquiry and Imposing penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding inquiry and imposing penalties by adjudicating officer) Rules, 1995 | EAD-7/BJD/NJMR/2018-19/1979-2006 | 24-Jan-2024 | PAN deactivated for opening of new account |
| Zolton Properties Private Limited | AAACZ2996D | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25570/1 | 29-Jan-2024 | PAN deactivated for opening of new account |
| Arvind Poddar | AODPA6026K | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/26010/1 | 29-Jan-2024 | PAN deactivated for opening of new account |
| Chandan Gupta | AIXPG2195F | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/26007/1 | 29-Jan-2024 | PAN deactivated for opening of new account |
| King Power Industries Private Limited | AADCK9238Q | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/27231/1 | 29-Jan-2024 | PAN deactivated for opening of new account |
| Salasar Trading Co. (Proprietor Manoj Kumar Garg) | AAEPG8328D | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/28930/1 | 29-Jan-2024 | PAN deactivated for opening of new account |
| Vimuk Enterprises | AANFV4919L | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/29145/1 | 29-Jan-2024 | PAN deactivated for opening of new account |
| Mr. Sanjay Madanlal Chouhan | ASUPC0179N | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2020/0552 | 29-Jan-2024 | PAN deactivated for opening of new account |
| i360 Staffing and Training Solution Pvt. Ltd. | AAACI8851P | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI Certificate No.7164 of 2023 | 29-Jan-2024 | PAN deactivated for opening of new account |
| Shree Shagun Financial Services | ABTFS0353F | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | WTM/GM/EFD/22/2020-21 | 30-Jan-2024 | PAN deactivated for opening of new account |
| Sagar Kadam | CLAPK2809N | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/PM/GD/2022-23/16720-16739 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Dharmendra Harilal Bhojak | AFAPB7100D | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/PM/GD/2022-23/16720-16739 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shubhang Exports Ltd | AAJCS1526R | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/24211/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Torus Buildcon Private Limited | AACCT8158M | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25568/1 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Tiger Developers Private Limited | AACCT7439H | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25569/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Saubhagya Buildcon Private Limited | AALCS1157L | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25571/1 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Ruchika Goel | AIAPG7306B | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of the SCRA, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25760/1 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Deepak Goel | ADEPG1122G | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of the SCRA, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25760/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pankaj Goel | ACTPG7828D | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of the SCRA, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25761/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Meenu Goel | AFSPG7531F | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of the SCRA, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/25761/1 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Tripoli Investment & Trading Company | AAACT2207P | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/27346/1 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Gurudev Financial Services Private | AAACG0278K | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/27347/1 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Tara Alloys Limited | AAACT0545N | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/27348/1 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Prius Real Estate Private Limited | AAFCP3549R | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/27350/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sridham Distributors Private Limited | AAFCA0211M | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBVNRO/OW/P/2023/30490/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amrapali Aadya Trading & Investments Pvt. Ltd. | AAECA3909P | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/32933/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sajeev Kumar Sinha | ALEPS6005L | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/32933/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amita Sinha | AOIPS2038G | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/32933/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Vandana Sinha | ASUPS6193E | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/32933/1 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Gajender Kumar Tyagi | AEGPT3607N | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7141 of 2023 | 02-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ram Mohan Gupta | AGDPG7175M | Certificate under section 28A of the SEBI Act, 1992 and section 23JB of Securities Contract (Regulations) Act, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate No.7162 of 2023 | 02-Feb-2024 | PAN deactivated for opening of new account |
| Amalendu Mukherjee | AMWPM2947A | Under Sections 11, 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 And Regulation 11 of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992 read with Regulation 12 of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015. | WTM/MPB/IVD-ID6/120/2020 | 06-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Umaran Khariya | AOCPK3066L | Under section 23-I of SCRA, 1956 read with Rule 5 of the Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | EAD/SR/SM/AO/89/2018-19/1892 | 08-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| MG Capital Services Limited | AAEPT9209L | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | SEBI/NRO/OW/P/2023/28624/1 | 08-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhupesh Rathod | AACPR3785K | In respect of SEBI Order dated January 31, 2019 and Hon'ble SAT order dated March 04, 2020 in matter of Polytex India Limited, Gemstone Investments Limited and KGN Enterprises Limited | WTM/GM/EFD/22/2020-21 | 15-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Reddito Capital Investment Advisors P. Ltd. | AAJCR2361R | Supplementary Show Cause Notice under Regulation 27(1) of SEBI (Intermediaries) Regulations, 2008 | SEBI/HO/EFD1-DRA6/P/OW/2023/33675/1 | 22-Feb-2024 | PAN deactivated for opening of new account |
| Reddito Capital Investment Advisors P. Ltd. | AAJCR2361R | Supplementary Show Cause Notice under Regulation 27(1) of SEBI (Intermediaries) Regulations, 2008 | ENQ/AS/GD/2022-23/23252 | 22-Feb-2024 | PAN deactivated for opening of new account |

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| Chetan Yashwant Shukla | ABBP52467H | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/PU/2022-23/15951 | 27-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Zimig Trading Company Private Limited | AAAC26848C | Under Section 15-I of SEBI Act, 1992 and under section 23-I of SCRA, 1956 | Order/VV/AS/2022-23/17519-17633 | 27-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Nova Gold Petro Resources Limited | AAACO2454R | Under Section 15-I of SEBI Act, 1992 and under section 23-I of SCRA, 1956 | Order/VV/AS/2022-23/17519-17633 | 27-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Stardom Trading Company Pvt. Ltd | AAHCS1332H | Under Section 15-I of SEBI Act, 1992 and under section 23-I of SCRA, 1956 | Order/VV/AS/2022-23/17519-17633 | 27-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Saira Amanatali Shaikh | BIKPS4962G | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9598 | 27-Feb-2024 | PAN deactivated for opening of new account |
| Arvind Babulalji Goyal | ACIPG0193J | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/KS/VC/2019-20/5772-5779 | 27-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Cromakem Limited | AAECC0841Q | Under Section 15-I of SEBI Act, 1992 and under section 23-I of SCRA, 1956 | Order/VV/AS/2022-23/17519-17633 | 27-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhavesh Gadhavi | AMMPG4969Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of Income Tax Act, 1961 | Certificate no. 7431 of 2023 | 27-Feb-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kelvin Fincap Ltd | AAACD1881H | Under Section 15-I of SEBI Act, 1992 and under section 23-I of SCRA, 1956 | Order/VV/AS/2022-23/17519-17633 | 28-Feb-2024 | PAN deactivated for opening of new account |
| Dinanath Shamsunder | BKXPS1638G | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/DS/AY/2022-23/19458-19459 | 01-Mar-2024 | PAN deactivated for opening of new account |
| Kalpna Komati | ASAPK9943L | Notice under Rule 4(1) of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 read with Section 15I of SEBI Act, 1992 | EAD-4/ADI/GR/PU/24766/1/2023 | 01-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Silamkoti Abilash | GWLP53968A | Notice under Rule 4(1) of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 read with Section 15I of SEBI Act, 1992 | EAD-4/ADI/GR/PU/24768/1/2023 | 01-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Gujarat Meditech Ltd | AABCG6112D | Notice of demand under Rule 2 of the Second Schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC3517/2021 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Modern Cement Industries Ltd. | AABCM2777L | Notice of demand under Rule 2 of the Second Schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC3770/2021 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Highbrow Market Research Pvt. Ltd , | AACCH8077M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC6925/2023 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Saamya Biotech India Limited | AAHCS2210C | Adjudication order dated June 28, 2019 in matters of SCORES complaint against Saamya Biotech India Limited | Order/MC/VS/2019-20/3517 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Paramjit Singh & Sons Huf | AAMHP8284R | Show Cause Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of dealings in Illiquid Stock Options (ISO) at BSE | EAD5/AA/PR/2022/44935 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Amber Zaidi | AAOPZ1502G | SCN under section 11B(1) and 11B(2) read with section 15HB of the SEBI Act, 1992 | SEBI/HO/CFD/CFD-SEC-1/P/OW/05300/5 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Naimish K. Shah | AELPS4797P | Notice of demand under Rule 2 of the Second Schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 read with Section 222 of the Income Tax Act, 1961 | Certificate No. RC4282/2021 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Suraj Trading Corporation | AFFPM6604L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC5796/2022 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Banwari Lal Baghel | AMUPB0580E | Under sections 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/49/EPD/SEPTEMBER/2015 | 05-Mar-2024 | PAN deactivated for opening of new account |
| M/s. Om Associates | APTPP6092D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC6026/2022 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Surendra Singh Baghel | AQDPB0485F | Under sections 11(1), 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/49/EPD/SEPTEMBER/2015 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Shreeji Machine Tools | AQSP7982N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC5798/2022 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Shree Ganesh Engineering Corporation | ASOPP2722N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC5797/2022 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Karan Enterprise | AWSP9675Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC5793/2022 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Ms. Prabha Patel | DNAPP1607Q | Under sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/AB/WRO/WRO/22737/2022-23 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Meridian Capital Research Proprietor Rupeshbhai Babubhai Marvadi | DTUPM2592D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. RC7130/2023 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Ms. Pinky Kelva | ECYPK7182R | Under Section 12(3) of SEBI Act, 1992 read with Regulations 27 and 35 of SEBI (Intermediaries) Regulations, 2008 | WTM/AN/WRO/WRO/29772/2023-24 | 05-Mar-2024 | PAN deactivated for opening of new account |
| Srinivasagopalan Ara Amudan | ABRPA8654L | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/RA/DPS/101/2017 | 07-Mar-2024 | PAN deactivated for opening of new account |
| Atul Chandra Varma | ACBPV4545Q | Under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/RA/DPS/101/2017 | 07-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Shri Shubham Rai | DXSPR9776L | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/ASB/WRO/WRO/23943/2022-23 | 12-Mar-2024 | PAN deactivated for opening of new account |
| Shri Rajesh Nath | EDRPR4810K | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/ASB/WRO/WRO/23943/2022-23 | 12-Mar-2024 | PAN deactivated for opening of new account |
| Capproin Financial Advisory | AAJFC0166C | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/ASB/WRO/WRO/22386/2022-23 | 12-Mar-2024 | PAN deactivated for opening of new account |
| Jasmeet Kaur Bagga | BNTPB7100D | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/ASB/WRO/WRO/22386/2022-23 | 12-Mar-2024 | PAN deactivated for opening of new account |
| Mr. Rajinder Singh | CSEPS0815B | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/AB/NRO/NRO/15731/2021-22 | 12-Mar-2024 | PAN deactivated for opening of new account |
| Raghupati Overseas Private Limited (Nainadevi Commotrade Pvt. Ltd. / Orange Commotrade Private Limited) | AABCO6972D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6930 of 2023 | 14-Mar-2024 | PAN deactivated for opening of new account |
| Prem Chand | AXNPC3713G | Certificate under section 28A of SEBI Act, 1992 and section 23JB of SCRA, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7418 of 2023 | 14-Mar-2024 | PAN deactivated for opening of new account |
| Vitoba Realtors Private Limited | AAACV5887D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6831 of 2023 | 14-Mar-2024 | PAN deactivated for opening of new account |
| Sachin Kumar | CXMPK2057E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6843 of 2023 | 14-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Skynet Solutions | ACZFS4147H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6883 of 2023 | 14-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Rajnandani Jalkhediya | BOHPC6521A | Under section 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of the SEBI Act, 1992 | QJA/SVMDR/WRO/WRO/23145/2022-23 | 18-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Jasmeet Kaur Bagga | BNTPB7100D | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/ASB/WRO/WRO/22386/2022-23 | 18-Mar-2024 | PAN deactivated for opening of new account |
| Priyank Sharma | CPWPP2985J | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/AB/WRO/WRO/24111/2022-23 | 18-Mar-2024 | PAN deactivated for opening of new account |
| Ms. Varsha Oswal | ADPPO5693K | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/AB/WRO/WRO/22737/2022-23 | 18-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms Prabha Patel | DNAPP1607Q | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/AB/WRO/WRO/22737/2022-23 | 18-Mar-2024 | PAN deactivated for opening of new account |
| Ms. Arti Sharma | CACPS0218L | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/AB/NRO/NRO/15731/2021-22 | 18-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Sudhi Singh | BESPS6281L | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/AB/NRO/NRO/15731/2021-22 | 18-Mar-2024 | PAN deactivated for opening of new account |
| Ganesh Anant Ghadge | ALUPG7490G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/PM/PA/2022-23/18104-18110 | 18-Mar-2024 | PAN deactivated for opening of new account |
| Arvind Babulal Goyal | ACIPG0193J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/PM/PA/2022-23/18093-18097 | 21-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sorab Wadia | ABOPW5107F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/PM/PA/2022-23/18093-18097 | 21-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramesh Dwarkadas Daga | AMTPD7404Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/PM/PA/2022-23/18093-18097 | 21-Mar-2024 | PAN deactivated for opening of new account |
| Chetan Dogra | ABNPD1798C | Under section 23-I of the SCRA, 1956 read with Rule 5 of SCR (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | BS/AO/53-60/2018-19 | 21-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kalpeah Ramniklal Babariya | AFXPB0128C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/AO/18/2012 | 21-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Giridhar J Vagadiya | ACUPV1785B | Notice under Rule 4(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/PU/6888/2012 | 21-Mar-2024 | PAN deactivated for opening of new account |
| Giridhar J Vagadiya | ACUPV1785B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKK/AO/275/2010 | 21-Mar-2024 | PAN deactivated for opening of new account |
| Giridhar J Vagadiya | ACUPV1785B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/86/2010 | 21-Mar-2024 | PAN deactivated for opening of new account |
| Bimlesh Kumar Mishra | AGCPM0469R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/170/2009 | 21-Mar-2024 | PAN deactivated for opening of new account |

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| Bimlesh Kumar Mishra | AGCPM0469R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/79/2010 | 21-Mar-2024 | PAN deactivated for opening of new account |
| Madhusudan thakkar | ACAPT3474F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKK/AO/244/2010 | 21-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shyam Alcohol and Chemicals Ltd | AAGCS6595M | Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/11117-11121 | 22-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sampada Chemicals Ltd | AACCS7980C | Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/11117-11121 | 22-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Chetan Dogra | ABNPD1798C | Under section 23I of Securities Contracts (Regulation) Act, 1956, Section 15I of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/10364-10366 | 22-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Chetan Dogra HUF | AACHCS554P | Under section 23I of Securities Contracts (Regulation) Act, 1956, Section 15I of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/10364-10366 | 22-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Tanuja Singh | AOIPS9379C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/AO/81/2018-19 | 22-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Vinod Hingorani | AAXPH9088C | Under section 15-I of SEBI Act, 1992 read with Rule 5 (1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | IVD-ID-3/ACL/VH/AO/DRK/AS/EAD-3/111/09-40/2010 | 22-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Vinod Hingorani | AAXPH9088C | Under section 15-I of SEBI Act, 1992 read with Rule 5 (1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ACL/VH/AO/DRK/AS/EAD-3/165/09-68/2010 | 22-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bipin Pushpaben Divecha | AABPD5165A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/SR/SM/AO/79-85/2019-20/3444-3450 | 26-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Finalysis Credit & Guarantee Company Limited | AABCF9494P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD/SR/SM/AO/79-85/2019-20/3444-3450 | 26-Mar-2024 | PAN deactivated for opening of new account |
| M/s Cosmo Corporate Services Limited | AAACC3529P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | JJ/AK/AO-114/2014 | 26-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ishita Finstock Ltd | AAACI4661A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | JJ/AK/AO-177/2014 | 26-Mar-2024 | PAN deactivated for opening of new account |
| Bahar Paper Private Limited / Koda Malia | AACCB4484G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 26-Mar-2024 | PAN deactivated for opening of new account |
| Heena Developers Ltd | AABCH8216M | Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | BS/AO/33-40/2018-19 | 26-Mar-2024 | PAN deactivated for opening of new account |
| Banwari Lal Baghel (Director) | AMUPB0580E | Under Sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/49/EFD/SEPTEMBER/2015 | 26-Mar-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Surendra Singh Baghel (Director) | AQDPB0485F | Under Sections 11, 11(4), 11A and 11B of SEBI Act, 1992 | WTM/PS/49/EFD/SEPTEMBER/2015 | 26-Mar-2024 | PAN deactivated for opening of new account |
| Chandra Shekhar Chauhan | AAEPC9775G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/KS/AS/AO/10494/2020-21 | 26-Mar-2024 | PAN deactivated for opening of new account |
| Vikash Gupta | CUCPG7495R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7571 of 2024 | 01-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shallot Tie-Up Private Limited | AARCS5133J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-6/AO/PM/NK/013/2019-20 | 02-Apr-2024 | PAN deactivated for opening of new account |

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| Shallot Dealtrade Private Limited | AARCS4827R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-6/AO/PM/NK/013/2019-20 | 02-Apr-2024 | PAN deactivated for opening of new account |
| Rids Textiles Ltd | AADCR4597F | Under section 15-I(2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/JP/310-319/2018 | 02-Apr-2024 | PAN deactivated for opening of new account |
| Dinesh Patadia Financial & Investments Pvt. Ltd | AACCD4984F | Under section 15-I(2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/JP/310-319/2018 | 02-Apr-2024 | PAN deactivated for opening of new account |
| Dhilin Mehta | AFWPM0506N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/AO/SM/250-251/2018 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ahilya Commercial Pvt Ltd | AACCA2226R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/AO-10680/2011 | 02-Apr-2024 | PAN deactivated for opening of new account |
| Prashant Mulekar | AACPM1058Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-4/SRP/AE/AO/2018-19/19 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. P. S. Saminathan | ABHPS7396D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKK/AO/192/2011 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. P. S. Saminathan | ABHPS7396D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Section 231 of Securities Contracts (Regulation) Act, 1956 | BM/AO-137/2013 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. P. S. Saminathan | ABHPS7396D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BM/AO-138/2013 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. P. S. Saminathan | ABHPS7396D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BM/AO-109/2011 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Lakshya Securities and Credit Holdings Limited | AAACL7744D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKB/AO-51/2011 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Lakshya Securities and Credit Holdings Limited | AAACL7744D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKB/AO-16/2012 | 02-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shanti Tradelink Pvt Ltd. | AACCS1366C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKB/AO-11/2012 | 02-Apr-2024 | PAN deactivated for opening of new account |
| Surajit Dutta | ARHPD5353R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13914 | 03-Apr-2024 | PAN deactivated for opening of new account |
| Mithilesh Kumar Jha | AUWPI3225E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13915 | 03-Apr-2024 | PAN deactivated for opening of new account |
| M/s Bobby Lease Finance Limited | AABCB1442P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/16/2010 | 03-Apr-2024 | PAN deactivated for opening of new account |
| Venugopal Dhoot | AANPD5594R | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/DP/2022-23/25293 | 05-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Chirag Patel | ADHPP4699Q | Under section 23-I of SCRA, 1956 read with Rule 5 of SCR (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and under Section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/JP/215-221/2017 | 08-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Acuity Merchants Pvt Ltd | AAICA4474D | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12929-12935 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Richhold Properties Pvt Ltd | AABCR4071J | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12929-12935 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Kaberi Goods Pvt Ltd | AACCK7867L | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12929-12935 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Invorex Vincom Pvt Ltd | AACCI3168A | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12929-12935 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Akansha Commodities Pvt Ltd | AAGCA8604B | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12929-12935 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s Godavari Commercial Services Private Limited | AABCG1461B | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12929-12935 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Acuity Merchants Pvt Ltd | AAICA4474D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13447-13454 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Godavari Commercial Services Pvt Ltd | AABCG1461B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13447-13454 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kaberi Goods Pvt Ltd | AACCK7867L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13447-13454 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Invorex Vincom Pvt Ltd | AACCI3168A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13447-13454 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Akansha Commodities Pvt Ltd | AAGCA8604B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13447-13454 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Superdeal Fincom Pvt Ltd | AAEC50527R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13447-13454 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Electroparts (India) Pvt Ltd | AAACV5947Q | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12972-12975 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Videocon Realty And Infrastructures Ltd | AAACV2303E | Under section 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12972-12975 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Electroparts (India) Pvt Ltd | AAACV5947Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13337 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Videocon Realty and Infrastructures Ltd | AAACV2303E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13338 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Venugopal Dhoot | AANPD5594R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13548-13550 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Electroparts (India) Pvt. Ltd. (erstwhile Shree Dhoot Trading and Agencies Ltd.) | AAACV5947Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13548-13550 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Videocon Realty and Infrastructures Ltd | AAACV2303E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13548-13550 | 09-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pradeep Kumar Dhoot | AANPD5593J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13691 | 09-Apr-2024 | PAN deactivated for opening of new account |
| S.K. Agarwal Sons & HUF | AAJHS6712E | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/efd1/SEC/8908/1 | 12-Apr-2024 | PAN deactivated for opening of new account |
| Jug Mug Sales Private Limited (Now Encord Trading Private Limited) | AACCE1213E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/BM/2023-24/29301 | 12-Apr-2024 | PAN deactivated for opening of new account |
| Ms. Prem Lata | AAEPL2191D | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Schemes) Regulations, 1999 | WTM/AB/NRO/NRO/15731/2021-22 | 18-Apr-2024 | PAN deactivated for opening of new account |
| Devender Kumar Jain | AETPJ9387E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7728 of 2024 | 19-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| D. K. Jain & Sons HUF | AAAH3061N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7727 of 2024 | 19-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Ramswaroop B Panchariya | AQNPR3366R | Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4 of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/7/1 | 23-Apr-2024 | PAN deactivated for opening of new account |
| Rupesh Kumar | AMYPK4943N | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4(1) of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | EAD-10/ADI/SM/AS/OW/44659/1-6/2023 | 23-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rupesh Kumar | AMYPK4943N | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4(1) of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | EAD-10/ADI/SM/AS/OW/44819/1-5/2023 | 23-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| MH Mills & Industries Limited | AAACM9900G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-8/KS/AA/AO/219/2018-19 | 23-Apr-2024 | PAN deactivated for opening of new account |
| M/s T H Vakil Shares and Securities Pvt Ltd | AABCT3010D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/165/2009 | 23-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s T H Vakil Shares and Securities Pvt Ltd | AABCT3010D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/166/2009 | 23-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amul P. Sheth | AUNPS2417E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ORDER NO. MC/AO-10/2012 | 24-Apr-2024 | PAN deactivated for opening of new account |
| Gopal Goenka HUF | AAFHG7351F | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EFD1_DRA3/OW/9563/1/2024 | 24-Apr-2024 | PAN deactivated for opening of new account |
| Anita Agarwal | ABMPA0916C | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EFD1_DRA3/OW/9565/1/2024 | 24-Apr-2024 | PAN deactivated for opening of new account |
| Amreen Sukhyani | ATUPS9251J | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/EFD1_DRA3/OW/9601/1/2024 | 24-Apr-2024 | PAN deactivated for opening of new account |
| Ashok R Panchariya | AEVPP6124P | Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4 of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/2/1 | 25-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Satish R Panchariya | ADRPP1806H | Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4 of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/3/1 | 25-Apr-2024 | PAN deactivated for opening of new account |
| Shantadevi R Panchariya | AQNPP3367Q | Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4 of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/5/1 | 25-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Radhadevi S Panchariya | AHWPP6235D | Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4 of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/6/1 | 25-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Cavalier Securities Ltd | AAACC6370Q | Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4 of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/10/1 | 25-Apr-2024 | PAN deactivated for opening of new account |
| Rajesh Malleables Limited | AABCR0270K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-8/KS/AA/AO/225/2018-19 | 25-Apr-2024 | PAN deactivated for opening of new account |
| Mr. Sanjay Shastri HUF | AAYS3088Q | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/EFD1/SEC/8910/1 | 25-Apr-2024 | PAN deactivated for opening of new account |

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| Saritadevi Panchariya | AHWPP6223H | Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4 of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 23I of the SCRA, 1956 | SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/9/1 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Ramnlikl Manjibhai Patel | AEAPP8945F | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Schemes) Regulations, 1999 | PKK/AO/276/2010 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Ramnlikl Manjibhai Patel | AEAPP8945F | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 read with Regulation 65 of SEBI (Collective Investment Schemes) Regulations, 1999 | SD/AO/87/2010 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Sarin Pranav | BAPPS6818A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/BS/AO/0826/2018-19 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ours Trading and Holdings Pvt. Ltd. | AAACO6061G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/BS/AO/0826/2018-19 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Siya Bansal | AFMPA6266M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/DPS/124-126/2017 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Robart | APKPR9706D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajan Shrivastava | ARQPS6069Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajan Ghosh | AMSPG0605P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Punit Kapoor | BDMPK0156K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Narender Kumar | AXCPK5271G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Markup Trading Pvt. Ltd. | AAFCM5760R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Global Wealth Creators | AAHFG9994Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Firstcall Consultancy Services Private Ltd | AAACF9476E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Eminence Capital Services/Naresh Gupta | AIQPG6739G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Chetan Dogra | ABNPD1798C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/115-116/2018-19 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramnlikl M. Patel | AEAPP8945F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ORDER NO. MC/AO-10/2012 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Mahesh H. Mistry | AHWPM5390G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKK/AO/287/2010 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajan Bansal | AGMPB8395B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/DPS/124-126/2017 | 29-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Gamut Exports Ltd | AADCG1655R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/406-465/2015 | 29-Apr-2024 | PAN deactivated for opening of new account |
| Anil Kumar Garg HUF | AAKHA1804N | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1_DRA3/OW/9546/1/2024 | 30-Apr-2024 | PAN deactivated for opening of new account |
| Dilip Kumar Kedia HUF | AAFHD3367J | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1_DRA3/OW/9554/1/2024 | 30-Apr-2024 | PAN deactivated for opening of new account |
| Aakansha Trexim Private Limited | AACCA3894K | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1_DRA3/OW/9573/1/2024 | 30-Apr-2024 | PAN deactivated for opening of new account |

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| Deva Nand Aggarwal HUF | AAIHD6045G | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1_DRA3/OW/9588/1/2024 | 30-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Akashdeep Singh | DQTPS4378R | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/HO/efd1_DRA3/OW/9603/1/2024 | 30-Apr-2024 | PAN deactivated for opening of new account |
| Urmila Debi | AYGPD9258H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AK/VP/2022-23/21846 | 30-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kartik Share Traders Private Limited | AABCK7306F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/AO/80/2018-19 | 30-Apr-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| RVL Securities Pvt. Ltd. | AAFA3058E | SEBI settlement scheme 2024 in the matter of dealing in Illiquid Stock Options (ISO) at BSE | SEBI/efd1/SEC/8918/1 | 02-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Krishna Incap Services Private Limited | AAACK2230P | Notice under Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 and Rule 4(1) of the Securities Contracts (Regulations) Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 read with section 231 of the SCRA, 1956 | EAD-10/ENQ/SM/AS/OW/44663/1-4/2023 | 07-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sushma Vimalkumar Rava | BBPPR7839K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 section and 231 of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/PU/2022-23/20625-20642 | 07-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Chetan Dogra | ABNPD1798C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/VV/AO/45/2018-19 | 07-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shyam Sunder Gupta | AIIPG6981A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ASK/RGA/AO/6-19/2015-16 | 13-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pankaj Saraiya | AMZPS1044F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/KS/VC/2020-21/7595 | 13-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shivcharan S. Kashyap | ATOPK6636K | Under section 231 of SCRA, 1956 Section 15I of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2018-19/1979-2006 | 13-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Dheeraj Wadhawan | AAOPW4517G | Notice of Hearing in matter of DHFL | SEBI/HO/CFID/P/OW/2024/1133 2/3 | 14-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Dheeraj Wadhawan | AAOPW4517G | Notice of Hearing in matter of DHFL | SEBI/HO/CFID/P/OW/2024/1133 2/2 | 14-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Dheeraj Wadhawan | AAOPW4517G | Notice of Hearing in matter of DHFL | SEBI/HO/CFID/P/OW/2024/1133 2/1 | 14-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Dheeraj Wadhawan | AAOPW4517G | Notice of Hearing in matter of DHFL | SEBI/HO/CFID/P/OW/2024/1133 0/1 | 14-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Dheeraj Wadhawan | AAOPW4517G | Notice of Hearing in matter of DHFL | SEBI/HO/CFID/P/OW/2024/1133 0/2 | 14-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Arvind Babulaji Goyal | ACIPG0193J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/KS/VC/2019-20/5772-5779 | 14-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| N R Doshi | AAWPD3242M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7720 of 2024 | 14-May-2024 | PAN deactivated for opening of new account |
| Sunita Shah | ACQPS5375Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7721 of 2024 | 14-May-2024 | PAN deactivated for opening of new account |
| Harish G Uchil | AAAPU1153C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/KG/2020-21/9268-9270 | 22-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajesh G Uchil | AAAPU1152D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/KG/2020-21/9268-9270 | 22-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Cronimet Mercon Invest Ltd | AADCC3531C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/SM/168-171/2018 | 22-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Aggarwal Steel Rolling Mills & Metal Industries | AABFA9058R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/686-781/2017 | 23-May-2024 | PAN deactivated for opening of new account |
| Rangoli Commodities Pvt Ltd | AAECR0541E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014 | 23-May-2024 | PAN deactivated for opening of new account |
| Zolton Properties Private Limited | AAACZ2996D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6828 of 2023 | 28-May-2024 | PAN deactivated for opening of new account |
| Jagruti Infra Developers Private Limited/Akriti Advisory Services Private Limited | AAICA9057G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 section and 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AS/VC/2022-23/25158-25168 | 29-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Tanu Trades Pvt Ltd | AADCT2352C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014 | 29-May-2024 | PAN deactivated for opening of new account |
| Tarang Impeex Pvt Ltd | AACCT9825B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014 | 29-May-2024 | PAN deactivated for opening of new account |
| Karan | DBTPK6055A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6841 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Manohar Lal Sachdeva | AJHPS2051D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6245 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kanta Devi | AFCPD6087P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6669 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pushp Raj | AKEPR6030K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6666 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Girija Pandey | BEIPP7914K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6620 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ajay Gupta | AADPG9382Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6891 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rosestar Marketing Private Limited | AAFCR2252M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6814 of 2023 | 30-May-2024 | PAN deactivated for opening of new account |
| Torus Buildcon Private Limited | AACCT8158M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6826 of 2023 | 30-May-2024 | PAN deactivated for opening of new account |
| Saubhagya Buildcon Private Limited | AALCS1157L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6827 of 2023 | 30-May-2024 | PAN deactivated for opening of new account |
| Eden Infrasmith Private Limited | AACCE7133K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6882 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Balaji Comfin Traders (Prop. Sunil Kapoor) | ALMPK2209G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6324 of 2023 | 30-May-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Elite Investment Advisory Services | BZZPS6587H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no.7794 of 2024 | 06-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Birla Pacific Medspa Ltd | AAAFK1583C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/AE/2022-23/19751-19761 | 10-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mahadev Impexs | AHRPM0496Q | Under section 15-I of SEBI Act, 1992 read with Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | IVD-IDS/ESL-MI/AO/DRK/ASG/EAD3-303/69-2011 | 10-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s PACL Ltd | AAACP4032A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no.7796 of 2024 | 12-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Indira Prashant Karkera | ADUPK3802B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no.7787 of 2024 | 12-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| N. Nagesh | AAQPN1499Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no.7793 of 2024 | 12-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Lakhani Babulal Hansraj | AMVPL4417B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/23302-23316 | 20-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Vinod Solanki | DMKPS4150Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/23302-23316 | 20-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Mahendrabhai Naranbhai Patel | DHGPP1260K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/23302-23316 | 20-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramanlal Ravchand Shah | GDWPS4583K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/23302-23316 | 20-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| BHARTI SHARMA | CZTPS4626L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/23302-23316 | 20-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Topwell Properties P Ltd | AADCT8403C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SBM/JR/2021-22/12372-12375 | 20-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Limestone Properties P Ltd | AACCL0133G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SBM/JR/2021-22/12372-12375 | 20-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| S5 Trading Pvt Ltd | AAMCS8457N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/AR/2018-19/839 | 21-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Unique Vision Financial Advisory Pvt. Limited | AABCU3600J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/SVKM/AO/04-06/2016-17 | 21-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| R Ravichandran | AHJPR6079C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 6735 of 2023 | 26-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Dhirajbhai Baladevji Thakor | ATJPT1108Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/23302-23316 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s Aristo Media And Entertainment Private Limited | AAICA2155K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/PM/PA/2021-22/14292-14327 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Creative Vision Industries Pvt. Ltd. | AAFCC1046G | Under Sections 11, 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/AB/IVD/ID1/17702/2022-23 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| SINDIA Investment Group PTE Ltd. | AAPCS2634E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/MG/2020-21/10942 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Acute Consultancy Limited | AACCA7725M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/17112-17196 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| BLC Trading and Agencies Pvt Ltd | AACCB8181G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AA/AR/2020-21/8690-8721 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Innovist India Pvt. Ltd. | AABCI6628L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/PU/2021-22/14526 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bluebell Agencies Pvt. Ltd. | AADC86654M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/PU/2021-22/14433 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Chetan Dogra HUF | AACHC5554P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AK/AO-15-20/2015 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| mukesh ramchandra lade | AKIPL0751H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/ AO/SM/ 54-55 /2017-18/1086 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Jesse Trading Pvt Ltd | AACJ3651K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/MG/2020-21/10579-10580 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| SINDIA Investment Group PTE Ltd. | AAPCS2634E | Under Sections 11, 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/AB/IVD/ID1/17702/2022-23 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Karsan Chitroda | ACHPC5141R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/SM/AO/51-53/2018-19 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mukesh Chauhan | ACTPC6124A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/MC/VS/2020-21/9262-9275 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Jaymani Joshi | ACXPJ1278Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/MC/VS/2020-21/9262-9275 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Tien Trading Pvt Ltd | AADCT1652R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BM/GN/2022-23/19545-19547 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| CHETAN DOGRA | ABNPD1798C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AK/AO-15-20/2015 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ravindra Shankar Kaurav | AOTPK5176L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/SVKM/AO/04-06/2016-17 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Samir Ghosh | AMPPG0185K | Under sections 11 and 11B of the Securities and Exchange Board of India Act, 1992 and regulations 32 of the SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 | WTM/GM/EPD/DRAIII/45/2017-18 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M/S Acclaim Industries Ltd | AAACM7494B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/SM/AO/51-53/2018-19 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Singhan Media Solutions Private Limited (SMSPL) | AAVCS5813R | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/MPB/SEBI/EPD-DRA4/6/2017 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajesh Shah | AMCPS0309L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/MC/VS/2020-21/9262-9275 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shekhar D Vaishnav | AHOPV1194R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | JS / DJ / 2018-19/ 1228-1235 | 27-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| RAJESH MEHTA | AAFPM9963R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/SM/AO/51-53/2018-19 | 28-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| GIRDHAR VAGADIA | ACUPV1785B | Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SRP/DL/AO: 107/2010 | 28-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Everready Marketing Private Limited | AACCE2069E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/AR/2018-19/839 | 28-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| ABHAYRAJ RAMPHER SHUKLA | APOPS5722A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/ AO-NP/ AS/36/2017 | 28-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kajol Impex Limited. | AAACJ9577E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/43/2008 | 28-Jun-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Dheeraj Sisodia | BCTPS9910B | Notice under Rule 4(1) of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 ('Ajudication Rules') read with Section 15I of SEBI Act, 1992 | SEBI/HO/EAD-8/SV/RM/2024/1131/1-24 | 1-Jul-2024 | PAN deactivated for opening of new account |
| First Futures and Stocks Private Ltd | AABCF1127B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7469 of 2023 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Chennai Commodities | AGOPG2342M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7470 of 2023 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shreyanse Finance Limited | AAGCS1052B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7568 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sathish Kumar N | DUWPS2496M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7694 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Varalakshmi P | AEPPV7309L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7695 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| S Nagarajan | ARHPN2907P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7696 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| K Manikandan | AMXPM1460H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7698 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M Sasikala | BSBPM8534R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7699 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Raja P | BKDPR6611L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7704 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Solomon Y | BLJPS1968J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7706 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Murali Prakash Naidu | APHPM3909G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7707 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shreyanse Finance Limited | AAGCS1052B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7708 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M Sethujanani | BILPS7862N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7709 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| S Sengolancy Beaula | DKLPS1799L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7711 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhalakshmi M S | BESPS4830M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7712 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Secunderabad Healthcare Limited | AACCS8246B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate no. 7734 of 2024 | 3-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Gaurav Agarwal | ATFPA3651B | Notice under Rule 4(1) of SEBI (Procedure for holding inquiry and imposing penalties) Rules, 1995 ('Ajudication Rules') read with Section 151 of SEBI Act, 1992 | SEBI/HO/EAD-8/SV/RM/2024/1131/1-24 | 5-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Exelon Infrastructure Ltd. | AAACM2862M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/BJD/VS/24-33/2017-18 | 5-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| OLIWONDERS FINANCIAL SERVICES PRIVATE LIMITED | AABCO2030J | Regulations 3(a), (b), (c) and (d) and 4(1), 4(2)(a), (b), (e) and (g) of the SEBI(Prohibition of Fraudulent and Unfair Trade Practices) Regulations, 2003 (hereinafter referred to as "PFUTP Regulations") and section 12A(a), (b) and (c) of the SEBI Act, 1992 | WTM/GM/EFD/22/2020-21 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shree Aeran Marketing Pvt Ltd | AAECA3869N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SRP/AE/2018-19/1765 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Roshi Appliances Pvt Ltd | AAACR0210J | Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/RK/2021-22/12972-12975 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Neevan Capital Markets Private Limited | AACCN7650G | Regulations 3(a), (b), (c) and (d) and 4(1), 4(2)(a), (b), (e) and (g) of the SEBI(Prohibition of Fraudulent and Unfair Trade Practices) Regulations, 2003 (hereinafter referred to as "PFUTP Regulations") and section 12A(a), (b) and (c) of the SEBI Act, 1992 | WTM/GM/EFD/22/2020-21 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mridul Securities Pvt Ltd | AAECM7271J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SRP/AE/2018-19/1775 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Advance India Shares & Securities Pvt Ltd | AAFCA6229B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BM/DS/2022-23/17525-17534 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Royal Theme Products India Pvt | AAECR4581N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BM/DS/2022-23/17525-17534 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Roshi Appliances Pvt Ltd | AAACR0210J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/RK/2021-22/13693-13694 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Avinash Pitale HUF | AAIHA3468A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/20356-20372 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| SAURABH KUMAR RASIKLAL GANDHI | AMVPG6042M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/KS/AS/2021-22/12235-12247 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Manish Chaturvedi | AGSPC0944K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SBM/VS/2022-23/16857-16859 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Taksheel Solutions Limited | AAACI7325P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/IS/AU/2021-22/12962-12971 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| SANTOSH VASANT BHELEKAR | AFBPP2887C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/KS/AS/2021-22/12235-12247 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amadhi Investments Ltd. | AAACA7300E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/ IPO/AO/DRK- DS/EAD-3/ 511 / 55 /2014 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Castex Technologies Ltd. | AAACA8504G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AA/MG/2019-20/3506-3507 | 11-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Dipali Nilay Patel | AHSPP7976M | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/AB/efd-1/DRA1/16/2020-21 | 15-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kusum Deepak Rathod | ADSPR1560P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BM/AO-80/2012 | 15-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Commex Technology Limited | AABCG0986D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/VV/PR/AK/2022-23/16649-16651 | 15-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Al Mareija Precious Metals & Bullions | AALCA9216G | Under Sections 11 (1), 11(4) and 11B (1) of the Securities and Exchange Board of India Act, 1992 read with Section 12A of the Securities Contracts (Regulation) Act, 1956 In Re: Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, Securities Contracts (Regulation) Rules, 1957 and Listing Agreement | WTM/MB/CFD/DCR/12284A/2021-22 | 19-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mankool General Trading | AAICM7748N | Under Sections 11 (1), 11(4) and 11B (1) of the Securities and Exchange Board of India Act, 1992 read with Section 12A of the Securities Contracts (Regulation) Act, 1956 In Re: Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, Securities Contracts (Regulation) Rules, 1957 and Listing Agreement | WTM/MB/CFD/DCR/12284A/2021-22 | 19-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Al Juraina Precious Metals & Bullions | AALCA9215F | Under Sections 11 (1), 11(4) and 11B (1) of the Securities and Exchange Board of India Act, 1992 read with Section 12A of the Securities Contracts (Regulation) Act, 1956 In Re: Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, Securities Contracts (Regulation) Rules, 1957 and Listing Agreement | WTM/MB/CFD/DCR/12284A/2021-22 | 19-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Neeraj Kedia | ATSPK8706G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 19-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Growfast Realtor Pvt. Ltd | AADCG3026G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 19-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pramod Ramdin Sharma | ARJPS0674C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 19-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amrapali Aadya Trading and Investments Pvt Ltd | AAECA3909P | Under Regulation 25(1) of SEBI (Intermediaries) Regulations, 2008 | SEBI/EAD-1/BS/16969/1/2024 | 23-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Alishan Estaste Pvt. Ltd. | AAFCA2670L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 24-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shridhan Jewellery Pvt. Ltd. | AAJCS1020N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 24-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pulak Bagchi | ACHPB8147L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 24-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Jagarnath Consultants Pvt. Ltd. | AABCSJ609C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 24-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Umesh Singh | BGCP8001A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 24-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sunshine Housecon Ltd. | AACCM0740J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 24-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Biraj Sonakar | BLZPS6412H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/ 129-144/2014 | 24-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ranklin Solutions Limited | AABCR6000R | UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 AND SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005 | EAD-3/ORDER/JS/SP/3112-3119/2019-20 | 29-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ranklin Solutions Limited | AABCR6000R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/ORDER/JS/SP/2665/2019-2020 | 29-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| H Bhavesh Securities and Commodities Private Limited | AAACB1655R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BD/VS/2020-21/8757 | 30-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Manoj Kumar Pandit | AQOPP1860D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/ 129-144/2014 | 30-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Anup Kumar Sharma | BLEPS0813B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/ 129-144/2014 | 30-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ifteqaar | ADVP19206N | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/SM/SRO/16600/2022-23 | 30-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Money Streets Advisory Services LLP | ABGFM6563R | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/SM/SRO/16600/2022-23 | 30-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Anil Sharma | BFYPS4464N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/ 129-144/2014 | 31-Jul-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Vithalbhai Gajera | ABZPG3628G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | IVD-ID6/SS-EIIL/AO/DRK/AKS/EAD-3/332 /30 -12 | 2-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Girdharbhai J Vagadia | ACUPV1785B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | HB/AO- 07/2011 | 2-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kalpesh Babaraya | AFXPB0128C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | IVD-ID6/SS-EIIL/AO/DRK/AKS/EAD-3/ 330 /28 -12 | 2-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Brijmohan Rathi | AACPR2189P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/SVKM/RGA/AO/ 26 /2015-16 | 2-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sarvesh Kumar | BRHPK7160G | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/SM/SRO/24255/2022-23 | 5-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Zulfiqar Ahmed | ABTPZ7804K | Under Sections 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/SM/SRO/16600/2022-23 | 5-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Manish Kumar Mahaseth | CODPM3115F | Under Sections 11(4) and 11B of the Securities and Exchange Board of India Act, 1992 | CGM/GG/QJC-2/SRO/SRO/21243/2022-23 | 5-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| S Madhavan | AAPPM2859L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7861 of 2024 | 5-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Garuda Finance | AAUFG1283H | Under Sections 11B (1), 11B (2), 11(4) and 11(4A) of the SEBI Act, 1992 read with Section 12(1) of SEBI Act, 1992 read with regulation 3(1) of SEBI (Investment Advisers) Regulations, 2013 | SRO/SG/OW/2024/17009/4 | 6-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sashi Pandey | BROPP5797B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7863 of 2024 | 6-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mahesh Sharma | DPSPS8381L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7864 of 2024 | 6-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhagavatiben Vishnubhai Patel | CYBPP2362R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/S./2022-23/23302-23316 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kusum Deepak Rathod | ADSPR1560P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BM/AO-147/2013 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Aplaya Creations Ltd | AAACG1479J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/MC/DS/2020-2021/ 9446-9453 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pramod Kumar Gupta | ABRPG4589Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Under section 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/MC/DS/2020-2021/ 9446-9453 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Edelweiss Estates Pvt Ltd. | AABCE1275F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/KS/AE/2021-22/12185-12201 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Excel Paints Private Ltd | AAACE2875N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/KS/AE/2021-22/12185-12201 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Suryamangal Media Entertainment Limited | AAHCS4914K | Under section 15-I of SEBI Act, 1992 and Under section 23I of Securities Contracrts (Regulation) Act, 1956 | ORDER/VV/AS/2022-23/17519-17633 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Cornhill Trading Company Pvt Ltd | AACCC0591P | Under section 15-I of SEBI Act, 1992 and Under section 23I of Securities Contracrts (Regulation) Act, 1956 | ORDER/VV/AS/2022-23/17519-17633 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Manusi Securities Pvt. Ltd. | AAACM7948J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/ SL/AO/DRK-DS/EAD3- 517-527/ 61-71 -2014 | 8-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Balram Mukherjee | CIGPM5834A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7850 of 2024 | 9-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Barun Kumar Das | AIDPD4564F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7836 of 2024 | 14-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Akash | BSCPA7915B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7836 of 2024 | 14-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Vimala Sanjay Patel | BNEPP5649A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7894 of 2024 | 14-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Al Layyah General trading FZE | AALCA9217H | Under Sections 11 (1), 11(4) and 11B (1) of the SEBI Act, 1992 read with Section 12A of the Securities Contracts (Regulation) Act, 1956 In Re: SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, Securities Contracts (Regulation) Rules, 1957 and Listing Agreement | WTM/MB/CFD/DCR/12284/2021-22 | 20-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bonanza Industries Limited | AAACA4718J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7908 of 2024 | 23-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Nitesh Ranjan | AOAPR7655G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7641 of 2024 | 28-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Namdeo H More | AHZPM5440L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7422 of 2023 | 28-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| King Empire Tradexim Pvt. Ltd. | AADCK9235D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7925 of 2024 | 29-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amrapali Aadya Trading & Investments Pvt. Ltd. | AAECA3909P | Certificate under section 28A of SEBI Act, 1992 and Section 23JB of Securities Contract (Regulation) Act, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7909 of 2024 | 30-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Adept Lifespaces Private Limited Formerly- Adept Creations Private Limited | AAGCA5007J | Certificate under section 28A of SEBI Act, 1992 and Section 23JB of Securities Contract (Regulation) Act, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7874 of 2024 | 30-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Best Cure Private Limited (New Name-Devera Developers Pvt. Ltd.) | AADCB1809L | Certificate under section 28A of SEBI Act, 1992 and Section 23JB of Securities Contract (Regulation) Act, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7875 of 2024 | 30-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rexcin Finance Private Limited | AADCR6690P | Certificate under section 28A of SEBI Act, 1992 and Section 23JB of Securities Contract (Regulation) Act, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7877 of 2024 | 30-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Artifice Properties Private Limited | AAGCA5140E | Certificate under section 28A of SEBI Act, 1992 and Section 23JB of Securities Contract (Regulation) Act, 1956 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7878 of 2024 | 30-Aug-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Carol Securities Private Limited | AACCC7690K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 6792 of 2023 | 3-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Karn Merchant Bankers | AACCK6859L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/BJD/BKM/ 99 /2017-18 | 6-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bholebaba Suppliers Pvt.Ltd. | AADCB8132G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AA/MG/2019-20/4766-72 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Vinahast Dealcom Private Limited | AAECV8139N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BD/VS/2019-20/7382-7409 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ekaparnik Vintrade Private Limited | AADCE6828J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BD/VS/2019-20/7382-7409 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Keynote Painting Dealers Private Limited | AACCH5764P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/MC/HP/2020-21/9517-9532 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Delicious Diamonds Private Limited | AAECD0600N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3804,3805,3807 to 3823 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Eversafe Promoters Pvt. Ltd. | AACCE8491Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AA/MG/2019-20/4766-72 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Subhankar Exim Pvt. Ltd. | AAQCS4922A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AA/MG/2019-20/4766-72 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Onceover Deal Trade Pvt Ltd. | AACCO0086H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BD/VS/2019-20/7382-7409 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Indrawati Commosales Private Limited | AADCI5560R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BD/VS/2019-20/7382-7409 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Outstripe Suppliers Private Limited | AACCO0067C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/BD/VS/2019-20/7382-7409 | 9-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Prasad V Potluri | AHZPP1406F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ASK/AO/172-73/2014-15 | 10-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sunil Shares & Stock Broking Pvt. Ltd. | AAFCS5114D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BS/AO-14/2008 | 10-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sujal Sharma | AJIPM5196M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ASK/AO/62-67/2014 | 10-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitendra Kumar Tiwari | ALBPT6629A | Under Sections 11(1), 11(4), 11B(1) 11B(2) And 11(4A), Of The Securities And Exchange Board Of India Act, 1992 Read With Rule 5 Of Sebi(Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | WTM/SM/IVD/ID3/15009/2021-22 | 10-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Firoz Haniffbhai Memon | BRVPM0273J | Notice of Demand under rule of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3675 of 2021 | 11-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Aashish Developer | AAQFA3264Q | Notice of Demand under rule of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3231 of 2021 | 11-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| P.P Capital Management Services | AARFP4833F | Certificate under section 28A of SEBI Act, 1992 / Section 23JB of Securities Contract (Regulation) Act, 1956 / Section 19-IB of Depositories Act, 1996 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7325 of 2023 | 12-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. P S Chadha HUF | AFJPC3081P | Certificate under section 28A of SEBI Act, 1992 / Section 23JB of Securities Contract (Regulation) Act, 1956 / Section 19-IB of Depositories Act, 1996 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7326 of 2023 | 12-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Corporate Strategic Allianz Pvt Ltd | AACCC8085A | Enquiry report under regulation 26 of SEBI (Intermediaries) Regulations, 2008 | ENQ/BM/GN/2024-25/30531 | 12-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Swarnajyothi Agrotech Ltd. (Earlier known as Kushal Software Ltd) | AACCK0438R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AIL/AO/SKS/SG/DCR/01/2012 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| R. Shivagurunathan | ABSPS8464M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BM/AO- 115/2011 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Rameshchandra K. Jain | ADIPJ1942R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/90/2010 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Govind Gendalal Somani (HUF) | AACHS3486G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKK/AO/21/2011 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Devjibhai Parmar | AJUPP5517G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | SD/AO/161/2009 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amit Tripathi | ADAPT8178K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKK/AO/227/2010 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Dharmendra S Thapa | ACXPT5866A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKB/AO-23/2010 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Dharmendra S Thapa | ACXPT5866A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKB/AO-41/2010 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| J C Mansukhani | AACPM2147G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ASK/AO/62-67/2014 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Awanish Kumar Mishra | AGOPM7538H | Under Sections 11(1), 11(4), 11B(1) 11B(2) And 11(4A), Of The Securities And Exchange Board Of India Act, 1992 Read With Rule 5 Of Sebi(Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | WTM/SM/IVD/ID3/15009/2021-22 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Money Mishra Financial Services | AAZFM1357R | Under Sections 11(1), 11(4), 11B(1) 11B(2) And 11(4A), Of The Securities And Exchange Board Of India Act, 1992 Read With Rule 5 Of Sebi(Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | WTM/SM/IVD/ID3/15009/2021-22 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Money Mishra Overseas Pvt. Ltd | AAJCM8612N | Under Sections 11(1), 11(4), 11B(1) 11B(2) And 11(4A), Of The Securities And Exchange Board Of India Act, 1992 Read With Rule 5 Of Sebi(Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | WTM/SM/IVD/ID3/15009/2021-22 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sunciti Financial Services Private Limited | AABCS4046C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/SVKM/DS/AO/47/2015-16 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Lithmus Capital Consultance Ltd | AACCL0866A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Securities Contract (Regulation) Act, 1956 read with Rule 3 of Securities Contract (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | ORDER/BM/DS/2022-23/18147-18164 | 16-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kesarisuta Vinimay Pvt. Ltd. | AAECK2699A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7910 of 2024 | 19-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhojawani Securities Pvt Ltd | AAACB4604N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/AS/2023-24/29325-29337 | 20-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Best Health Management Pvt Ltd | AACCB3144P | Under Section 15-I of SEBI Act, 1992 r/w Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and Section 231 of Securities Contracts (Regulations) Act, 1956 r/w Rule 5 of Securities Contracts (Regulations) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/DS/DK/2022-23/20903-209064 | 24-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kautiyya Vanija Private Limited | AAFCK3314D | Notice of Demand under rule of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 7887 of 2024 | 24-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kautiyya Trading Private Limited | AAFCK3315C | Notice of Demand under rule of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 7886 of 2024 | 24-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitendra Kumar Tiwari | ALBPT6629A | Under Sections 11(1), 11(4), 11B(1) 11B(2) and 11D of SEBI Act, 1992 read with Section 15HA of SEBI Act,1992, and Section 12A(1) and 12A(2) read with Section 23D of Securities Contracts (Regulation) Act, 1956 | WTM/AB/MIRSD/MIRSD-SEC-4/21079/2022-23 | 24-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Wakeeta Commercial Private Limited | AABCW4428J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7889 of 2024 | 25-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Active Nirman Private Limited | AAHCA5673K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7952 of 2024 | 30-Sep-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| A. K. Roongta Huf | AAABA9528A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/PU/2022-23/18215-18256 | 11-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Roongta Rising Stock Pvt Ltd | AAACH9471P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/PU/2022-23/18215-18256 | 11-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Anil Kumar Sharma | CGUPS0090N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7983 of 2024 | 15-Oct-2024 | PAN deactivated for opening of new account |
| Mr. Girish Chandra Gupta | AIMPG6205H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 6390 of 2023 | 15-Oct-2024 | PAN deactivated for opening of new account |
| Ms. Bhawani Srivastava | BMHPS8135C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 6390 of 2023 | 15-Oct-2024 | PAN deactivated for opening of new account |
| Jagadeesan S | BDDPJ0903L | Under Sections 11(1), 11(4), 11B(1) 11B(2) And 11(4A) of SEBI Act, 1992 read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | QJA/GR/SRO/SRO/29770/2023-24 | 16-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Nitu Marethia | BZAPM0098N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7348 of 2023 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Satyendra Singh | CDDPS5928P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 1914 of 2019 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Afazar Khan | CLHPK5064N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 1914 of 2019 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Santosh Pawar | BHIPP4346J | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3022 of 2020 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Abadh Kumar Singh | CBIPS7345R | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3032 of 2020 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Adamant Infrastructures Limited | AAKCA5452F | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3314 of 2021 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Ms. Soma Sharma | BCVPS0290L | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 4297 of 2021 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Active Rural Development India Limited | AAJCA8863D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4759 of 2022 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Ms. Vandana Saha | DFDPS3198A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4759 of 2022 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Shri Dilip Kumar Diwakar | ABGPD2004E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4759 of 2022 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Shri Atendra Kumar | ASKPK2385C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4760 of 2022 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Option One Industries Ltd | AAHCA6607P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4807 of 2022 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Shri Vivek Chaurasia | AHVPC3063F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4807 of 2022 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Shri Vinod Kumar Shrivastava | CMCPS8260C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4807 of 2022 | 16-Oct-2024 | PAN deactivated for opening of new account |
| M/S. Loftier Investment Advisor and Research Pvt. Ltd | AADCL2283J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7760 of 2024 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Life Care Infrastructures India Limited | AACCL2342M | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3160 of 2020 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Pragya Dairies and Agro Limited | AAFCP3908E | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3137 of 2020 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Jitendra Kumar | DGXPXK9360P | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3030 of 2020 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Mahendrabhai Panchal | CTNPP8328L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7271 of 2023 | 16-Oct-2024 | PAN deactivated for opening of new account |
| M/s.Billionaire Solution (Sole Proprietor Mr. Akash Jaiswal | BVPPJ3417H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7397 of 2023 | 16-Oct-2024 | PAN deactivated for opening of new account |

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| Shri Rajesh Nath | EDRPR4810K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7544 of 2024 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Shri Shubham Rai | DXSPR9776L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7544 of 2024 | 16-Oct-2024 | PAN deactivated for opening of new account |
| M/s.Sanchi Research Proprietor- Mr. Ramgopal Set | NSSPS1252P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7670 of 2024 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Mr. Ramgopal Set | NSSPS1252P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7671 of 2024 | 16-Oct-2024 | PAN deactivated for opening of new account |
| Y P Enterprises | AABFY3695C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8033 of 2024 | 17-Oct-2024 | PAN deactivated for opening of new account |
| AMS Powertronic Private Limited | AAECA8718H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8028 of 2024 | 17-Oct-2024 | PAN deactivated for opening of new account |
| Columbia Sales | DAIPS9917R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8027 of 2024 | 17-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ram Prakash & Its proprietorship firm viz. Khan Enterprise Aggarwal Traders | AXFPR4439L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8030 of 2024 | 17-Oct-2024 | PAN deactivated for opening of new account |
| Shashank Tekriwal | ADGPT9294C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7052 of 2023 | 21-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Hem Chand Gupta | AAKPG2688Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7212 of 2023 | 21-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sikhar Infrastructure Private Limited | AAHCS7579Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7223 of 2023 | 21-Oct-2024 | PAN deactivated for opening of new account |
| Jayanti Singh | DLZPS9807N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7255 of 2023 | 21-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Gopal Hari Agarwal | AETPA7820D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7315 of 2023 | 21-Oct-2024 | PAN deactivated for opening of new account |
| Jatin Ahuja | AGDPA4644F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7320 of 2023 | 21-Oct-2024 | PAN deactivated for opening of new account |
| Mr. Rajat Mishra | GUUPM8688E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7938 of 2024 | 21-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Hemant Solapurkar | CGJPS6216D | Show Cause Notice under Regulation 25(1) of SEBI (Intermediaries) Regulations, 2008 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29102/4/2024 | 21-Oct-2024 | PAN deactivated for opening of new account |
| M/s Ripples Advisory Private Limited | AACCR5455P | Show Cause Notice under Regulation 25(1) of SEBI (Intermediaries) Regulations, 2008 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29102/1/2024 & SEBI/EAD-5/AN/RG/29102/2/2024 | 21-Oct-2024 | PAN deactivated for opening of new account |
| Hemant Solapurkar | CGJPS6216D | Show Cause Notice under Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29107/4/2024 | 21-Oct-2024 | PAN deactivated for opening of new account |
| M/s Ripples Advisory Private Limited | AACCR5455P | Show Cause Notice under Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29107/1/2024 & SEBI/EAD-5/AN/RG/29107/2/2024 | 21-Oct-2024 | PAN deactivated for opening of new account |
| Sanwaria Investment Consultants Pvt Ltd | AAQCS7850M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7346 of 2023 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Dinesh Singh Bhadoriya | AJPB1169R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 1914 of 2019 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Sunil Kumar | AWTPK1049A | Notice of Demand under rule 2 of the second schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 (As amended by the Securities Laws (Amendment) Act, 2014 read with Section 222 of the IT Act, 1961 | Certificate No. 3032 of 2020 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Sanjay Gupta | ABUPG5799B | Notice of Demand under rule 2 of the second schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 (As amended by the Securities Laws (Amendment) Act, 2014 read with Section 222 of the IT Act, 1961 | Certificate No. 3256 of 2021 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Neesa Technologies Limited | AABCG5430A | Notice of Demand under rule 2 of the second schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 (As amended by the Securities Laws (Amendment) Act, 2014 read with Section 222 of the IT Act, 1961 | Certificate No. 3256 of 2021 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Kamendra Joshi | ABIPJ6029P | Notice of Demand under rule 2 of the second schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 (As amended by the Securities Laws (Amendment) Act, 2014 read with Section 222 of the IT Act, 1961 | Certificate No. 3256 of 2021 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Yogesh Ghisumal Gemawat | ADVPG4051D | Notice of Demand under rule 2 of the second schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 (As amended by the Securities Laws (Amendment) Act, 2014 read with Section 222 of the IT Act, 1961 | Certificate No. 3256 of 2021 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Mr. Anand Mohan | AQYPM7781N | Notice of Demand under rule 2 of the second schedule to the Income Tax Act, 1961 read with Section 28A of the SEBI Act, 1992 (As amended by the Securities Laws (Amendment) Act, 2014 read with Section 222 of the IT Act, 1961 | Certificate No. 3314 of 2021 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Mr. Prashant Sharma | ARWPS6298R | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 4297 of 2021 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Nilesh Upadhyay | AAHPU7040F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4591 of 2022 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Shri Bipin Singh Yadav | ABOPY8869L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4760 of 2022 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Shri Rajkumar Yadav | AHWPY1598A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4760 of 2022 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Shri Siba Prosad Ghosh | AJWPG8924E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4760 of 2022 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Shri Anuj Jain | ALIPJ5869M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4807 of 2022 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Shri Rajendra Agarwal | AESPA2305N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 4807 of 2022 | 22-Oct-2024 | PAN deactivated for opening of new account |
| RFL International Limited | AAACR9384B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7276 of 2023 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Amit Tripathi | AXPPT8469C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7760 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjoy Kumar Maiti | APQPM8659A | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3160 of 2020 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Sujit Mitra | AJNPM1411D | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3160 of 2020 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Partha Pratim Ghosh | ADPPG0695P | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3160 of 2020 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Banabarilal Lodhi | ACAPL9691A | Notice of Demand under rule 2 of the second schedule to the Income Tax Act,1961 read with Section 28A of the SEBI Act,1992 (As amended by the Securities Laws (Amendment) Act,2014 read with Section 222 of the IT Act,1961 | Certificate No. 3030 of 2020 | 22-Oct-2024 | PAN deactivated for opening of new account |
| Shrenik Shah | ACQPS5387Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7732 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Anurag Srivastava | BWTPS4387N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7750 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Lokendra Jain | AJDPJ2709F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7750 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sandeep Shriwas | FFDPS9776G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7750 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Vijay Singh Tomar | AMJPT3407D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7750 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Anurag Srivastava | BWTPS4387N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7751 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Lokendra Jain | AJDPJ2709F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7751 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sandeep Shriwas | FFDPS9776G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7751 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Vijay Singh Tomar | AMJPT3407D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7751 of 2024 | 22-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Anamika Pandey | BNMPP8375B | Show Cause Notice under Regulation 25(1) of SEBI (Intermediaries) Regulations,2008 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29102/6/2024 | 25-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Balvinder Dhillon | CHCPD0925J | Show Cause Notice under Regulation 25(1) of SEBI (Intermediaries) Regulations,2008 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29102/10/2024 | 25-Oct-2024 | PAN deactivated for opening of new account |
| Anamika Pandey | BNMPP8375B | Show Cause Notice under Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29107/6/2024 | 25-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Balvinder Dhillon | CHCPD0925J | Show Cause Notice under Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of Ripples Advisory Private Limited - SEBI Registered IA | SEBI/EAD-5/AN/RG/29107/10/2024 | 25-Oct-2024 | PAN deactivated for opening of new account |
| TOPLINE FABRICS PRIVATE LIMITED | AAACO7265J | Under section 15-I (3) of SEBI Act, 1992 | WTM/ASB/IVD/ID2/19296/2022-23 | 28-Oct-2024 | PAN deactivated for opening of new account |

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| Sheetal Gupta | AIDPG5053A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7070 of 2023 | 29-Oct-2024 | PAN deactivated for opening of new account |
| Devinder Kumar | BCFPK2068C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7211 of 2023 | 29-Oct-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| DAYA BUILDERS PRIVATE LIMITED | AAACD3040L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8144 of 2024 | 31-Oct-2024 | PAN deactivated for opening of new account |
| TOPLINE FABRICS PRIVATE LIMITED (NOW KNOWN AS M.A. MARKET SERVICES PRIVATE LIMITED) | AAAC07265J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8143 of 2024 | 31-Oct-2024 | PAN deactivated for opening of new account |
| SUNIL KUMAR LODHA HUF | AARHS9086F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ORDER NO. Order/SM/S./2023-24/27833 | 4-Nov-2024 | PAN deactivated for opening of new account |
| Weird Infrastructure Corporation Limited | AAACW9754E | A SCN No. SEBI/NRO/SCN/SR/P/OW/2024/26601/1 dated August 22, 2024 in the matter of Weird Infrastructure Corporation Limited | SEBI/NRO/P/OW/2024/28542/3 & SEBI/NRO/P/OW/2024/28542/4 | 4-Nov-2024 | PAN deactivated for opening of new account |
| Mr Jadunath Jena | ANUPJ8465D | A SCN No. SEBI/NRO/SCN/SR/P/OW/2024/26601/1 dated August 22, 2024 in the matter of Weird Infrastructure Corporation Limited | SEBI/NRO/P/OW/2024/28542/5 | 4-Nov-2024 | PAN deactivated for opening of new account |
| Sunrise Legal Advisor and Consultant Private Limited | AANCS2863F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7993 of 2024 | 5-Nov-2024 | PAN deactivated for opening of new account |
| Sri Jagannath Alloys Pvt Ltd | AAHCS0386H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7359 of 2023 | 5-Nov-2024 | PAN deactivated for opening of new account |
| Paramdham Vinimay Pvt Ltd | AAECP9398K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7296 of 2023 | 5-Nov-2024 | PAN deactivated for opening of new account |
| Kaustav Construction Private Limited | AACCK4103F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8001 of 2024 | 5-Nov-2024 | PAN deactivated for opening of new account |
| Ameet Singh | BWIPS3911H | Notice of hearing in the matter of RE INDIA INFRATECH LIMITED | SEBI/NRO/GN/SR/OW/HN/2024/31533/1 | 6-Nov-2024 | PAN deactivated for opening of new account |
| CHP Finance Private Limited | AABCC5031H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8050 of 2024 | 7-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Frontline Biosystems Ltd. | AAACF3149C | Under Section 15-I of SEBI Act, 1992 read with Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/SL/AO/DRK-DS/EAD3-517-527/ 61-71-2014 | 8-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| SWATI GUPTA | AAOPG1125C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8172 of 2024 | 8-Nov-2024 | PAN deactivated for opening of new account |
| FUTURESTIC INTERNATIONAL PRIVATE LIMITED | AABCF2582N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8141 of 2024 | 8-Nov-2024 | PAN deactivated for opening of new account |
| Mohan Garg & his Proprietorship firm viz. Garg Traders & Suppliers | AVHPG5782H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8032 of 2024 | 8-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| GAURAV BHATIA AND SONS HUF | AAGHG6952F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8094 of 2024 | 8-Nov-2024 | PAN deactivated for opening of new account |
| Subhrekha Dealmark Pvt Ltd | AARCS6606E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7992 of 2024 | 11-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Pushpa Devi Agarwal | ACIPA1867D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7363 of 2023 | 11-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| MC Securities Ltd | AAICM8785F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7292 of 2023 | 11-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Manas Mercantile Pvt Ltd | AAECM7068B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7291 of 2023 | 11-Nov-2024 | PAN deactivated for opening of new account |
| BH Shah HUF | AAKHS2700K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/AS/2023-24/29352-29356 | 11-Nov-2024 | PAN deactivated for opening of new account |
| Bakul Garments LLP | AAQFB5938G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/AN/HP/2023-24/29914-29945 | 12-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Namita Aggarwal | AYFPA9042F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7336 of 2023 | 12-Nov-2024 | PAN deactivated for opening of new account |
| Capacious Farming Private Limited | AAECC3138N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7543 of 2024 | 12-Nov-2024 | PAN deactivated for opening of new account |
| Gaurav Yadav | ACQPY5145E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7543 of 2024 | 12-Nov-2024 | PAN deactivated for opening of new account |
| Gurbakhsh Singh | BCLPS1117G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7543 of 2024 | 12-Nov-2024 | PAN deactivated for opening of new account |
| Eco Friendly Food Processing Park Ltd. | AACCE0416B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8022 of 2024 | 12-Nov-2024 | PAN deactivated for opening of new account |
| Jitendra Kumar Tiwari | ALBPT6629A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8062 of 2024 | 12-Nov-2024 | PAN deactivated for opening of new account |
| Neelkamal Dealcom P. Ltd. | AACCN9621P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 14-Nov-2024 | PAN deactivated for opening of new account |

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| Pushpanjali Hirise Pvt. Ltd. | AAECP8654J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/35-56/2017 | 14-Nov-2024 | PAN deactivated for opening of new account |
| Romil B Shah | ARAPS8707R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/AS/2023-24/29352-29356 | 18-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Mrityunjay Kumar | CDEPK3717K | Under Sections 11(4), 11(B)(1), 11(4A) And 11(B)(2) of the SEBI Act, 1992 Read with Regulation 3(1) of the SEBI (Investment Advisers) Regulations, 2013 and Under Section 15-I of The SEBI Act, 1992 Read with Rule 5 of The SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | QJA/AA/NRO/30187/2023-24 | 18-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sarbjit Singh | AAJPS3797D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7304 of 2023 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Munna Lal Agarwal | ABMPA7898P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7335 of 2023 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Muskaan Arora | CLKPM2478P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7337 of 2023 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Satendra Kumar & his Proprietorship firm viz. Bright Securities, A R Enterprise, Nisha Traders | AWWPK8525E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7074 of 2023 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Satendra Kumar & his Proprietorship firm viz. Bright Securities, A R Enterprise, Nisha Traders | AWWPK8525E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8020 of 2024 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s. Goldline International Finvest Ltd. | AACCG6377M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8021 of 2024 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Sumit Kumar & its Proprietorship firm viz. Vijay Bhagwandas & Co., Durga Prasad & Co. | ARUPK1589P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8024 of 2024 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Anil Kumar Garg HUF | AAKHA1804N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8025 of 2024 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Deva Nand Aggarwal HUF | AAIHD6045G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8026 of 2024 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Fern Healthcare Private Limited | AACCR3509E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8058 of 2024 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Modland Wears Pvt. Ltd | AAACM0216F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8059 of 2024 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Awanish Kumar Mishra | AGOPM7538H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8061 of 2024 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kamla Jain | AEYPJ0761M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8063 of 2024 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Radhika Nevatia | AAUPN5467E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8091 of 2024 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sumpoorna Portfolio Limited | AAOCS8998N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8133 of 2024 | 19-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Prem Shankar | DYLP52734D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8132 of 2024 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Banwari Lal Arora HUF | AAAHB9543D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7020 of 2023 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Brain Strong Consulting Ltd. (Earlier Wellindia Securities Ltd.) | AABCR5196G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7016 of 2023 | 19-Nov-2024 | PAN deactivated for opening of new account |
| Atlas Jewellery Private Limited | AAGCA8260K | Under Sections 11 (1), 11(4) and 11B (1) of the Securities and Exchange Board of India Act, 1992 read with Section 12A of the Securities Contracts (Regulation) Act, 1956 | WTM/MB/CFD/DCR/12284A/2021-22 | 22-Nov-2024 | PAN deactivated for opening of new account |
| Mr. Mangilal Verma | AUYPV2775R | Notice of personal hearing in the matter of Epic Traders, Proprietor - Mr. Mangilal Verma | SEBI/WRO/ILO/NKS/OW/P/34015/1/2024 | 22-Nov-2024 | PAN deactivated for opening of new account |
| International Diamond Services Ltd. | AAACI0202K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BM/AO- 18 /2010 | 25-Nov-2024 | PAN deactivated for opening of new account |
| Epic Traders, Proprietor – Mr. Mangilal Verma | AUYPV2775R | Notice of personal hearing in the matter of Epic Traders, Proprietor - Mr. Mangilal Verma | SEBI/WRO/ILO/NKS/OW/P/34014/1/2024 | 25-Nov-2024 | PAN deactivated for opening of new account |
| Sukhwinder Singh | CUEPS1687K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 3165 of 2020 | 28-Nov-2024 | PAN deactivated for opening of new account |
| Gurwinder Singh | CLEPS7179D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 3165 of 2020 | 28-Nov-2024 | PAN deactivated for opening of new account |
| Kuldeep Singh | BQXPS7815M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 3165 of 2020 | 28-Nov-2024 | PAN deactivated for opening of new account |
| Mahavir Singh | CRRPS1400A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 3165 of 2020 | 28-Nov-2024 | PAN deactivated for opening of new account |
| Sukhchain Singh | COUPS1306H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 3165 of 2020 | 28-Nov-2024 | PAN deactivated for opening of new account |
| Prince Rana | AQXPR2438L | Under Sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/KV/EPD/DRA1/30326/2024-25 | 29-Nov-2024 | PAN deactivated for opening of new account |

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| Mr. Anil Kumar Agarwal | ADSPA9050B | Order under the SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Email based SCN dated 27-09-2006 | 29-Nov-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Gaungour Suppliers Private Limited | AADCG6785A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AA/AR/2020-21/8690-8721 | 03-Dec-24 | PAN deactivated for opening of new account |
| PARTHASARTHI ADHIKARI | AHPPA3304H | Under Sections 11, 11(4) and 11B of the SEBI Act, 1992 | WTM/KV/EPD/DRA1/30326/2024-25 | 5-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| CHIRAG SHAH | ADIPS2660P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKK/AO/191/2011 | 6-Dec-2024 | PAN deactivated for opening of new account |
| Money Mishra Financial Services | AAZFM1357R | Under Sections 11(1), 11(4), 11(B)(1), 11(4A) and 11(B)(2) of the SEBI Act, 1992 Read with Regulation 3 of the SEBI (Portfolio Managers) Regulations, 1993 Read with Rule 5 of the SEBI (Procedure for Holding Inquiry And Imposing Penalties) Rules, 1995. | QJA/AA/IMD/IMD-SEC-1/30316/2024-25 | 6-Dec-2024 | PAN deactivated for opening of new account |
| Himanshu Arora | AUXPA3314Q | Under Sections 11(1), 11(4), 11(B)(1), 11(4A) and 11(B)(2) of the SEBI Act, 1992 Read with Regulation 3 of the SEBI (Portfolio Managers) Regulations, 1993 Read with Rule 5 of the SEBI (Procedure for Holding Inquiry And Imposing Penalties) Rules, 1995. | QJA/AA/IMD/IMD-SEC-1/30316/2024-25 | 6-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Gulistan Vanija Pvt Ltd | AACCG5385R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AA/AR/2020-21/8690-8721 | 9-Dec-2024 | PAN deactivated for opening of new account |
| Janvi Tanvi Share Traders Private Limited | AACCG0062C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-3/VKV/GSS/2019-20/6861 - 6869 | 9-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Heranba Finvest Services Private Limited | AABCH4523R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AA/AR/2020-21/8690-8721 | 9-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| South Asia Portfolios Private Limited | AABCS5265M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-3/VKV/GSS/2019-20/6861 – 6869 | 9-Dec-2024 | PAN deactivated for opening of new account |
| Sukusama Trading and Investments Private Limited | AAACS5142E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AA/AR/2020-21/8690-8721 | 9-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ranisati Dealer Pvt Ltd | AADCR7368C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AA/AR/2020-21/8690-8721 | 9-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Nikita Enterprise Private Ltd | AADCN8108N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SM/MG/2020-21/10579-10580 | 9-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Usha Gupta | BDJPG6082P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7961 of 2024 | 13-Dec-2024 | PAN deactivated for opening of new account |
| Sunbright Commodities Trade Private Limited | AAOCS7903F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8146 of 2024 | 13-Dec-2024 | PAN deactivated for opening of new account |
| Ghar Aangan Distributors Private Limited | AAECG1347F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8211 of 2024 | 13-Dec-2024 | PAN deactivated for opening of new account |
| Bina Singhania | AJAPS0361D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8002 of 2024 | 13-Dec-2024 | PAN deactivated for opening of new account |
| Atul Tanna HUF | AAJHA7611L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8106 of 2024 | 13-Dec-2024 | PAN deactivated for opening of new account |
| Appu Marketing and Manufacturing Limited (Now known as Ejecta Marketing Limited) | AAFCA1262N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8209 of 2024 | 13-Dec-2024 | PAN deactivated for opening of new account |
| Saraswati Sales Pvt Ltd | AACMS9183M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/VV/NK/2023-24/27773 | 13-Dec-2024 | PAN deactivated for opening of new account |
| Devbhum Suppliers Pvt Ltd | AADCD0686P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8057 of 2024 | 16-Dec-2024 | PAN deactivated for opening of new account |
| Gokul Retailers Pvt Ltd | AAECG5218M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7323 of 2023 | 16-Dec-2024 | PAN deactivated for opening of new account |
| Golden Bull Research and Growth Ltd | AABCK1567G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8085 of 2024 | 16-Dec-2024 | PAN deactivated for opening of new account |
| Mithilesh Kumar Jha | AUWPJ3225E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8120 of 2024 | 16-Dec-2024 | PAN deactivated for opening of new account |
| Nalini Sanwal | AVZPS6488G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8100 of 2024 | 16-Dec-2024 | PAN deactivated for opening of new account |
| Nufact Merchandise Pvt Ltd | AADCN7274M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7289 of 2023 | 16-Dec-2024 | PAN deactivated for opening of new account |
| Welkin Investment Consultants Private Limited | AAACW8385R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7999 of 2024 | 19-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Uttam Dealers Private Limited | AABCU1506F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8000 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Seva Bhawan Private Limited | AALCS6093F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8167 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Pratyosh Kumar Mishra | AKIPM9260E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8102 of 2024 | 19-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |

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| Mastery Marketing Private Limited | AAGCM5438B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8147 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Khera Motor Finance Private Limited | AAAFK8628A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7998 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Jayanta Fanzen Lighting Industries Private Limited | AABCJ6752E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8212 of 2024 | 19-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Devalaya Commercial Private Limited | AACCD8763E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8055 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Mr. Kamal Jitendra Katkoria | AHCPK7426P | Under sections 11B and 11(4) of SEBI Act, 1992 | WTM/MPB/SEBI/EFD/DRA-3/ 05 /2018 | 19-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Soundlight Projects Private Limited | AAOCS7375K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7979 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Worldwealth Dealtrade Private Limited | AABCW2566K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7990 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Karabi Dealers Private Limited | AAECK2819G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8003 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Kirteshwari Vintrade Private Limited | AAECK6455C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8004 of 2024 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Sanjay Kantibhai Baria | ANFPB6460K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 2338 of 2019 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Ratnaram B Rabari | ADIPR9087A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 2608 of 2019 | 19-Dec-2024 | PAN deactivated for opening of new account |
| Himalayan Investment Consultants Pvt Ltd | AACCH1627F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8138 of 2024 | 20-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Krishna Kumar Jhunjhunwala HUF | AAJHK7693C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8098 of 2024 | 20-Dec-2024 | PAN deactivated for opening of new account |
| Meena Agarwal | ACGPA2691H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8123 of 2024 | 20-Dec-2024 | PAN deactivated for opening of new account |
| Primary Iron Traders Pvt Ltd | AAFCP8289C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8218 of 2024 | 20-Dec-2024 | PAN deactivated for opening of new account |
| SARSWATI SALES PVT LTD | AACMS9183M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/VV/NK/2023-24/27773 | 20-Dec-2024 | PAN deactivated for opening of new account |
| Prakash Kumar Ramuka | AICPR0141F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8097 of 2024 | 23-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Sulochana Dalmia | AAXPD9770F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7977 of 2024 | 26-Dec-2024 | PAN deactivated for opening of new account |
| Queen Tie-Up Private Limited | AAACQ1691G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8086 of 2024 | 26-Dec-2024 | PAN deactivated for opening of new account |
| Crest Vanijiya Private Limited | AADCC5615P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8096 of 2024 | 26-Dec-2024 | PAN deactivated for opening of new account |
| Sonali Suppliers Private Limited | AADCS5722R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8152 of 2024 | 26-Dec-2024 | PAN deactivated for opening of new account |
| Usha Bansal | ADJPB3183D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8153 of 2024 | 26-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Ratnaram Bhavaram Choyal | AELPC5083L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 2609 of 2019 | 26-Dec-2024 | PAN deactivated for opening of new account |
| Henal Hemantbhai Shah | EXHPS9436L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 2876 of 2020 | 26-Dec-2024 | PAN deactivated for opening of new account |
| HINA VIJAYKUMAR VORA | AFEPV5742H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AK/AO- 15-20 /2015 | 27-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Smart Infraproperties Private Limited | AAQCS6937F | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/ASB/CFID/CFID-SEC4/30859/2024-25 | 31-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Milind Madhukar Palav | BOLPP8040R | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/ASB/CFID/CFID-SEC4/30859/2024-25 | 31-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Videocon Realty and Infrastructures Ltd | AAACV2303E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8108 of 2024 | 31-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitendra J Shah | ACIPS7085F | Under Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Email based SCN dated 29-06-2004 | 31-Dec-2024 | Demat account Frozen & PAN deactivated for opening of new account |
| Kuber Capital | BTGPM3916H | Under Sections 11(1), 11(4), 11(B)(1), 11(4A) and 11(B)(2) of the SEBI Act, 1992 Read with Regulation 3(1) of the SEBI (Investment Advisers) Regulations, 2013 | QJA/AA/WRO/WRO/22645/2022-23 | 31-Dec-2024 | PAN deactivated for opening of new account |
| Raghukul Shares India Private Limited | AAECR3716R | Under Sections 11(1), 11(4), 11B(1) and 11 D of the SEBI Act, 1992 | WTM/SM/MIRSD/ MIRSD-SEC-4/26976/2023-24 | 6-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitendra J shah | ACIPS7085F | Under Rule 5(1) of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Email based SCN dated 29-06-2004 | 6-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Aromatic Tie Up Pvt. Ltd. | AAKCA2392Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8309 of 2024 | 7-Jan-2025 | PAN deactivated for opening of new account |
| Namaskar Dealcom Private Limited | AACCN8485F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8341 of 2024 | 7-Jan-2025 | PAN deactivated for opening of new account |
| Swati Bajaj | BSMPS0016F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8353 of 2024 | 7-Jan-2025 | PAN deactivated for opening of new account |

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| Vivek Company | ADYPG3727C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8236 of 2024 | 7-Jan-2025 | PAN deactivated for opening of new account |
| Saloni Ruia | BFSPR4717P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8383 of 2024 | 8-Jan-2025 | PAN deactivated for opening of new account |
| Chetan Manwani HUF | AADHC6879C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8409 of 2024 | 8-Jan-2025 | PAN deactivated for opening of new account |
| Topline Fabrics Private Limited | AAACO7265J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8449 of 2024 | 8-Jan-2025 | PAN deactivated for opening of new account |
| Subhra Garg | BCNPQ7383N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8453 of 2024 | 8-Jan-2025 | PAN deactivated for opening of new account |
| Amreen Sukhyani | ATUPS9251J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8360 of 2024 | 8-Jan-2025 | PAN deactivated for opening of new account |
| Ratanlal Mardia | AJTPM2056N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/GR/KG/2019-20/7154-7157 | 10-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Kollenmareth Oomman Isaac | AAAPI2428J | Under sections 11 and 11B of the Securities and Exchange Board of India Act, 1992 | WTM/SKM/EFD1/DRAI/02/2019-20 | 10-Jan-2025 | PAN deactivated for opening of new account |
| Pigeon Construction Pvt Ltd | AACCP6748B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Securities Contract (Regulation) Act, 1956 read with Rule 3 of Securities Contract (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | Order/AA/2022-23-17652-17670 | 10-Jan-2025 | PAN deactivated for opening of new account |
| Atul Mardia | ADYPM7131K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/KG/2019-20/7154-7157 | 10-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sanjay Rai Malhotra | AAQPM1219H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Securities Contract (Regulation) Act, 1956 read with Rule 3 of Securities Contract (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | Order/KS/AE/2021-22/14578-14585 | 10-Jan-2025 | PAN deactivated for opening of new account |
| RAGHAV CAPITAL AND INFRASTRUCTURE | AAECR1541A | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ORDER/GR/RR/2020-21/9536-9539 | 13-Jan-2025 | PAN deactivated for opening of new account |
| M/s. Bourse India Investment Advisor | AANFB7695P | Under Sections 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the SEBI Act, 1992 Read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | QJA/KS/WRO/WRO/28945/2023-24 | 13-Jan-2025 | PAN deactivated for opening of new account |
| NIRMALA RAGHAV | AHWPN9045N | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ORDER/GR/RR/2020-21/9536-9539 | 13-Jan-2025 | PAN deactivated for opening of new account |
| Faithful Cloth Merchants Private Limited | AABCF6670P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8344 of 2024 | 13-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Glorious Vincom Private Limited | AADCG3846Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8345 of 2024 | 13-Jan-2025 | PAN deactivated for opening of new account |
| Malcom Suppliers Private Limited | AAHCM6553G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8371 of 2024 | 13-Jan-2025 | PAN deactivated for opening of new account |
| Nemichand Kasturchand Jain | ABBPJ2880M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8395 of 2024 | 14-Jan-2025 | PAN deactivated for opening of new account |
| Magus Cars Limited | AAGCM2757D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8382 of 2024 | 14-Jan-2025 | PAN deactivated for opening of new account |
| Virender Pal Mehta | AGJPM6202B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8454 of 2024 | 14-Jan-2025 | PAN deactivated for opening of new account |
| SSE Commodities Private Limited | AAKCS7804F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8251 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Deepak Goel HUF | AAHHD4142B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8358 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Pankaj Goel | ACTPG7828D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8390 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Carona Infra Projects Private Limited (Now known as Alright Mercantile Private Limited) | AADCC4915E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8394 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Vivek Aggarwal | AGFPA8312C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8452 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8372 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8373 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8374 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8375 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8376 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8377 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8378 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kirtidan K Gadhavi | AJAPG0901B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8379 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8372 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8373 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8374 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8375 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8376 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8377 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8378 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashish Panalal Shah | AJRPS7737C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8379 of 2024 | 15-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Shenkey Chaurasia | ATJPCS720H | Under Sections 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the SEBI Act,1992 Read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | QJA/KS/WRO/WRO/28945/2023-24 | 17-Jan-2025 | PAN deactivated for opening of new account |
| MR. PANKAJ KHANCHANDANI | AXTPK1590M | Under Sections 11(1), 11(4), 11B and 11D of the SEBI Act, 1992 | WTM/ASB/WRO/WRO/19310/2022-23 | 17-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| PACL Ltd. | AAACP4032A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/KG/2019-20/3559 | 17-Jan-2025 | PAN deactivated for opening of new account |
| Fastglow Distributors Private Limited | AABCF8592J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8286 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Kirti Tracon Private Limited | AABCK8634L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8367 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Ecospace Distributors Pvt. Ltd. | AACCE9351K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8285 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Jyotiraditya Vincom Pvt. Ltd. | AACCI5456G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8365 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Daredevil Agencies Pvt. Ltd. | AADCD5746L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8288 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Versatile Saree Trader Pvt. Ltd | AADCV5277G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8272 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Vidyavaridhi Dealtrade Pvt. Ltd. | AADCV5566C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8252 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| SK Agarwal and Sons HUF | AAJHS6712E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8354 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Sanjay Shastri HUF | AAYHS3088Q | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8355 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Sangita Karmakar | AYWPK0382M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8364 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| Minakshi Agarwal | BMXPA3387E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8363 of 2024 | 21-Jan-2025 | PAN deactivated for opening of new account |
| M/S CREFIN INDIA MANAGEMENT P.LTD | AAHCC3377N | Under Sections 11 and 11B of the SEBI Act, 1992 | WTM/AN/WRO/WRO/29258/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |
| UDAY INTELLICALL PVT. LTD. | AACCU2196G | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/AB/WRO/WRO/26445/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |
| MR. RAJAT SARAF | IUKPS1044F | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/AB/WRO/WRO/26445/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |
| MS. KALPANA JAIN | ASAPJ7659J | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/AB/WRO/WRO/26445/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |
| Indo global Financial Services and Consultancy Pvt. Ltd. | AADCI0022Q | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/AB/NRO/NRO/27876/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |
| Tilak Bhardwaj | ALOPB7339L | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/AB/NRO/NRO/27876/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |
| MUSE TRADERS | BERPR1999P | Under Sections 11(1), 11(4) and 11B of the SEBI Act,1992 Read with Regulation 3(1)of the SEBI (Investment Advisers) Regulations, 2013 | QJA/GG/QJC/QJC-2/26152/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |

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| PURE TRADERS | BBOPJ6519H | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 Read with Regulation 3(1) of the SEBI (Investment Advisers) Regulations, 2013 | QJA/GG/QJC/QJC-2/26152/2023-24 | 22-Jan-2025 | PAN deactivated for opening of new account |
| STANDARD CHARTISTS - PROPRIETOR MR. HEET MANISH | EWEPEB1991R | Under Sections 11(1), 11(4), 11B and 11D of the SEBI Act, 1992 Read with Regulation 3(1) of the SEBI (Investment Advisers) Regulations, 2013 | QJA/AA/WRO/WRO/30066/2023-24 | 23-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| ANANT RATHORE | AZQPR2305G | Under Sections 11(1), 11(4), 11(B)(1), 11(4A) and 11(B)(2) of the SEBI Act, 1992 | WTM/AB/WRO/WRO/25157/2022-23 | 23-Jan-2025 | PAN deactivated for opening of new account |
| W Gain Research and Development Proprietor Shri | AWVPN0180D | Under Sections 11(1), 11(4), 11(4A), 11(B)(1), 11(B)(2) and 11D of the SEBI Act, 1992 Read with Rule 4(1) of the SEBI (Procedure for Holding Inquiry And Imposing Penalties) Rules, 1995. | QJA/SVMMDR/WRO/WRO/29848/2023-24 | 23-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| RAJ KUMAR RAGHAV | AJIPR8427K | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ORDER/GR/RR/2020-21/9536-9539 | 24-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| SANCHI RESEARCH PROPRIETOR RAMGOPAL SET | NSSPS1252P | Under Sections 11(1), 11(4), 11(B)(1), 11(B)(2) and 11D of the SEBI Act, 1992 Read with SEBI (Procedure for Holding Inquiry And Imposing Penalties) Rules, 1995. | WTM/SM/WRO/WRO/24649/2022-23 | 24-Jan-2025 | PAN deactivated for opening of new account |
| Dwitiya Trading Limited | AABCB4470B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8348 of 2024 | 27-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Newedge Vinimay Pvt. Ltd. | AADCN0586A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8054 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Pedestal Infra Tech Services Pvt. Ltd. | AABCU1005D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8054 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Expertise Vintrade Pvt. Ltd. | AACCE9181F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8289 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Cosmos Distributors Pvt. Ltd. | AADCC8835H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8287 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Kinetic Vyapaar Pvt. Ltd. | AAECK2586N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8253 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Neeraj Gandhi HUF | AAEHN7129R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8366 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Rudramukhi Infrastructure Pvt. Ltd. | AAGCR0172D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8343 of 2024 | 27-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Surendra Sharma | AAJFN3554B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8271 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Satellite Infraprojects Pvt. Ltd. | AAPCS2265R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8310 of 2024 | 27-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Saru Trading Co. | ACNFS2256B | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8351 of 2024 | 27-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s R. Foundry, Proprietor Shri Rajesh Agarwal | AEKPA8540F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8160 of 2024 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Roshni Kumari | DMEPK3997P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8244 of 2024 | 27-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| PREVIEW VINIMAY PRIVATE LIMITED | AAFCP5386A | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/GN/2024-25/30872 | 27-Jan-2025 | PAN deactivated for opening of new account |
| Shri Ameet Savant Proprietor of Ventura | AOAPS0373N | Under Sections 11 and 11B of the SEBI Act, 1992 | WTM/AN/WRO/WRO/29258/2023-24 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| MR.JEEVENDRA KUMAR PODDAR | AVEPP8927C | Under Sections 11 and 11B of the SEBI Act, 1992 | WTM/AN/WRO/WRO/29258/2023-24 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Shipra Singh | BTKPS6899H | Under Sections 11 and 11B of the SEBI Act, 1992 | WTM/AN/WRO/WRO/29258/2023-24 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Minance Technologies Private Limited (MTPL) | AAKCM6309D | Under Sections 11(1), 11(4), 11B and 11 D of the SEBI Act, 1992 | WTM/AB/SRO/SRO/16539/2022-23 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| CAPITAL GAINS FINANCIAL SERVICES PROPRIETOR MR R N | AKPPN5003M | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/AB/WRO/WRO/21389/2022-23 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| MONEY CLASSIC INVESTMENT ADVISER | BLVPM9284R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/VV/AS/2023-24/29271-29270 | 28-Jan-2025 | PAN deactivated for opening of new account |
| Mr. Sandeep Tiwari | BMAPT1283P | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 Read with Regulation 3(1) of the SEBI (Investment Advisers) Regulations, 2013 | QJA/AA/WRO/WRO/28401/2023-24 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Umesh Kumar Bhambhu | AVTPB7780L | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992 | WTM/AB/NRO/NRO/27876/2023-24 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| SHIVAAY INVESTMENT | BSKPG9592A | Under Sections 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the SEBI Act, 1992 Read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | QJA/KS/WRO/WRO/29694/2023-24 | 28-Jan-2025 | PAN deactivated for opening of new account |

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| MRS RUPABEN K BHATT | BVBPB4487K | Under Sections 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the SEBI Act,1992 Read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | QJA/KS/WRO/WRO/29694/2023-24 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr.Kevinbhai Bhatt | BKIPB0010L | Under Sections 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the SEBI Act,1992 Read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | QJA/KS/WRO/WRO/29694/2023-24 | 28-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| TAJ ENTERPRISES | BWRPK1312F | Under Sections 11(1), 11(4) and 11B of the SEBI Act,1992 Read with Regulation 3(1)of the SEBI (Investment Advisers) Regulations, 2013 | QJA/GG/QJC/QJC-2/26152/2023-24 | 28-Jan-2025 | PAN deactivated for opening of new account |
| Grovalue Securities Private Limited | AAFCG6444C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8396 of 2024 | 29-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| MC COMMODITIES LIMITED | AAICM8924A | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/VV/AS/2023-24/28806-28805 | 30-Jan-2025 | PAN deactivated for opening of new account |
| OM PRAKASH DALMIA | ADKPD7701J | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/HK/2023-24/27927 | 30-Jan-2025 | PAN deactivated for opening of new account |
| Mr. R. Ravichandran | AHUPR6079C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Securities Contract (Regulation) Act, 1956 read with Rule 3 of Securities Contract (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005. | Order/SM/AD/2022-23/16841-16848 | 30-Jan-2025 | PAN deactivated for opening of new account |
| Exelon Infrastructure Ltd. | AAACM2862M | Under section 23I of SCRA, 1956 Section 15I of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 30-Jan-2025 | PAN deactivated for opening of new account |
| YOHANA VYAPAAR PRIVATE LIMITED | AAACY5598E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/VV/JR/2020-21/8582 | 30-Jan-2025 | PAN deactivated for opening of new account |
| GANESHVANI STEEL PRIVATE LIMITED | AAECG5613Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/VV/NK/2023-24/27771 | 30-Jan-2025 | PAN deactivated for opening of new account |
| AUROPLUS MARKETING PRIVATE LIMITED | AAKCA3654M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/AK/VP/2023-24/26511 | 30-Jan-2025 | PAN deactivated for opening of new account |
| Subh Laxmi Trading Co | AOTPA5434M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8462 of 2024 | 30-Jan-2025 | PAN deactivated for opening of new account |
| Subhash Kumar Poddar HUF | AAGHS3174M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8438 of 2024 | 30-Jan-2025 | PAN deactivated for opening of new account |
| FLANKING RESEARCH INVESTMENT ADVISOR PROPRIETOR MR. TARUN CHANDANI | BBDPC8554N | Under Sections 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the SEBI Act,1992 Read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | CGM/GG/QJC2/WRO/WRO/21679/2022-23 | 31-Jan-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Lakhi Packaging Pvt Ltd | AABCL0873C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/MC/DS/2020-21/8114-8141 | 5-Feb-2025 | PAN deactivated for opening of new account |
| TOPTECH ENTERPRISES PRIVATE LIMITED | AAECT6598B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/VV/JR/2019-20/7321 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Srinivas Yadav Sher | AUAPS8547G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Veerabhadra D | ABVPD6656R | Under section 23I of SCRA, 1956 Section 15I of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| MAKKENA RAMA KRISHNA | BCKPK1101P | Under section 23I of SCRA, 1956 Section 15I of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ravi Chakravati | AJXPR4789F | Under section 23I of SCRA, 1956 Section 15I of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| Shaik Ameer Basha | AEZPB3408A | Under section 231 of SCRA, 1956 Section 151 of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nagendra Komera | AOAPK4529E | Under section 231 of SCRA, 1956 Section 151 of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ajitbhai P Vasa | ABTPV8259J | Under section 231 of SCRA, 1956 Section 151 of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Salma Sharafat Khan | BVSPK9348F | Under section 231 of SCRA, 1956 Section 151 of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sharadbhai R Jhaveri | AMIPJ5748G | Under section 231 of SCRA, 1956 Section 151 of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Manish Ratilal Shah | AZMPS2291J | Under section 231 of SCRA, 1956 Section 151 of SEBI Act, 1992 read with Rule 5 of Securities Contracts (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-7/BJD/NJMR/2018-19/1979-2006 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Dwitiya trading limited | AABCB4470B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 and Securities Contract (Regulation) Act, 1956 read with Rule 3 of Securities Contract (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 Read with Section 23-I of the Securities Contract (Regulation) Act, 1956. | Order/GR/KG/2021-22/12617-12619 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| VISHNUBHAI SUNDERLAL PAREKH | ACCP5173B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/AS/2021-22/15779-15780 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Laxmi Manoharlal Chaturvedi | AACPC3302M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/SBM/VS/2022-23/16857-16859 | 5-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. N. Nagesh (Company Secretary of BPSL) | AAQPN1499Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/GR/KG/2021-22/11512 | 5-Feb-2025 | PAN deactivated for opening of new account |
| TOPMOST COMMERCIAL PRIVATE LIMITED | AADCT8675L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/VV/JR/2020-21/7766 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Simple Mining And Power Pvt Ltd | AAJCS0291D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/MC/DS/2020-21/8114-8141 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Ramkrishna Fabrication & Machineries P Ltd. | AADCR0189F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/MC/DS/2020-21/8114-8141 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Krishnum Investments Pvt Ltd | AACCK5953H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/MC/DS/2020-21/8114-8141 | 5-Feb-2025 | PAN deactivated for opening of new account |
| JIT FINANCE PRIVATE LIMITED | AAACJ6647H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/GR/PU/2023-24/29323 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Bestway Dealcom Private Limited | AAECB8238J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8423 of 2024 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Fendra Infrastructure Private Limited | AALCS5336D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8471 of 2024 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Kanak Lata Tibrewal | ACBPT1028L | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8467 of 2024 | 5-Feb-2025 | PAN deactivated for opening of new account |
| Goldline International Finvest Ltd. | AACCG6377M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8518 of 2025 | 6-Feb-2025 | PAN deactivated for opening of new account |

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| Madhukar Dubey & its Proprietorship firm viz. Alliance Traders, N V Sales Corporation, A One Furniture, Magnum Industrial | AIJPD7329J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8023 of 2024 | 6-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Castle Realcon Private Limited | AADCC8189N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8357 of 2024 | 6-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Samarth Fincap Services Private Limited | AABCS7132F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8385 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Fortis Healthcare Holdings Private Limited | AAACF6715A | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8386 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Bluebell Agencies Pvt Ltd | AADCB6654M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8488 of 2025 | 6-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Balley Builders and Developers | AACCB7383E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8374 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Jitney Investments Private Limited | AADCJ0092M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8320 of 2024 | 6-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Shrawan | KVSPS2783B | Corrigendum to Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8322 of 2024 | 6-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashworth Constructions Pvt. Ltd. | AALCA3008J | Corrigendum to Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8323 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Deepika Sharma | HFDP51131F | Corrigendum to Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8373 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Romika | DSZPR7910C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8311 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Romika | DSZPR7910C | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8375 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Ancient Education Pvt. Ltd. | AALCA2837R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8314 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Ancient Education Pvt. Ltd. | AALCA2837R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8372 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Om Consultancy (Proprietor: Suraj Lalji Dubey | CFRPD1265B | Under Sections 11(1), 11(4), 11(4A), 11B, 11B(1) and 11B(2) of SEBI Act, 1992 | QJA/GR/MIRSD/MIRSD-SEC-1/31004/2024-25 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Sanjay Kumar Agarwal HUF | AAZHS0892R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8402 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Mahamani Suppliers Private Limited | AAHCM7170M | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8408 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Kashi Nath Ishar | AASPI2273F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8412 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| Sanjay Kumar Kochar | AKNPK3850F | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8416 of 2024 | 6-Feb-2025 | PAN deactivated for opening of new account |
| ANUGRAH STOCK AND BROKING PVT. LTD. | AAACW2920N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and Under section 23I of Securities Contract (Regulation) Act, 1956 read with Rule 5 of Securities Contract (Regulation) (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 and Under Section 19H of the Depositors Act, 1996 read with Rule 5 of Depositories (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 2005 | Order/PM/SM/2020-21/10794 | 11-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| PANKAJ GOEL | ACTPG7828D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/JR/2022-23/20379-20387 | 11-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| MEENU GOEL | AFSPG7531F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and 23I of Securities Contracts (Regulation) Act, 1956 read with Rule 5 of Securities Contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/JR/2022-23/20379-20387 | 11-Feb-2025 | PAN deactivated for opening of new account |
| Dharmendra Harilal Bhojak | AFAPB7100D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BM/JR/2022-23/19742-19750 | 11-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kaynet Finance Limited | AAACK3482B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by adjudicating officer) Rules, 1995 and section 23-I of Securities Contract regulation act, 1956 read with rule 5 of securities contracts (Regulation)(Procedure for Holding Inquiry and Imposing Penalties by adjudicating officer) rules, 2005 | Order/VV/NK/2021-22/12797 | 11-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| ANIL JATHAN | ADCPJ1922H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by adjudicating officer) Rules, 1995. | Order/AS/RM-AK/2023-24/29701-29717 | 11-Feb-2025 | PAN deactivated for opening of new account |
| BALRAM MUKHERJEE | CIGPM5834A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by adjudicating officer) Rules, 1995. | Order/AS/RM-AK/2023-24/29701-29717 | 11-Feb-2025 | PAN deactivated for opening of new account |
| Smart Infraproperties Private Limited | AAQCS6937F | Show Cause Notice under Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 read with section 15-I of SEBI Act, 1992 in the matter of Cressanda Solutions Ltd. | SEBI/EAD-2/NH/YK/38434/2024 | 12-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Gravity Commodeal Private Limited | AADCG5049H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8404 of 2024 | 12-Feb-2025 | PAN deactivated for opening of new account |
| Minance Technologies Private Limited | AAKCM6309D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7953 of 2024 | 12-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Anurag Bhatia | AVEPB6967G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 7953 of 2024 | 12-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nityanand Thakur | ACDFS3492D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8270 of 2024 | 12-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Highview Agents Private Limited | AACCH8359J | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8407 of 2024 | 12-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Harshawardhan Hanmant Sabale | BMMP59407G | Under Sections 11(1), 11(4) and 11B(1) read with Section 19 of SEBI Act, 1992 | WTM/ASB/CFD/CFD-SEC-3/30894/2024-25 | 18-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Varanium Cloud Ltd | AAZCS6264J | Under Sections 11(1), 11(4) and 11B(1) read with Section 19 of SEBI Act, 1992 | WTM/ASB/CFD/CFD-SEC-3/30894/2024-25 | 18-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jayarajbhai Vala | AIZPV1760F | Under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | QJA/KS/WRO/WRO/29694/2023-24 | 20-Feb-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| N M Impex Pvt. Ltd | AABCN0541M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-6/PM-AB/75/2018-19 | 20-Feb-2025 | PAN deactivated for opening of new account |
| MARSH VINIMAY PVT LTD | AAFCM9728H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/AK/AJ/2023-24/28938 | 25-Feb-2025 | PAN deactivated for opening of new account |
| Manomay Dealmark Private Limited | AAHCM2762P | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8589 of 2025 | 28-Feb-2025 | PAN deactivated for opening of new account |
| Aarm Finser India Limited | AABCP0367K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/VV/NK/2021-22/15778-15795 | 28-Feb-2025 | PAN deactivated for opening of new account |
| Godavari Real Estate Pvt Ltd | AAFCG4666C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/PM-RR/AO/2019-20/7039-7054 | 28-Feb-2025 | PAN deactivated for opening of new account |
| Satshri Multitrade Pvt. Ltd. | AAOCS2712F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 28-Feb-2025 | PAN deactivated for opening of new account |
| INVESTMENT INDIA FINANCIAL SERVICES | AAGFI4791L | Under Sections 11, 11B(1) and 11(4) along with Sections 11(4A) and 11B(2) of SEBI Act, 1992 | WTM/AB/WRO/WRO/25157/2022-23 | 4-Mar-2025 | PAN deactivated for opening of new account |
| Paramjyoti Tradelinks Private Limited | AAGCP2758K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8499 of 2025 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Amit Kumar Choudhary HUF | AALHA8228H | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8503 of 2025 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Pankaj Kumar Agrawal HUF | AALHP4980R | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8548 of 2025 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Consolidated Mercantiles Private Limited | AACCC2960N | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8582 of 2025 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Equastone Properties Pvt. Ltd. | AABCE9232C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/VV/NK/2021-22/15778-15795 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Aavia Buildtech Private Limited | AAKCA4090M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/BD/BM/2020-21/7650-7674 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Aavia Softech Private Limited | AAKCA4089N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/BD/BM/2020-21/7650-7674 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| Steady Capital Advisory Services Private Limited | AATCS2130B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/BD/BM/2020-21/7650-7674 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sure Portfolio Services Private Limited | AATCS2129L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/BD/BM/2020-21/7650-7674 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| NATURE INFOSFT PRIVATE LIMITED | AACCN6386M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/SM/DD/2021-22/14328-14339 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| NIKITA FOREX PRIVATE LIMITED | AADCN8028M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/SM/DD/2021-22/14328-14339 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Edoptica Retail India Ltd | AABCK3433H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/AB/CFID/CFID-TPD/31115/2024-25 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| BMD Exports Private Limited | AACCB5249H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhargabi Trading Pvt Ltd | AAECB9628A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/PM-RR/AO/2019-20/7039-7054 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jagsakti Tower Pvt Ltd | AACCI8180F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/PM-RR/AO/2019-20/7039-7054 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jahman Agency Pvt Ltd | AACCI8181E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/PM-RR/AO/2019-20/7039-7054 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ritudhan Marketing Pvt Ltd | AAFCR8047L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/PM-RR/AO/2019-20/7039-7054 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Buddhisagar Shares And Services Private Limited | AADCB7966J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ghantakarna Shares And Services Private Limited | AADCG6323N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Poojan Tradecom Private Limited | AAECP2532R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ratnasuri Shares and Services Private Limited | AAECR4211M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Real Marketing Private Limited | AACCR4046C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sumtinath Shares And Services Pvt Ltd | AANCS2340B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Tirthankar Shares and Service Private Limited | AADCT2274R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/PM/GD/2022-23/16782-16811 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| Cellworth Mercantile Pvt. Ltd. | AAECC0376J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Jaimini Trading Pvt. Ltd. | AACCI3884G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Wonder Vincom Pvt. Ltd. | AAACW8578N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Virgo Mercantile Pvt. Ltd. | AACCV6426E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Lona Mercantile Pvt. Ltd. | AABCL5709K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jagdamba Complex Pvt. Ltd. | AABCJ9074F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Mili Commodities Pvt. Ltd. | AAFCM8822N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jasmine Dealcom Pvt. Ltd. | AACCI0675M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/RG/ 686-781/2017 | 6-Mar-2025 | PAN deactivated for opening of new account |
| Ksema Finsecure Consultants Ltd. | AADCK9953C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/BJD/BKM/33 - 38/2017-18 | 6-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Rahul Dangi Proprietor – Market Gainer and Star Infotech IT Solution | DZZPD2477M | Show Cause Notice under Section 11(1), 11(4), 11B(1), 11(4A), 11B(2) read with Section 15HB and 15EB of the SEBI Act, 1992 read with Rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/WRO/ILO/AK/OW/P/01208/1/2025 | 10-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Bhawani Srivastava | BMHPS8135C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/SM/S./2022-23/21263-21266 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Pushpa Gupta | AHAPG3817G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/SM/S./2022-23/21263-21266 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Girish Chandra Gupta | AIMPG6205H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/SM/S./2022-23/21263-21266 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Paramount Bio-tech Industries Ltd. | AACCP1163C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/SM/S./2022-23/21263-21266 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Ranjit Kaur | CIRPK5759F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/65-69/2017-18 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Kulbir Singh | BKHP51704D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/65-69/2017-18 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Harjit Singh | CGFPS6608M | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/65-69/2017-18 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Gurwinder Singh | CLEPS7179D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/AA/AO/74-79/2017-18 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Shri Lalit Kumar | AUUPK3066A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | AO/IS/VRP/71-74/2018 | 10-Mar-2025 | PAN deactivated for opening of new account |
| Mr. Abdul Slam | CXEPS9337R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | RA/DPS/ 128-134 /2017 | 12-Mar-2025 | PAN deactivated for opening of new account |
| Billionaire Solution | BVPPJ3417H | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/SM/WRO/WRO/14717/2021-22 | 12-Mar-2025 | PAN deactivated for opening of new account |
| M/s. Capital Worth Research House Partners Mr. Mohammed Aamir Sheikh Mr. Ankit Shrivastav Mr. Shahid Rangrej Sameer Menon | AANFC2170Q | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/ASB/WRO/WRO/24011/2022-23 | 12-Mar-2025 | PAN deactivated for opening of new account |

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| Devendra Pal Singh | BJVPS0029N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/183-188/2018-19 | 12-Mar-2025 | PAN deactivated for opening of new account |
| Karmbhoomi Real Estate Limited | AAECK1255G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/183-188/2018-19 | 12-Mar-2025 | PAN deactivated for opening of new account |
| Nirmal Kumar Singh | ATHPS3605F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/183-188/2018-19 | 12-Mar-2025 | PAN deactivated for opening of new account |
| Levia Trading Pvt Ltd. | AACCL5027D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | Order/MC/HP/2020-21/8754-8756 | 12-Mar-2025 | PAN deactivated for opening of new account |
| Shri Rakesh Kumar | AWPPK9383F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | AO/JS/VRP/71-74/2018 | 17-Mar-2025 | PAN deactivated for opening of new account |
| Shri Praveen Kumar Rohilla | AIUPR8350C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | AO/JS/VRP/71-74/2018 | 17-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ekveera Computers Private Limited | AAACC7451L | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | BM/AO- 19 - 27/2012 | 18-Mar-2025 | PAN deactivated for opening of new account |
| SUNEEL KUMAR PATEL | DFQPP5370N | Under Sections 11, 11B and 11D of SEBI Act, 1992 | WTM/GM/WRO/WRO/13952/2021-22 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nikhil Kumar | BBSPK1145A | Under Sections 11(1), 11(4) and 11B of SEBI Act, 1992 | WTM/SM/WRO/WRD/14467/2021-22 | 19-Mar-2025 | PAN deactivated for opening of new account |
| Ankit Shrivastav | FNXPS2212D | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/ASB/WRO/WRO/24011/2022-23 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Shahid Rangrej | CAHPR9501A | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/ASB/WRO/WRO/24011/2022-23 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sameer Memon | DBUPM4094J | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/ASB/WRO/WRO/24011/2022-23 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| M/s. All Gainers Research & Brokerage Firm Proprietor Mr. Anand Kand Mishra | AVFPM1559G | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/AB/WRO/WRO/21993/2022-23 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Capital Trading Cash (Proprietor: Saudan Singh)) | EQGPS3663P | Under Sections 11(1), 11(4), 11B and 11D of SEBI Act, 1992 read with Regulation 3(1) Of SEBI (Investment Advisers) Regulations, 2013 | QJA/AA/WRO/WRO/25839/2023-24 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Manoj Kumar Sengar | BBIPS1965G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/183-188/2018-19 | 19-Mar-2025 | PAN deactivated for opening of new account |
| Sardar Singh | ABDPS2787C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/183-188/2018-19 | 19-Mar-2025 | PAN deactivated for opening of new account |
| Uniflex Carrying Co. | AAACU2984J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-9/ AO/SM/52 - 86 /2019-20 | 19-Mar-2025 | PAN deactivated for opening of new account |
| Blue Peacock Securities P Ltd | AADCB8850F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/BJD/BKM/ 78/2017-18 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Vishvas Securities Ltd. | AAACV2921N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/AO/ 174-175 /2013 | 19-Mar-2025 | PAN deactivated for opening of new account |
| Nilkanth Dealers Private Limited. | AACCN3910B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/KM/ 122-127 /2014 | 19-Mar-2025 | PAN deactivated for opening of new account |
| Sundeeep Credits Pvt. Ltd. | AABCS7644G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-2/DSR/KM/ 122-127 /2014 | 19-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ecologix Knowledge Solutions Pvt. Ltd. | AACCE0264H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 and section 23-I of Securities contracts (Regulation) Act, 1956 read with Rule 5 SCR(R) (Procedure for Holding Inquiry and Imposing Penalties) Rules, 2005 | Order/VV/NK/2021-22/15778-15795 | 20-Mar-2025 | PAN deactivated for opening of new account |
| Insight Multitrading Private Limited | AACCI9518C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/BJD/NJMR/2018-19/2143 | 24-Mar-2025 | PAN deactivated for opening of new account |

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| Aparna Commodities Pvt. Ltd. | AAFCA2440N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-9/ AO/SM/52 - 86 /2019-20 | 24-Mar-2025 | PAN deactivated for opening of new account |
| VRP Financial Services Pvt. Ltd. | AAACV7147C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD-9/ AO/SM/52 - 86 /2019-20 | 24-Mar-2025 | PAN deactivated for opening of new account |
| Mohammed Aamir Sheikh | DZDPS7841Q | Under Sections 11(1), 11(4) and 11B(1) of SEBI Act, 1992 | WTM/ASB/WRO/WRO/24011/2022-23 | 25-Mar-2025 | PAN deactivated for opening of new account |
| Mahipal Singh | ATSPS3033N | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | EAD/KS/VB/AO/183-188/2018-19 | 25-Mar-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Taitan Management Services Pvt Ltd | AACCT2473R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995. | Order/MC/DS/2020-21/8114-8141 | 26-Mar-2025 | PAN deactivated for opening of new account |
| Mr Mahesh Kumar Sharma | AYYPS1602E | Under Section 11(1), 11(4), 11(4A), 11B(1) And 11B(2) read with Sections 15A, 15C and 15HB of The SEBI Act, 1992 read with Regulation 23 of The SEBI (Venture Capital Funds) Regulations, 1996 read with Regulation 39 of The SEBI (Alternate Investment Funds) Regulations, 2012. | QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24 | 3-Apr-2025 | PAN deactivated for opening of new account |
| Ramesh Chandra | AACPC5805D | Under Section 11(1), 11(4), 11(4A), 11B(1) And 11B(2) read with Sections 15A, 15C and 15HB of The SEBI Act, 1992 read with Regulation 23 of The SEBI (Venture Capital Funds) Regulations, 1996 read with Regulation 39 of The SEBI (Alternate Investment Funds) Regulations, 2012. | QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24 | 9-Apr-2025 | PAN deactivated for opening of new account |
| Ajay Chandra | AACPC5803F | Under Section 11(1), 11(4), 11(4A), 11B(1) And 11B(2) read with Sections 15A, 15C and 15HB of The SEBI Act, 1992 read with Regulation 23 of The SEBI (Venture Capital Funds) Regulations, 1996 read with Regulation 39 of The SEBI (Alternate Investment Funds) Regulations, 2012. | QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24 | 9-Apr-2025 | PAN deactivated for opening of new account |
| Sanjay Chandra | AACPC5804C | Under Section 11(1), 11(4), 11(4A), 11B(1) And 11B(2) read with Sections 15A, 15C and 15HB of The SEBI Act, 1992 read with Regulation 23 of The SEBI (Venture Capital Funds) Regulations, 1996 read with Regulation 39 of The SEBI (Alternate Investment Funds) Regulations, 2012. | QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24 | 9-Apr-2025 | PAN deactivated for opening of new account |
| Unitech Advisors India Private Limited | AACCC5880D | Under Section 11(1), 11(4), 11(4A), 11B(1) And 11B(2) read with Sections 15A, 15C and 15HB of The SEBI Act, 1992 read with Regulation 23 of The SEBI (Venture Capital Funds) Regulations, 1996 read with Regulation 39 of The SEBI (Alternate Investment Funds) Regulations, 2012. | QJA/AA/AFD-1/AFD-1-SEC/29946/2023-24 | 9-Apr-2025 | PAN deactivated for opening of new account |
| Sumitra Devi | AGVPD0300K | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8643 of 2025 | 11-Apr-2025 | PAN deactivated for opening of new account |
| Shivraishi Real Estates Private Limited | AARCS2965G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8635 of 2025 | 11-Apr-2025 | PAN deactivated for opening of new account |
| Encord Trading Private Limited | AACCE1213E | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8634 of 2025 | 11-Apr-2025 | PAN deactivated for opening of new account |
| Omprakash Agarwal HUF | AAAOH5377G | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8617 of 2025 | 11-Apr-2025 | PAN deactivated for opening of new account |
| Khushi Merchandise Pvt Ltd | AADCK8520D | Certificate under section 28A of SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8620 of 2025 | 11-Apr-2025 | PAN deactivated for opening of new account |
| Eveningstar Dealtrade Private Limited | AADCE1166H | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8680 of 2025 | 24-Apr-2025 | PAN deactivated for opening of new account |
| Gokul Financial Advisors Private Limited | AADCG4713A | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8681 of 2025 | 24-Apr-2025 | PAN deactivated for opening of new account |
| King Traders, Proprietor-Sagar Dhanjibhai Sutar | EGGPS8029K | Under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 read with Regulation 11(1)(D) of The SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 | WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25 | 25-Apr-2025 | PAN deactivated for opening of new account |
| Gemini Enterprise, Proprietor- Mr. Suresh Parmashivam | EPGPP1022C | Under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 read with Regulation 11(1)(D) of The SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 | WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25 | 25-Apr-2025 | PAN deactivated for opening of new account |
| United Enterprises, Proprietor- Mr. Jigar Rameshbhai Dawada | AKVPD3098G | Under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 read with Regulation 11(1)(D) of The SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 | WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25 | 25-Apr-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Param Commodities Private Limited | AAFCP0003L | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 30-Apr-2025 | PAN deactivated for opening of new account |
| Apurva Commodities Private Limited | AAGCA3264P | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 30-Apr-2025 | PAN deactivated for opening of new account |
| Paramarth Agro Marketing Private Limited | AAFCP0005N | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 30-Apr-2025 | PAN deactivated for opening of new account |

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| Market Pulse Finsoft Pvt Ltd | AAHCM3288Q | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | BD /NR/2020-21/8060-8080 | 30-Apr-2025 | PAN deactivated for opening of new account |
| Aadya Commodities Private Ltd | AAHCA2094C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/VV/AS/2022-23/16241 - 16249 | 30-Apr-2025 | PAN deactivated for opening of new account |
| Pawantarg Agro Agencies Pvt Ltd | AAFCP0004P | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 30-Apr-2025 | PAN deactivated for opening of new account |
| Saga Financial Services Pvt Ltd | AAJCS7871F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | BD /NR/2020-21/8060-8080 | 7-May-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Superfast Tours and Travels Private Limited | AAECS4607A | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 7-May-2025 | PAN deactivated for opening of new account |
| Awadhoot Marketing Private Limited | AAECA9172C | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 7-May-2025 | PAN deactivated for opening of new account |
| Shri Vishnu Krupa Commodities Private Limited | AAMCS1499N | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 7-May-2025 | PAN deactivated for opening of new account |
| Meghana Mercantile Pvt Ltd | AAFCM2750F | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | ORDER/VV/NK/2021-22/14836-14884 | 7-May-2025 | PAN deactivated for opening of new account |
| Akhila Mercantile Pvt Ltd | AAGCA6077J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | ORDER/VV/NK/2021-22/14836-14884 | 7-May-2025 | PAN deactivated for opening of new account |
| Robosoft Trading Co. Pvt Ltd | AACCR9863K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | ORDER/VV/NK/2021-22/14836-14884 | 7-May-2025 | PAN deactivated for opening of new account |
| S K B Securities Ltd | AAHCS3335G | Under Sections 11(1), 11(4), 11B(1), 11B(2) and 11D of The SEBI Act, 1992 and Section 12A(1) and 12A(2) of the Securities Contract Regulation Act. | WTM/SM/MIRSD_DPIEA/17646/2022-23 | 7-May-2025 | PAN deactivated for opening of new account |
| Pradeep Kumar Dhoot | AANPD5593J | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8458 of 2024 | 8-May-2025 | PAN deactivated for opening of new account |
| Jyoti Lohia | ABPPL4948Q | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8695 of 2025 | 8-May-2025 | PAN deactivated for opening of new account |
| VKJ Trexim Private Limited | AACCV1835D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/AK/AJ/2023-24/29002 | 16-May-2025 | PAN deactivated for opening of new account |
| Mr. Anand Kumar Jha | AEKPJ8257J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Asif Khan | BEMPK1322J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Bibeka Nand Mandal | CNVPM6593E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Mohammad Faisal | AAWPF1464H | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Mokarram Mohammad | AJPPM9356A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Wasim Khan | CENPK6085G | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Sunshine Global Agro Ltd | AAJCS2508K | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Shri Ramesh Sharma | AUTPR3288E | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/VKV/AS/AO-11/2017 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Sarif Khan | AXJPK0099D | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/DPS/ 128-134 /2017 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Servehit Housing and Infrastructure India Ltd | AANCS0417R | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/BKM/2018-19/1669-1674 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Shri Sukhmander Singh | BUZPS4365J | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/BKM/2018-19/1669-1674 | 4-Jun-2025 | PAN deactivated for opening of new account |

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| Shri Surjeet Kumar | CRRPS1400A | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/BKM/2018-19/1669-1674 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Amarjeet Singh Cheema | ADEPCS281C | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SM/S./2022-23/17293-17295 | 4-Jun-2025 | PAN deactivated for opening of new account |
| En Aromatic & Petro Chemicals Limited | AAACV4431F | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/CB/2019-20/1-6 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Satyam Shivam Sundaram Trading | AIVPC7790E | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA and 15EB of the SEBI Act, 1992 read with Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | SEBI/ERO-OTHER/P/OW/2025/2672/1 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Re India Infratech Limited | AAECR8905J | Under Section 11(1), 11(4), 11(4A), 11A and 11B(1) of the SEBI Act, 1992 | QJA/AA/NRO/NRO-DIV-III/31208/2024-25 | 4-Jun-2025 | PAN deactivated for opening of new account |
| Satyam Suman Choudhary | CAAPC8584R | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA and 15EB of the SEBI Act, 1992 read with Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | SEBI/ERO-OTHER/P/OW/2025/2672/4 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Chandan Chaurasiya | CKWPC0798P | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992. | WTM/AB/CFID/CFID-TPD/31324/2024-25 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mahadev Manubhai Makwana | EXFPM7561C | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992. | WTM/AB/CFID/CFID-TPD/31324/2024-25 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ranjitsinh Ishwarsinh Rajput | AJIPR3232L | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992. | WTM/AB/CFID/CFID-TPD/31324/2024-25 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nikhil Rajesh Singh | OKOPS4711J | Under Sections 11(1), 11(4) and 11B(1) of the SEBI Act, 1992. | WTM/AB/CFID/CFID-TPD/31324/2024-25 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Mohammad Ahteshamul Haq | ACGPH4147P | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2540-2548 /2018-19 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kishan Pal Singh | AVVPS8411M | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/CB/2019-20/1-6 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Deenanath Maurya | BJJPM1012K | Under section 15-I (2) of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/CB/2019-20/1-6 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bijaya Kumar Rout | AJAPR7516B | Under section 15-I of SEBI Act, 1992 read with Rule 5 of SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ORDER/SR/2022-23/01-09/21011-21019 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Suman Choudhary | AFXPC5598K | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA and 15EB of the SEBI Act, 1992 read with Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995. | SEBI/ERO-OTHER/P/OW/2025/2672/5 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Nitesh Kumar Singh | BACPS9359B | Under Section 11(1), 11(4), 11(4A), 11A and 11B(1) of the SEBI Act, 1992 | QJA/AA/NRO/NRO-DIV-III/31208/2024-25 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Awdesh Kumar Singh | AKQPS6527G | Under Section 11(1), 11(4), 11(4A), 11A and 11B(1) of the SEBI Act, 1992 | QJA/AA/NRO/NRO-DIV-III/31208/2024-25 | 10-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Chandra Vikram Singh | AYBPS9084M | Under Section 11(1), 11(4), 11(4A), 11A and 11B(1) of the SEBI Act, 1992 | QJA/AA/NRO/NRO-DIV-III/31208/2024-25 | 10-Jun-2025 | PAN deactivated for opening of new account |
| Mr. Ajay Singh | EBAPS3617G | Under Section 11(1), 11(4), 11(4A), 11A and 11B(1) of the SEBI Act, 1992 | QJA/AA/NRO/NRO-DIV-III/31208/2024-25 | 10-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Annex Infrastructure India Limited | AAICA1716G | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 23-Jun-2025 | PAN deactivated for opening of new account |
| Asit Kumar Majumder | ABGPM9614H | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 23-Jun-2025 | PAN deactivated for opening of new account |
| Rabin Kumar Mal | BAYPM6700N | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 23-Jun-2025 | PAN deactivated for opening of new account |
| Superdeal Fincom Private Limited | AAECS0527R | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8726 of 2025 | 27-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Prasenjit Mazumder | AKGPM9017P | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 27-Jun-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Asim Das | ALNPD5607J | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 27-Jun-2025 | PAN deactivated for opening of new account |
| Nirmal Kr. Jha | AGPPJ7304P | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 27-Jun-2025 | PAN deactivated for opening of new account |
| Subrat Kr. Mazumder | BCFPM0940P | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 27-Jun-2025 | PAN deactivated for opening of new account |
| Shantonu Roy | AISPRO927R | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8748 of 2025 | 27-Jun-2025 | PAN deactivated for opening of new account |

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| Sukhisansar Construction Private Limited | AAGCS0343F | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8727 of 2025 | 27-Jun-2025 | PAN deactivated for opening of new account |
| Mr Pankaj Kumar Agarwal | AGKPA4563A | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8750 of 2025 | 1-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| SGL Research & Analysis Ltd. | AAOCS5535R | Under section 15-I of the SEBI act, 1992 read with rule 5 of the SEBI (procedure for holding inquiry and imposing penalties by adjudicating officer) rules, 1995. | AO/VKV/AS/AO-11/2017 | 1-Jul-2025 | PAN deactivated for opening of new account |
| Sanjeev Sharma | AARPS7233G | Under section 15-I of the SEBI act, 1992 read with rule 5 of the SEBI (procedure for holding inquiry and imposing penalties by adjudicating officer) rules, 1995. | AO/VKV/AS/AO-11/2017 | 1-Jul-2025 | PAN deactivated for opening of new account |
| Maitreya Plotters and Structures Private Limited | AAFCM9358H | Under section 15-I of the SEBI act, 1992 read with rule 5 of the SEBI (procedure for holding inquiry and imposing penalties) rules, 1995. | Order/KS/AA/2020-21/8031-33 | 1-Jul-2025 | PAN deactivated for opening of new account |
| Gurdeep Singh | BIVPS7069D | Under section 15-I of the SEBI act, 1992 read with rule 5 of the SEBI (procedure for holding inquiry and imposing penalties) rules, 1995. | Order/SM/S./2022-23/17293-17295 | 1-Jul-2025 | PAN deactivated for opening of new account |
| Lifeinspire Knowledge Solutions Private Limited | AAECL3050A | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 read with Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 | SEBI/HO/QJC/QJC-2/P/OW/2025/12112/1-3 | 2-Jul-2025 | PAN deactivated for opening of new account |
| SHYAM SUNDER NAGLA | AJUPN9153C | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 4-Jul-2025 | PAN deactivated for opening of new account |
| Munirabad Trading Limited | AACCR3443B | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 and Sections 12A(1), 12A (2) of Securities Contracts (Regulation) Act, 1956 | SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1 | 4-Jul-2025 | PAN deactivated for opening of new account |
| Aasem Mutitrade Private Limited | AAJCA5716B | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 and Sections 12A(1), 12A (2) of Securities Contracts (Regulation) Act, 1956 | SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1 | 4-Jul-2025 | PAN deactivated for opening of new account |
| Britex Cotton International Limited | AABCB1805E | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 and Sections 12A(1), 12A (2) of Securities Contracts (Regulation) Act, 1956 | SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1 | 4-Jul-2025 | PAN deactivated for opening of new account |
| Micro Infratech Pvt Ltd | AAFCM3365A | Show Cause Notice Under Sections 11(1), 11(4), 11B(1) And 11B(2) Read With Sections 11(1), 15A(B), 15HA, and 15HB of SEBI Act, 1992 Read With Rule 4 of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | SEBI/HO/QJC/QJC-1/P/OW/2025/13314/1 | 4-Jul-2025 | PAN deactivated for opening of new account |
| Mr. Janardan Arvind Parulekar | AKNPP6001B | Under section 15-I of the SEBI act, 1992 read with rule 5 of the SEBI (procedure for holding inquiry and imposing penalties) rules, 1995. | Order/KS/AA/2020-21/8031-33 | 7-Jul-2025 | PAN deactivated for opening of new account |
| Varsha Madhusudan Satpalkar | ACEPS9276L | Under section 15-I of the SEBI act, 1992 read with rule 5 of the SEBI (procedure for holding inquiry and imposing penalties) rules, 1995. | Order/KS/AA/2020-21/8031-33 | 7-Jul-2025 | PAN deactivated for opening of new account |
| Vadlamudi Srikrishna | ADNPV5504C | Under section 15-I of the SEBI act, 1992 read with rule 5 of the SEBI (procedure for holding inquiry and imposing penalties) rules, 1995. | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Santowin Corporation Ltd | AADCS9595G | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 And Under Section 23-I Of Securities Contracts (Regulation) Act, 1956 Read With Rule 5 Of Securities Contracts (Regulation) (Procedure For Holding Inquiry And Imposing Penalties) Rules, 2005 | Order/SM/MG/2021-22/ 12172-12173 | 10-Jul-2025 | PAN deactivated for opening of new account |
| ANOOP GARG | ABRPG0167E | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and imposing Penalties) Rules, 1995 | Order/GR/AE/2020-21/8751 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Seema Khandelwal | ANSPK6624M | Under Section 15 I Of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | Order/PB/AS/2021-22/12815 | 10-Jul-2025 | PAN deactivated for opening of new account |
| Nilesh Upadhyay | AAHPU7040F | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of The Of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | HB/AO-06/2010 | 10-Jul-2025 | PAN deactivated for opening of new account |
| PRADEEP KUMAR JINDAL & SONS HUF | AAEHP9499Q | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/PB/AS/2021-22/12825 | 10-Jul-2025 | PAN deactivated for opening of new account |
| Subodh Kumar Khandelwal | AALPK3774C | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/PB/AS/2021-22/12812 | 10-Jul-2025 | PAN deactivated for opening of new account |
| Skynet Solutions | ACZFS4147H | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/BM/2021-22/14700-14701 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| RAVI SURYANARAYANA | AJXPR4881J | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| GUDIMETLA HANUMATH SUNDER | BMLPS9664N | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| ANITHA YARLAGADDA | ACAPY5774B | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| RAVI SWARAJYA BHARATHI | AJXPR4790C | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| INAGANTI VISHNUSEKHAR | AAMP13761J | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| MADDIKERI SREENIVASULU | CTYP59045Q | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| SRIKANTH PATCHAVA | AMIPP2715R | Under Section 15-I of SEBI Act, 1992, Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Dhana Energy Pvt Ltd | AACCV7693M | Under Section 15 - I Of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| SRINIVAS RAO MARUPUDI | ACQPM0876L | Under Section 15 - I Of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Venkata Sivaram Prasad Raavi | ADTPR5863J | Under Section 15 - I Of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| SRINIVAS RAO MARUPUDI | ACQPM0876L | Under Section 15 - I Of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | Order/GR/PU/2021-22/14195 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| PAVAN KUMAR BABU KONERU | APEPK0161R | Under Section 15 - I Of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Yogesh Bansal | AJJPB0977G | Under Section 15-I Of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | EAD-6/AO/PM/NK/010/2019-20 | 10-Jul-2025 | PAN deactivated for opening of new account |
| VEERABHADRA RAO DANDAMUDI | ABVPD6656R | Under Section 15 - I Of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | Order/GR/PU/2021-22/14130-14162 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Swarathma Gartex Private Limited | AASCS5122L | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 and Sections 12A(1), 12A (2) of Securities Contracts (Regulation) Act, 1956 | SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Azarel Fashions Private Limited | AALCA2477M | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 and Sections 12A(1), 12A (2) of Securities Contracts (Regulation) Act, 1956 | SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhadresh Trading Corporation Limited | AADCB4591K | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 and Sections 12A(1), 12A (2) of Securities Contracts (Regulation) Act, 1956 | SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhadresh Vasantrai Mehta | AACPM0152D | Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of SEBI Act, 1992 and Sections 12A(1), 12A (2) of Securities Contracts (Regulation) Act, 1956 | SEBI/HO/QJC/QJC-2/P/OW/2025/14375/1 | 10-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Indra Deo Tripathi HUF | AAAH16088L | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8065 of 2024 | 11-Jul-2025 | PAN deactivated for opening of new account |
| LMR Green Realty Private Limited | AACCL1899B | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8083 of 2024 | 11-Jul-2025 | PAN deactivated for opening of new account |
| Kamlesh Agrawal | AFUPA7917B | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8103 of 2024 | 11-Jul-2025 | PAN deactivated for opening of new account |
| Ram Kumar Agarwal HUF | AAOHR7338L | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8131 of 2024 | 11-Jul-2025 | PAN deactivated for opening of new account |
| Satyawati | FTRPS4532J | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8319 of 2024 | 11-Jul-2025 | PAN deactivated for opening of new account |
| SVPL Developers Private Limited | AANCS4635F | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8424 of 2024 | 11-Jul-2025 | PAN deactivated for opening of new account |
| Nidhi Singh | CPWPS7287E | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8436 of 2024 | 11-Jul-2025 | PAN deactivated for opening of new account |
| Mr. Madhur Agarwal | AAFPA1251Q | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8624 of 2025 | 11-Jul-2025 | PAN deactivated for opening of new account |
| Mr. Shiv Kumar Agarwal | AAFPA1252P | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8624 of 2025 | 11-Jul-2025 | PAN deactivated for opening of new account |
| Basil International Limited | AACCB0938K | Under Section 15-I (2) of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | MC/DPS/2019-20/3180-3194 | 14-Jul-2025 | PAN deactivated for opening of new account |
| Mr. Mohammed Afaque Ahmad | AJCPA6064F | Under Section 15-I (2) of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | MC/DPS/2019-20/3180-3194 | 14-Jul-2025 | PAN deactivated for opening of new account |
| SM Global Research/ Shashikant Kumar | CAXPK4388N | Under Section 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the SEBI Act, 1992 read with Rule 4 of the SEBI (Procedure for Holding inquiry and imposing Penalties) Rules, 1995. | QJA/GR/ERO/ERO/30575/2024-25 | 15-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Anil Singh | BAMPS0082D | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | ORDER/SR/2022-23/01-09/21011-21019 | 15-Jul-2025 | PAN deactivated for opening of new account |

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| Dipak Kumar Mohanty | AKOPM1988P | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | ORDER/SR/2022-23/01-09/21011-21019 | 15-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Choudhury Niranjan Pradhan | AIAPP3677Q | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | ORDER/SR/2022-23/01-09/21011-21019 | 15-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bipinkumar Gandhi | AJHPG6989J | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | SRP/JP/AO: 199/2011 | 16-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Prakash Gupta and his proprietorship firm viz. Shri Trading Co. | ARVPG7849R | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8080 of 2024 | 17-Jul-2025 | PAN deactivated for opening of new account |
| Rajiv Verma | ADIPV7096C | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8101 of 2024 | 17-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kamaljeet Singh | ANIPK8791P | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8496 of 2025 | 17-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Dee Kartavya Finance Limited | AAACD1157A | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8592 of 2025 | 17-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Moongipa Investments Limited | AAACM5584A | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8624 of 2025 | 17-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sudhir Agarwal | AAFPA1250R | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8624 of 2025 | 17-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Nirmalendu Bhowmik | ASFPB6456J | Under Section 15-I (2) of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | MC/DPS/2019-20/3180-3194 | 18-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Susanta Kumar Jana | AGJPJ1097K | Under Section 15-I (2) of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | MC/DPS/2019-20/3180-3194 | 18-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Profit Guru | BLWPS1911G | Under Sections 11(1), 11 (4), 11B (1) and 11 D Of The SEBI Act, 1992 | WTM/ASB/WRO/WRO/22668/2022-23 | 24-Jul-2025 | PAN deactivated for opening of new account |
| Shiv Impexs | AEFPJ9735E | Under Section 15-I of SEBI Act, 1992 Read With Rule 5(1) of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 1995 | IVD-ID5/ESL-SI/AO/DRK/ASG/EAD3-304/70-2011 | 24-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| togo retail marketing ltd | AADCM4340F | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-III/57/2019 | 25-Jul-2025 | PAN deactivated for opening of new account |
| Prafulla Subhash Chandra Bhat | AMEPB0155F | Under Sections 11(1), 11(4), 11(4A), 11B(1) And 11B(2) Of The SEBI Act, 1992 Read With Rule 5 Of The SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 | WTM/ASB/IVD/ID18/30583/2024-25 | 30-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ambaji Papers Private Limited | AAFCA2276C | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | Order/MC/DS/2020-21/8114-8141 | 30-Jul-2025 | PAN deactivated for opening of new account |
| Kepler Information Systems Pvt Ltd | AAECK5818R | Certificate under section 28A of the SEBI Act, 1992 read with section 222 of the Income Tax Act, 1961 | Certificate No. 8729 of 2025 | 31-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ritesh Popli | AKWPP8819L | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | EAD-3/JS/GSS/2030-2041/2018-19 | 31-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Prithi Paul Singh Sethi | AOAPS8866A | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-III/57/2019 | 31-Jul-2025 | PAN deactivated for opening of new account |
| Mr. Mrityunjay Kumar | CDEPK3717K | Under Sections 11(4), 11(B)(1), 11(4A) And 11(B)(2) of The SEBI Act, 1992 Read With Regulation 3(1) of The SEBI (Investment Advisers) Regulations, 2013 And Under Section 15-1 Of The SEBI Act, 1992 Read With Rule 5 Of The SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995. | QJA/AA/NRO/NRO/30187/2023-24 | 31-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Rajit Ram Maurya | AHUPM0896M | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-III/57/2019 | 31-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Girraj Vashistha | ADHPV6732E | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-III/57/2019 | 31-Jul-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| MODEX INTERNATIONAL SECURITIES LTD. | AAACM2105K | Notice of Hearing under the Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 ("Adjudication Rules") in the matter of Trading Members in Illiquid Stock Options | SEBI/HO/EAD/EAD2/JS/RJ/2025/18298/1 | 1-Aug-2025 | PAN deactivated for opening of new account |
| Shreerath Marketing P. Ltd. | AAEC59203L | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | BM/AO-115/2010 | 4-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Gautamsingh Shivsingh Zala | AAIPZ3605N | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 4-Aug-2025 | PAN deactivated for opening of new account |

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| Har-siddhi Manpower Services P. Ltd. | AABCH0280M | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | BM/AO-113/2010 | 4-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Dhyana Finstock Ltd | AABCP8561B | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/V5/2020-21/8584-8664 | 4-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Runicha Alloys And Steel Pvt Ltd | AACCR9947E | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | Order/MC/DS/2020-21/8114-8141 | 5-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kanhaiya Mining And Minerals Private Limited | AACCK5740L | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | Order/MC/DS/2020-21/8114-8141 | 5-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Inco Infrastructures Private Limited | AABCI3615H | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | Order/MC/DS/2020-21/8114-8141 | 5-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramji Agri Business Private Limited | AACCR9946F | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | Order/MC/DS/2020-21/8114-8141 | 5-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Virtual Business Solution Pvt. Ltd. | AAFCV0106J | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/ISD/ISD-SEC-5/31446/2025-26 | 6-Aug-2025 | PAN deactivated for opening of new account |
| Arpan Gupta | AMCPG5914F | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/ISD/ISD-SEC-5/31446/2025-26 | 6-Aug-2025 | PAN deactivated for opening of new account |
| Sulabh Dikshit | ANEPD9669B | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/ISD/ISD-SEC-5/31446/2025-26 | 6-Aug-2025 | PAN deactivated for opening of new account |
| Dipak Dwiwedi | ATDPD4055C | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/ISD/ISD-SEC-5/31446/2025-26 | 6-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Rahul Inn Hospitality Limited | AAFCR6421E | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/57/2017 | 6-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sunil KantiKar | AEQPK2126K | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/32/IMD/NRO/AUG/2015 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Mr. Ravinder Singh | ALHPS5680C | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/32/IMD/NRO/AUG/2015 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Basil International Limited | AACCB0938K | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Mohammed Afaque Ahmad | AJCPA6064F | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Chandra Shekhar Chauhan | AAEPC9775G | Under sections 11 and 11B of the SEBI Act, 1992 read with regulations 65 and 73 of the SEBI Act (Collective Investment Schemes) Regulations, 1999 | WTM/PS/10/NRO-CIS/JUNE/2013 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Swarnabhumi Developers Limited | AANCS2816A | Under sections 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/PS/189/ERO/MAR/2016 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Satya Narayan Karmakar | ALAPK1950E | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Quraisha Khatoon | AKDPK3211E | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, | WTM/RKA/EFD/125/2016 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ruhi Ghazala Warsi | ABYPW7604D | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, | WTM/RKA/EFD/125/2016 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Iftekhar Ahmed | AJMPA3089L | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, | WTM/RKA/EFD/125/2016 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Prasanta Chatterjee | ADQPC5674F | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/64/2017 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Imtiaz Khan Warsi | ABQPW7759R | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, | WTM/RKA/EFD/125/2016 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Manas Kumar Dan | ACSPD7017Q | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/64/2017 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Atendra Kumar | ASKPK2385C | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/139/2018 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Atul Agarwal | AZIPA5516C | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/10/2018 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Apurba Kumar Saha | AUIPS7765C | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/10/2018 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Bipin Kumar Singh | BOGPO921F | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/10/2018 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Chandan Chakraborty | ARPCP9106E | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/10/2018 | 7-Aug-2025 | PAN deactivated for opening of new account |
| Merlin Agri Projects India Limited | AAHCM3265H | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EFD-1-DRA-IV/20/2018 | 7-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Amit Dipakbhai Gajjar | AVWPG6845L | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/V5/2020-21/8584-8664 | 8-Aug-2025 | PAN deactivated for opening of new account |

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| Amit Easy Finance Ltd. | AADCA2919E | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | BM/AO-116/2010 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Parin Infrastructure Private Limited | AAGCP6796D | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Vivek Chauhan | AHPPC9620A | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/ISD/ISD-SEC-5/31446/2025-26 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Hitendra Chaturbhai Makwana | AGPPM2953H | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995 | BM/AO-125/2010 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Renuka Aggarwal | AAUPW5249D | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/ISD/ISD-SEC-5/31446/2025-26 | 8-Aug-2025 | PAN deactivated for opening of new account |
| High Spirits Sales Agencies Pvt Ltd | AACCH3216L | Under Sections 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/AB/ISD/ISD-SEC-5/31446/2025-26 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Taru Pallav Projects Private Limited | AADCT4840M | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Ravi Dipakbhai Joshi | AHBPJ4545M | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Mihir Consultancy & Trading Company | BQEPP5529G | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Devangkumar Arvindkumar Jani | AFHPJ7902E | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Jayshreeben Kiritkumar Shah | BQMPS6009Q | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 8-Aug-2025 | PAN deactivated for opening of new account |
| Trivendra Shambhu Singh | BEEP59764J | Under Sections 11(1), 11(4), 11(4A), 11A, 11B (1), 11B(2) and 15I of SEBI Act, 1992 Read With Rule 5 of The SEBI (Procedure For Holding Inquiry And Imposing Penalties) Rules, 1995 and Sections 12A(1), 12A(2) And 23I Of Securities Contracts (Regulations) Act, 1956 Read With Rule 5 of The Securities Contracts (Regulation) (Procedure For Holding Inquiry And Imposing Penalties) Rules, 2005. | WTM/AB/IVD/ID19/12999/2021-22 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Century Buildmart Pvt Ltd | AADCC2898Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9160-9167 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Salputri Commerce Pvt Ltd | AAMCS8925R | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/SP/49 /2018 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Regus Impex Pvt Ltd | AAECR4244E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/SP/47 /2018 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Blazon Marbles Ltd | AADCS3116P | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/ AO/SM/40-47/2017 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Jikisha Kiritbhai Shah | BTDP50022F | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/ AO/SM/40-47/2017 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Mansukh SanghviIndependent director | ABJPS8612E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/ AO/SM/40-47/2017 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Century Buildmart Pvt Ltd | AADCC2898Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Vibgyor Allied Industries Limited | AAGCS7590C | Under Section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/98/2017-18 | 11-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Salputri Commerce Pvt Ltd | AAMCS8925R | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/SP/50/2018 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Regus Impex Pvt Ltd | AAECR4244E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-3/JS/SP/46 /2018 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Shobha Bansal | ANQP81332F | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9325-9343 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Vinod Solanki | DMKPS4150Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 11-Aug-2025 | PAN deactivated for opening of new account |
| SAUROVEMOY GHOSH | AIDPG1944N | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EDF-1-DRA-IV/60/2017 | 11-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| KHUDIRAM SOUNTH | AKEPS8245D | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EDF-1-DRA-IV/60/2017 | 11-Aug-2025 | PAN deactivated for opening of new account |
| Shri Rahul Banerje | AIKPB8188N | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EDF-1-DRA-IV/57/2017 | 12-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Shri Abhijit Majumdar | AJCPM9999F | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EDF-1-DRA-IV/57/2017 | 12-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ms. Korobi Sengupta | CJSPS8350Q | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 13-Aug-2025 | PAN deactivated for opening of new account |
| Mr. Sadhan Kumar Nandi | ABOPN1278J | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 13-Aug-2025 | PAN deactivated for opening of new account |
| Mr. Susanta Kumar Jana | AGJPJ1097K | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 13-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sarmistha Sengupta Saika | AXFPS2809F | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 13-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mr. Sourindra Nath Mukherjee | AEFPM9008P | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 13-Aug-2025 | PAN deactivated for opening of new account |
| Mohammad Shadab Ali | AKGPA7112H | Under Sections 11 (1), 11(4), 11A and 11B of the SEBI Act, 1992 and regulation 28 of the SEBI (Issue and Listing of Debt Securities) Regulations, 2008, | WTM/RKA/EFD/125/2016 | 13-Aug-2025 | PAN deactivated for opening of new account |
| Siba Prosad Ghosh | AJWPG8924E | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EDF-1-DRA-IV/139/2018 | 13-Aug-2025 | PAN deactivated for opening of new account |
| Mr. Nirmalendu Bhowmik | ASFPB6456J | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 13-Aug-2025 | PAN deactivated for opening of new account |
| Swasata Steel Industries Limited | AANCS8087M | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EDF-1-DRA-IV/64/2017 | 13-Aug-2025 | PAN deactivated for opening of new account |
| Vithalbhai V. Gajera | ABZPG3628G | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/AO- 10/2012 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Dalsukhbhai D Patel | AFBPP0203Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/AO- 10/2012 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Tushar S Jhaveri | AACPZ7827J | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/AO- 07/2012 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bijay Kumar Chourasia | AHKPC2993F | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-6/AO/PM/NK/011/2019-20 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Tapan Kumar Dey | AJDPD4775H | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6385-6413 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Suncap Commodities Ltd | AACCN2950K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-8/JS/NS/30/2018 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kishan Lal Verma | AEBPV3957N | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | ORDER/VV/HS/2019-20/6588-6603 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashoka Majumdar | AZVPM5431B | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-6/AO/PM/NK/011/2019-20 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Samar Dey | ASKPD3685N | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-6/AO/PM/NK/011/2019-20 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nirbharant Management Consultants Pvt. Ltd. | AACCN0132C | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/KS/PP-AE/2020-21/10987-10991 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Girishkumar Prabhudas Ruparel | AIPPR8860G | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/10422-10428 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Pravin Kumar Jain | ADFPJ0754L | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PKB/AO-49/2010 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Cityon Nano Technology Pvt Ltd | AADCC6583G | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/KS/PP-AE/2020-21/10987-10991 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Accurate Buildwell Private Limited | AAGCA4053L | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Vishal Yadav | AJKPY8234D | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Amit Kumar Saxena | DWOPS8186Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 18-Aug-2025 | PAN deactivated for opening of new account |

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| Sunila Rai Verma | ASPPV7875F | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Santosh Kumar | AEKPK6751Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashvin Verma | AKFPV6256L | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Stallion Trading Co.(Proprietor Sapna) | DRUPS8079D | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Murlidhargiridhar Trading Private Limited | AAICM3230H | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/PM/AN/2021-22/11782-11795 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| ATUL SHARMA | BCAPS7959F | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | BD/NR/2020-21/8355-8356 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Rana Bhadra | AIDPB1831B | Under Section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/98/2017-18 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Abdul Wahid | AAQPW8347L | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EPD-1-DRA-IV/139/2018 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Raja Bhadra | AGVPB3263D | Under Section 11(1), 11(4) and 11B of the SEBI Act, 1992 | WTM/GM/IMD/98/2017-18 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Utkrishta Exports Private Limited | AAACU5891J | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9346-9369 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Ms. Monami Basu | ADKPB3250C | Under Section 11, 11A and 11B of the SEBI Act, 1992 | WTM/PS/70/NRO/FEB/2015 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Navi Kiran Enterprises Prop Rakesh Kumar Sharma | AIGPS4315L | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9346-9369 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Roxtech Securities Services Prop Parmanand Yadav | AIMPY3580D | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9346-9369 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Yogesh Trading Comy Prop Shashi Yadav | AIRPY0807E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9346-9369 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Prem Chand | AXNPC3713G | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9346-9369 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Alfa Netsol Private Limited | AAHCA4664Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9346-9369 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Nanon Distributors Private Limited | AADCN3085E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9325-9343 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Tushar India Private Limited | AABCT5830B | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9325-9343 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Pawan Kumar Kaul | APJPK8855K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9160-9167 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Ashvin Verma | AKFPV6256L | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9160-9167 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Vishal Yadav | AJKPY8234D | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9160-9167 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Accurate Buildwell Private Limited | AAGCA4053L | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9160-9167 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Placido Marketing Private Limited | AAGCP6892C | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/AA/2020-21/9325-9343 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mountain View Developers Private Limited | AAFCM3624G | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/PM/SM/2020-21/10806-10809 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ashok Sharma | DQHPS8149Q | Under Section15-I (2) of SEBI Act,1992 and Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/MC/VS/2020-21/10215-10221 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sailesh Jha | AUKPJ3133D | Under Section15-I (2) of SEBI Act,1992 and Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/MC/VS/2020-21/10215-10221 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Dhirajbhai Baladevji Thakor | ATJPT1108Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitin Popatlal Parikh | CYMPP9929D | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Karan Subhashchandra Ravde | BBBPR1121E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Maulik Vinitkumar Parikh | CYMPP9923K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| Lakhani Babulal Hansraj | AMVPL4417B | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Narendrabhai Shivabhai Parmar | BOOPP9405H | Under Section15-I (2) of SEBI Act,1992 and Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | RA/JP/ 250-254 / 2017 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Amratbhai Chaturdas Patel | AYZPP7771L | Under Section15-I (2) of SEBI Act,1992 and Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | RA/JP/ 250-254 / 2017 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sandipkumar Prakashbhai Prajapati | BIJXP9015P | Under Section15-I (2) of SEBI Act,1992 and Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | RA/JP/ 250-254 / 2017 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Savan Keshavlal Prajapati | CGKPP1066M | Under Section15-I (2) of SEBI Act,1992 and Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | RA/JP/ 250-254 / 2017 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| SHAH SUBHADRABEN RAMESHCHANDRA | GKCP54278A | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramanlal Ravchand Shah | GDWPS4583K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mushabber Imranali Ahmadi | BQBPA5642J | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Vinitkumar Popatlal Parikh | CYNPP0545E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bhagavatiben Vishnubhai Patel | CYBPP2362R | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Hardasbhai Babubhai Patel | CYBPP2358H | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Upendra Hiralal Dalal | CDRPD3445A | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Vijaya Parsharam Salvi | GFDP59389C | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Roshanlal Daulatram Aggarwal | BPDP43589K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Barindra Nath Koley | AJEPK9164G | Under Section 11, 11(4), 11A and 11B of the SEBI Act, 1992 | WTM/MPB/EDF-1-DRA-IV/20/2018 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Incap Financial Services Limited | AABCI0538B | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SR/PP/2019-20/7292/204 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Prashant Mulekar | AACPM1058Q | Order Under Section 15-I of SEBI Act, 1992 Read With Rule 5 Of The SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 1995, and Section 23-I Of The Securities Contracts (Regulation) Act, 1956 Read With Rule 5 Of The Securities Contracts (Regulation) (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 2005 | EAD- 4/SRP/AE/AO/2018-19/19 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Manoj Parmar | CYLPP1635B | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Prem Agarwal | AIJPA1781D | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SR/PP/2019-20/7293/205 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Rama Rani | AOVPR2657D | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/7626-7650 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ramjikersanhirani | ACYPH7822F | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8480-8505 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| AJAY SURESHCHANDRA MALOO | ACAPM2372B | Under Section 15-I of SEBI Act, 1992 and 23-I of The Securities Contracts (Regulations) Act, 1956. | ORDER/AP/AS/2020-21/11064-11076 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| TULIP EXPOTRADE PVT LTD | AACCT6697B | Under Section 23I of Securities Contracts (Regulation) Act, 1956, Section15I of SEBI Act,1992 Read With Rule 5 Of Securities Contracts (Regulation) (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 2005 and Rule 5 Of SEBI (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules,1995. | EAD-7/BJD/NJMR/219-230/2018-19 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Alpeshkumar Pratapbhai Chaudhari | ARPPC9647M | Under Section15-I (2) of SEBI Act,1992 and Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/MC/VS/2020-21/8762-8775 | 18-Aug-2025 | PAN deactivated for opening of new account |

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| Looklike Trade Pvt. Ltd. | AACCL2013K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SBM/JR/2020-21/11256 | 18-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Chetan Dogra HUF | AACHC5554P | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/115-116/2018-19 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Focus Industrial Resources Limited | AAACF1838N | Under Section 23-I of Securities Contracts (Regulation) Act, 1956 Read With Rule 5 Of Securities Contract Regulation (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 2005 | EAD-7/BJD/NJMR/2018-19/2396 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Raval Dineshkumar Ravishankar | AWCPR5539J | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2021-22/12940 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Padam Singh | BQIPS8097A | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/VV/AO/59-61/2017-18 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Vishnu Prakash Kabra | AXCPK5554K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/ AO/SM/40-47/2017 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Jord Engineers (I) Ltd. | AAACJ2871P | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | PG/AO-77/2011 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Asahi Infrastructure & Projects Ltd | AAACA8777F | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 And Section 23-I Of Securities Contracts (Regulation) Act, 1956 Read With Rule 5 Of Securities Contracts (Regulation) (Procedure For Holding Inquiry And Imposing Penalties) Regulations, 2005 | Order/KS/VC/2020-21/7742-7743 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Vinod D Patel | ACUPV1795B | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/AO- 10/2012 | 18-Aug-2025 | PAN deactivated for opening of new account |
| Bhavik Satish Badani | ALRPB9295L | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-12/ AO/SM/40-47/2017 | 19-Aug-2025 | PAN deactivated for opening of new account |
| Puppala Obul Reddy | AFKPR4332K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ADJUDICATION ORDER/SS/AKS/18/28/2018-19 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Gayatri Nadupalli | ABFPN1325F | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/AO/BJD/VS/380/2018-19 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Santosh Grover | AOUPG7497K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/498/2015 | 22-Aug-2025 | PAN deactivated for opening of new account |
| Konatala krishnaveni | BWKPK4464K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ADJUDICATION ORDER/SS/AS/2018-19/1129 | 22-Aug-2025 | PAN deactivated for opening of new account |
| Global Resort and Spa Ltd | AAACG5378G | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/495/2015 | 22-Aug-2025 | PAN deactivated for opening of new account |
| Suresh Kumar | ATXPS3071M | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/DSR/RG/492/2015 | 22-Aug-2025 | PAN deactivated for opening of new account |
| Aditya Narayan Singh | AITPS2152A | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/SR/VV/AO/59-61/2017-18 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Sandip Laxmanbhai Bhamani | BKLBP2837A | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/BS/AO/09/2017-18 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Shaik Ameer Basha | AEZPB3408A | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/BJD/VS/24-33/2017-18 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Majestic Garments P.Ltd (AAGCM6101M) | AAGCM6101M | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/BJD /MAS/ EAD/ 128 - 143/2018 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Rohini Poptatlal Parikh | CYSPP9743H | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| Bakul Chandrakant Chitalia (AERPC1412P) | AERPC1412P | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/BJD /MAS/ EAD/ 128 - 143/2018 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Brijesh Jayantibhai Patel | ACIPP5500E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-5/B/AO/ 10 /2017-18 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Fiber Texfab P. Ltd (AABCF4604R) | AABCF4604R | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/BJD /MAS/ EAD/ 128 - 143/2018 | 22-Aug-2025 | PAN deactivated for opening of new account |
| eduxel infotainment ltd | AADCT3496R | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | RA/CB/269/2017 | 22-Aug-2025 | PAN deactivated for opening of new account |
| T J R FINANCE LIMITED | AAACT5701E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/JS/VRP/12-13/2018 | 22-Aug-2025 | PAN deactivated for opening of new account |
| Tungarali Tradeplace Pvt. Ltd. | AACCT4504F | Under Section15 I of SEBI Act,1992 Read With Rule 5(1) of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | ISD/F&O-TTPL/AO/DRK/SS/EAD-3/141-09/44-2010 | 22-Aug-2025 | PAN deactivated for opening of new account |
| Maars Software International Ltd. | AAACM4527H | Under Section 15-I of SEBI Act, 1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 And Section 23-I Of Securities Contracts (Regulation) Act, 1956 Read With Rule 5 Of Securities Contracts (Regulation) (Procedure For Holding Inquiry And Imposing Penalties) Regulations, 2005 | Order/KS/VC/2020-21/8357-8360 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Pawan Kumar Kaul | APJPK8855K | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/9151-9159 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nokia Finance International Pvt. Ltd. | AABCN1135D | Under Section15 I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AP/AO- 09/2005 | 22-Aug-2025 | PAN deactivated for opening of new account |
| TULIP EXPOTRADE PVT LTD | AACCT6697B | Under Section 23-I of Securities Contracts (Regulation) Act, 1956 Read With Rule 5 Of Securities Contract Regulation (Procedure For Holding Inquiry And Imposing Penalties By Adjudicating Officer) Rules, 2005 | BS/AO/53-60/2018-19 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Bela H Kayastha | ABJPJ7168G | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | BM/AO-30/2010 | 22-Aug-2025 | PAN deactivated for opening of new account |
| Manish Manubhai Raja | ADDPR2448D | Under Section15I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | PM/NR/2020-21/10422-10428 | 22-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nilesh Rameshchandra Shah | GTPPS2917N | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/SS/VS/2019-20/6066-6090 | 25-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nilesh Rameshchandra Shah | GRPPS2917N | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 25-Aug-2025 | PAN deactivated for opening of new account |
| Kaushtubh Credit and Holdings Pvt Ltd. | ABKPC4815K | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/AO/40/2012 | 25-Aug-2025 | PAN deactivated for opening of new account |
| Sampati Broking Private Limited | AAOCS2986G | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-8/JS/SP/54/2018-19 | 25-Aug-2025 | PAN deactivated for opening of new account |
| N Rama Krishna Rao | ABFPN1324E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/AO/BJD/VS/381/2018-19 | 26-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| NINA AJAY MALOO | AJXPM3547Q | Under Section 15-I of SEBI Act, 1992 And 23-I Of The Securities Contracts (Regulations) Act, 1956 | ORDER/AP/AS/2020-21/11064-11076 | 26-Aug-2025 | PAN deactivated for opening of new account |
| Mr. Rohit Arora | AHQPA4971P | Show Cause Notice under rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) rules, 1995 read with section 15-I of SEBI Act, 1992 | SEBI/EAD-2/JS/YK/16320/1-27/2025 | 26-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Ranjan Choudhary | AELPC7853A | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/BJD/BKM/ 100 /2017-18 | 26-Aug-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| N Parvatavardhani | ABFPN1326G | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/AO/BJD/VS/2018-19/2442 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Dantara Amish Vijaykumar | ALQPD5735L | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/SS/VS/2019-20/3225-3233 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |

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| Firoz Hanifbhai Memom | BRVPM0273J | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD/BJD/VS/388-398/2018-19 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Karan Subhashchandra Ravde | BBBPR1121E | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Henal Hemantbhai Shah | EXHPS9436L | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-2/SS/VS/2019-20/3225-3233 | 1-Sep-2025 | PAN deactivated for opening of new account |
| Roshanlal Daulatram Aggarwal | BPDPA3589K | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Lakhani Babulal Hansraj | AMVPL4417B | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Kalpesh Dineshbhai Patel | CWWPP7459J | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Mohini Dilip Mewada (AJPPM5500P) | AJPPM5500P | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/BJD /MAS/ EAD/ 128 - 143/2018 | 1-Sep-2025 | PAN deactivated for opening of new account |
| Arif Gulammustafa Shaikh | CKZPS8073E | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-9/ AO/SM/183-205/ 2018-19 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Snehlata Rajendraprasad Tiwari | AIFPT7789N | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2018-19/2422-2425 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Jitin Popatlal Parikh | CYMPP9929D | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Manoj Parmar | CYLPP1635B | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Miteshgiri Chandangiri Goswami | ARSPG2051Q | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-9/ AO/SM/183-205/ 2018-19 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| NISSAN COPPER LTD. | AABCN0105B | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/GR/KG/2019-20/7154-7157 | 1-Sep-2025 | PAN deactivated for opening of new account |
| Mukeshsing M Jagarval | APVPJ6362A | Under Section15-I of SEBI Act,1992 Read With Rule 5 of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2018-19/2422-2425 | 1-Sep-2025 | PAN deactivated for opening of new account |
| Maulik Vinitkumar Parikh | CYMPP9923K | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | EAD-7/BJD/NJMR/2019-20/3332-3345 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Nimesh Jitendrabhai Purani | ASXPP1371L | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | Order/BD/VS/2020-21/8584-8664 | 1-Sep-2025 | PAN deactivated for opening of new account |
| Pummy Garments Pvt. Ltd. | AAFCP5340J | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | Order/SRP/AE/2019-20/2860-2870 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| AJEIT P S RAJBANS HUF | AAHHA4059E | Under Section 15 I of SEBI Act, 1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995 | EAD-2/SS/AS/2019-20/4422 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| Edynamics Solutions Limited | AAACE7849N | Under Section 15-I of SEBI Act, 1992 And Section 23-I Of Securities Contracts (Regulation) Act, 1956. | Order/AP/SK/2020-21/10071-10075 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| JAGDISH SINGH | EKVPS7657J | Under Section15-I (2) of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | MC/DPS/2018-19/2457 | 1-Sep-2025 | Demat account Frozen & PAN deactivated for opening of new account |
| OASIS TRADELINK LTD | AAACO4802B | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995 | AO/SBM/EAD-3/ 116-118/2018 | 1-Sep-2025 | PAN deactivated for opening of new account |
| Tanu Sudan | BKMPS6129L | Under Section15-I of SEBI Act,1992 Read With Rule 5 Of SEBI (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules,1995 | EAD/BJD/NJMR/VS/83-92/2018-19 | 1-Sep-2025 | PAN deactivated for opening of new account |

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| Shark Communications | AAACS4896P | Under Section 23-I of The Securities Contracts (Regulation) Act, 1956, Read With Rule 5 Of Securities Contracts (Regulation) (Procedure For Holding Inquiry and Imposing Penalties By Adjudicating Officer) Rules, 2005 | BS/AO/33-40/2018-19 | 1-Sep-2025 | PAN deactivated for opening of new account |
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